

Local Small Business Enterprise (LSBE) Affidavit

Dear Prospective LSBE Vendor:

Thank you for your interest in becoming certified with DeKalb County Government, Contract Compliance Division, Purchasing and Contracting Department as a **Local Small Business Enterprise (LSBE).** Pursuant to the DeKalb County LSBE Ordinance, Contractors are required to utilize or demonstrate Good Faith Efforts to utilize certified LSBE vendors for twenty percent (20%) of the total award for all qualified sealed solicitations.

DeKalb County has two types of LSBE Certifications: (1) Locally Based Inside of DeKalb County [LSBE-DeKalb]; and (2) Locally Based Outside of DeKalb County but within the ten (10) County Metropolitan Statistical Area [LSBE-MSA] that includes Cherokee, Clayton, Cobb, Douglas, Fayette, Fulton, Henry, Gwinnett, and Rockdale Counties. The applicant firm must be located and operate in DeKalb County or the MSA for at least one year prior to submitting an application for LSBE certification. To operate means to be the current holder of a valid business license issued by DeKalb County or a local government within the MSA for at least one year prior to submitting an application for LSBE certification.

Certified (LSBEs) located within DeKalb County and prime contractors utilizing them shall receive ten (10) percentage points in the initial evaluation of their response to any Request for Proposal and a ten (10) percent preference on all responses to any Invitation to Bid. Certified LSBEs operating outside of DeKalb County but within the (MSA) and prime contractors utilizing them shall receive five (5) percentage points in the initial evaluation of their response to any Request for Proposal and a five (5) percent preference on all responses to any Invitation to Bid.

For either LSBE certification, the following qualifying definition shall apply: A Small Business shall mean an independently owned and operated business concern whose average annual gross receipts for the previous three years must not exceed (1) Construction Firms - \$3,000,000.00 (2) Professional Services Firms - \$2,000,000 (3) Commodity Suppliers - \$1,000,000.00; the individual owners of such business concern may not possess a personal net worth that exceeds \$1,000,000.00, including a spouse or adult child's net worth but excluding the individual's ownership interest in their primary residence.

We have enclosed the LSBE certification affidavit, which serves as an application for certification. All questions on the affidavit must be answered completely and <u>ALL</u> requested documentation must accompany the affidavit. Failure to complete portions or provide the required documentation may result in the return of your unprocessed application and the denial of certification for your business. The information on the affidavit must be true and accurate to the best of the applicant's knowledge. The Contract Compliance Division will keep all submitted documents and information confidential to the extent allowable by law.

Certification does not guarantee any present or future contracts with DeKalb County. All registered vendors must take the necessary steps to become a part of the County's procurement system and bid competitively for business. Please contact our office or visit our website to register as a vendor with the County.

Submit the completed affidavit and documents to the <u>Contract Compliance Division, DeKalb County Purchasing and Contracting Department, 1300 Commerce Drive, 2nd <u>Floor, Decatur, Georgia 30030.</u> Direct all questions to the Contract Compliance Division at (404) 371-7051 or visit our website at <u>www.dekalbcountyga.gov</u> for more information.</u>

PLEASE REVIEW BEFORE COMPLETING APPLICATION

MINIMUM REQUIREMENTS FOR LSBE CERTIFICATION

(Please Note: This list is not exhaustive, but contains a few of the minimum, not all, requirements for certification. Please make sure you meet the minimum requirements <u>before</u> completing the application for certification.)

- LOCATED AND OPERATING IN DEKALB COUNTY OR MSA FOR ONE YEAR PRIOR TO SUBMITTING CERTIFICATION APPLICATION.
- VALID BUSINESS LICENSE FROM DEKALB COUNTY OR LOCAL GOVERNMENT WITHIN THE MSA FOR AT LEAST ONE YEAR PRIOR TO SUBMITTING APPLICATION FOR CERTIFICATION.
- INDEPENDENTLY OWNED AND OPERATED BUSINESS CONCERN WHOSE AVERAGE ANNUAL GROSS RECEIPTS FOR THE PREVIOUS THREE YEARS DOES NOT EXCEED:
 - o CONSTRUCTION FIRMS-\$3,000,000.00
 - o PROFESSIONAL SERVICE FIRMS-\$2,000,000.00
 - o COMMODODITY SUPPLIERS-\$1,000,000.00
- THE **PERSONAL NET WORTH** OF THE INDIVIDUAL OWNERS OF SUCH BUSINESS CONCERN **DOES NOT EXCEED \$1,000,000.00**, INCLUDING A SPOUSE OR ADULT CHILD'S NET WORTH BUT EXCLUDING THE INDIVIDUAL'S OWNERSHIP INTEREST IN THEIR PRIMARY RESIDENCE.
- APPLICANT FIRM MUST BE 51% OWNED BY ONE OR MORE OF THE APPLICANT INDIVIDUALS IDENTIFIED AND THE OWNERSHIP MUST HAVE BEEN IN EXISTENCE FOR ONE YEAR OR MORE; THE APPLICANT INDIVIDUAL MUST HAVE MAINTAINED SUCH 51% OWNERSHIP FOR AT LEAST ONE YEAR;
- APPLICANT FIRM OWNER MUST BE A **CITIZEN OR LAWFULLY ADMITTED PERMANENT RESIDENT** OF THE UNITED STATES AND BE COMPLIANT WITH THE RESIDENCY REQUIREMENTS OF THE LSBE PROGRAM.



CONTRACT COMPLIANCE DIVISION PURCHASING AND CONTRACTING DEPARTMENT

1300 COMMERCE DRIVE, 2nd FLOOR DECATUR, GEORGIA 30030

(404) 371-7051 Phone (404) 371-7006 Fax

Email: pcadmin-ops@dekalbcountyga.gov Web Site: www.dekalbcountyga.gov

LOCAL SMALL BUSINESS ENTERPRISE DISCLOSURE AFFIDAVIT (THIS IS NOT A DBE PROGRAM)

ALL QUESTIONS MUST BE ANSWERED IN FULL

Applicant Firm					
Name of Owner	U.S	Citizen 🗌	Lawfully Admitte	d Permanent R	esident
Principal Place of Business	Street	City	County	State	Zip Code
Mailing Address Stree	t	City	County	State	Zip Code
Telephone Number			Fax Number		
Web Site			Email Address		
TYPE OF OWNERS! Sole Proprietorship Partnership			ENTERPRISE Locally base DeKalb County	E: ed inside De y Business T	ax ID #
☐ Limited Liability Partnership ☐ Corporation ☐ Limited Liability Company ☐ Joint Venture			Locally based outside DeKalb County but within the Metropolitan Statistical Area (MSA) County:		

* ALL DOCUMENTS SUBMITTED BECOME THE PROPERTY OF DEKALB COUNTY.

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Description of Business : (This is how your b	Service Manufacturer Supplier/ Non- Manufacturer business will be categorized and listed on our certified
5 Digit NIGP Code: NIGI	P Code Description:
GENERAL INFORMATION	
1 (a) Data business started and locations	
(b) Percentage of ownership held by applic(c) Length of time ownership held in applic	cant owner in applicant firm:
(d) Applicant Firm owners net worth as of worth but excluding the individual's ow	date of application (including a spouse or adult child's net wnership interest in their primary residence): \$
<u>*</u>	ent. You may use the attached form (Appendix B) or your own. its for previous three years: (DO NOT LEAVE BLANK)
Year	Annual Gross Receipts
2. What is your reason for seeking certification	on with DeKalb County?
4. (a) Are you currently bidding on a contract If yes, indicate name of bid, RFP or invita	t for DeKalb County? Yes No ation number.
(b) If you are not currently bidding on a confor any other entity? Yes No If yes	ontract with DeKalb County, is this certification required s, please indicate entity:
5. Do you have relatives or family members If yes, do they work with or have an intere	employed with DeKalb County?
PLEASE COMPLETE THE SECTION B	ELOW THAT APPLIES TO YOUR BUSINESS
A. SOLE PROPRIETORSHIP	
Does the owner report as his/her personal funds from such business? Yes	income for State and Federal income tax purposes, the No
Federal Employer ID Number (FEIN)	
B. <u>PARTNERSHIP</u>	
Is fifty-one percent (51%) of the applicant firm Yes No	owned by one or more of the applicant owners identified?

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(Applicant Firm:			_)						
Do the owners report as than fifty percent (50%)						s more			
	Name (s) of Partners:								
Name		Address	Percenta of Ownersh		Ownership Title	Social Security Number			
Date organized as a partnership, in the State of (Month, day, year) Date of initial operation, in the State of (Month, day, year) C. CORPORATION and /or LIMITED LIABILITY COMPANY /PARTNERSHIP Is this business organized as a corporation in which a majority of the stock is owned by the applicant owner? Yes No If the above answer is yes, does the owner report as his/ her personal income for State and Federal income tax purposes more than fifty percent (50%) of the distributed earnings of the corporation? Yes No Date Incorporated, in the State of Tax/FEIN No Total common shares issued as of date of this application: Common: Preferred: Other:									
Enter ALL corporate officers, Boa List all titles for individuals/ entit			including Offi	cers an	d Directors who do no	t own stock in the business.			
Name	ico moranis	Titl	e		0,	6 Ownership			
			<u>-</u>		,				
·						-			
Name of Owners/ Principals own shares		ercentage, amount an	nd type of	Socia	ll Security Number/	Tax Payer ID (FEIN)			

A MANDATORY LIST OF DOCUMENTS REQUIRED TO BE SUBMITTED WITH THIS APPLICATION IS ATTACHED AS **EXHIBIT "A"**.

THE FAILURE TO SUBMIT ALL OF THE REQUESTED INFORMATION MAY RESULT IN A DENIAL OF CERTIFICATION.

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(Applicant Firm:)
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THIS AFFIDAVIT MUST BE SIGNED AND NOTARIZED BELOW

I,, a r		
swear or affirm that this business is at least fifty- one percent that the ownership has been in existence for one year or mo		
receipts do not exceed the following: (1) Construction		
(\$2,000,000.00); or (3) Commodity Supplies (\$1,000,000.00)		
firm does not possess a personal net worth that exceeds \$1,00		
but excluding the individual's ownership in their primary r		
foregoing information is full, true and correct statement of the		
the DeKalb County Contract Compliance Division any sucl		-
ownership and control of this firm. I also agree to arrange for		
verify information provided in this document. I understand of		
not guarantee any present or future contracts with DeKalb Costeps to become a part of the County's procurement system and	-	•
steps to become a part of the County's procurement system and	i bid compeniivery	for business.
Signature:	Date:	
(Owner)		
Name:	Title:	
(Print)		(Print)
Sworn to and subscribed before me		
This day of		
N. (D. 11'.		
Notary Public		
My Commission Expires:	_	
•		

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(Applicant Firm:

My Commission Expires:

ATTACHMENT "A"

O.C.G.A. § 50-36-1(e)(2) Affidávit

By executing this affidavit under oath, as an applicant for a(n) LSBE, MBE and/or WBE Certification, as referenced in O.C.G.A. § 50-36-1, from DeKalb County Government, Department of Purchasing and Contracting, Contract Compliance Division, the undersigned applicant verifies one of the following with respect to my application for a public benefit:

1) I am a Un	ited States citizen.	
2) I am a leg	al permanent residen	nt of the United States.
with an a		nmigrant under the Federal Immigration and Nationality Ac by the Department of Homeland Security or other federa
•	number issued by on agency is:	the Department of Homeland Security or other federa
0 11	cure and veri	es that he or she is 18 years of age or older and has provided fiable document, as required by O.C.G.A
The secure and verifi	<u>-</u>	ovided with this affidavit can best be classified as
willfully makes a false, t	epresentation under fictitious, or fraudule	oath, I understand that any person who knowingly and ent statement or representation in an affidavit shall be guilty ce criminal penalties as allowed by such criminal statute.
Executed in	(city),	(state).
	-	Signature of Applicant
	, i	Signature of Applicant
	Ī	Printed Name of Applicant
SUBSCRIBED AND SW BEFORE ME ON THIS DAY OF	THE	
NOTARY PUBLIC		

(Applicant Firm:	

APPLICANT PROFILE SURV	/EY						
How did you learn about this certification opportunity?							
[] Job Fair [] Walk-In		•	[]Newspaper	[] Other:			
[]JOUT'an []Waik-in	į jiva	1010	[]INEWSpaper	[] Oulei			
[] Word of Mouth	[] [DeKalb Coun	nty Internet Site	[] Vendor Fair/Trade Show			
[] DeKalb Cable TV Channel	[]D	DeKalb Count	y Clinic	[] Dekalb County Brown Bag			
[] Email	[]C	Other Internet	Site:				
RACE	SEX	CERTIFICA	ATION APPLIED FOR:	(CHECK WHICH APPLIES)			
White	Male Female						
Black	1 CIIMIC	[] MBE					
Hispanic		[] WBE		f			
Asian/Pacific Islander		[]LSBE-DE	KALB	f			
Native American Indian		[]LSBE-MS	SA.				
Alaskan Native							
Other		[]HUDSEC	TION 3 SELF CERTIFIC	CATION			
Definitions White (not of Hispanic origin): All persons having origins in any of the original peoples of Europe, North Africa, or the middle							
East. Black (not of Hispanic origin): All persons having origins in any of the Black racial groups of Africa.							
Hispanic : All persons of Mexic			9 1				
regardless of race.							
		•	0 1 1	f the Far East, Southeast Asia, the			
India Subcontinent, or the Pacifi Samoa.	India Subcontinent, or the Pacific Islands. This area includes, for example, China, Japan, Korea, the Philippine Islands and						
	laskan Native:	All persons h	aving origins in any of t	the original peoples of North			

The personal identification information requested in the Applicant Profile Survey is voluntary and removed and handled separately from the other information on the application when your application is reviewed for certification eligibility.

America, and who maintain cultural identification through tribal affiliation or community.

(Applicant Firm:	

APPENDIX A CONFIDENTIAL PERSONAL FINANCIAL STATEMENT

As of ((date)	•
, 10 01 1	(aato)	•

(Both pages must be completed by <u>each applicant owner</u>. - This form may be copied)

Name		Business Phone	
Residence Address		Residence Phone	
City, State & Zip Code			
Name of Applicant Firm			
ASSETS	(Omit Cents)	LIABILITIES	(Omit Cents)
Cash on Hand and in Banks	\$	Accounts Payable	\$
Savings Accounts	\$	Notes Payable to Banks and Others (Describe in Section 1)	\$
IRA or Other Retirement Account	\$		•
Accounts and Notes Receivables	\$	Installment account (Auto)	\$
	Ψ	Installment Account (Other)	\$
Life Insurance- Cash Surrender Value Only. (Complete Section 7)	\$	Loan on Life Insurance	
Stocks and Bonds (Describe in Section 2)	\$	Mortgages on Real Estate (Describe in Section 3)	\$
Real Estate (Describe in Section 3)	\$	Unpaid Taxes (Describe in Section 5)	\$
Automobile(s)- Present Value	\$	Other Liabilities (Describe in Section 6)	\$
Other Personal Property (Describe in Section 4)	\$		
Other Assets (Describe in Section 4)	\$	Total Liabilities	\$
(Describe in Section 4)			
Total Assets	\$	Net Worth (Total Assets minus Total Liabilities) (DO NOT LEAVE BLANK)	\$
Source of Income		Contingent Liabilities	
Salary \$		As Endorser or Co- Maker \$_	
Net Investment Income \$		Legal Claims & Judgments \$_	
Real Estate Income \$		Provisions for Federal Income \$_	
Other Income \$		Other Special Debt \$_	

Section 1. Notes Payable to Bank an	d Oth	ers (Use attac	chments if neces	sary. Each atta	achment must be	identified as a part of this staten	nent and signed.)
Name and Address of Noteholder (s)	Origi Balar		Current Balance	Payment Amount	Frequency monthly etc.	How Secured or Er Type Collater	
Section 2. Stocks and Bonds (Use att	achme	ents if necessa	ry. Each attachi	nent must be i	dentified as a par	t of this statement and signed.)	
Number of Securion Shares Name of Securion Shares	rities		Cost		ket Value on/ Exchange	Date of Quotation/Exchange	Total Value
Section 3. Real Estate Owned (List eand signed.)	ach pa	rcel separatel	y. Use attachme	ents if necessar	ry. Each attachme	ent must be identified as a part o	f this statement
and signed.)		Property A		Property	В	Property C	
Type of Property							
Address							
Address							
Date Purchased							
Original Cost							
Present Market Value							
Name and Address of Mortgage Holder							
Mortgage Account Number							
Mortgage Balance							
Amount of Payment per Month/ Y	ear						
Status of Mortgage							
Section 4. Other Personal Property holder, amount of lien, terms of paym					d as security, state	e name and address of lien	
Section S. Unpaid Taxes (Describe in	n detail	l, as to type, to	o whom payable	e, when due, a	mount, and to wh	at property, if any, a tax lien atta	aches.)
Section 6. Other Liabilities (Describ	e in de	tail.)					
Section 7. Life Insurance Held (Give	e face a	amount and ca	ash surrender va	lue of policies	s- name of insurar	nce company and beneficiaries.)	
I authorize the Purchasing and Contra Order to determine whether I meet the Belief.	_	•	•		•		
Printed/ Typed name:				Signature	and Date:		

Appendix "A"

(Applicant Firm:

APPENDIX "B"

Local Small Business Enterprise (LSBE) CERTIFICATION CHECKLIST

(Minimum Documents Required for All Applicants for Certification)

The Local Small Business Enterprise (LSBE) Disclosure Affidavit must be <u>signed</u> and <u>notarized</u>. In addition, the following supporting documentation relevant to your legal business enterprise must be submitted to the Contract Compliance Division. Failure to submit all required documentation may result in the return of your unprocessed affidavit and/or the denial of certification. Certification packages must be neat and legible and returned in the order listed below.

The documentation required depends on the legal status of the business: Sole Proprietorship (SP); Partnership (P); Corporation (C); Limited Liability Company (LLC); or Limited Liability Partnership (LLP). Check the "Included" box to indicate you have provided the document or note N/A. "N/A" responses must be accompanied by a description of the item and an explanation as to why the documents were not submitted.

All documents must be returned in the order listed. Failure to do so may delay the application process

Either: (a) Birth certificate AND a Picture I.D. <u>OR</u> (b) Current Passport (c) Current Passport Copy of current and previous year's business license which shows the company is located in one of the following counties: Cherokee, Clayton, Cobb, DeKalb, Douglas, Fayette, Fulton, Henry, Gwinnett or Rockdale. You must have a business license for the year prior to submission of your application. Resumes of principals and key management personnel showing education, training, employment and dates (include shareholders who own 5% or more shares and all officers of corporation.) Copy of lease, rental or management agreement for business premises, including local business phone number, a copy of your deed if the premises is owned, or a notarized statement that it is the business is home based X X X X X X Organizational chart (include all current and anticipated positions) Supplier Registration Form (Form 16). Form can be downloaded from the County's website at: http://www.dekalbcountyga.gov/purchasing/pdf/form16.pdf Signed Federal Corporate or Business Tax Returns for the past three (3) years including all schedules X X X X X X Current personal financial statement (attached) Proof of capital contribution. Indicate the manner in which ownership of the firm was obtained. Documents may include: cancelled checks, owner's first bank statement (this must show the date the business started, etc.) X X X X X X X Proof of bonding capacity (if applicable) X X X X X X Proof of bonding capacity (if applicable) X X X X X X X Proof of bonding capacity (if applicable) X X X X X X Proof of bonding capacity (if applicable) X X X X X X Proof of londing capacity (if applicable) X X X X X X Property purchase, rental or lease agreements (complete copy) for each facility owned, rented or leased. Also include one recent cancelled check for each facility rented/leased. X X X X X X Property purchase, rental or lease agreements (complete copy) for each facility owned, rented or leased. Also include one recent cancelled che	application process	_					
Either: (a) Birth certificate AND a Picture I.D. <u>OR</u> (b) Current Passport Copy of current and previous year's business license which shows the company is located in one of the following counties: Cherokee, Clayton, Cobb, DeKalb, Douglas, Fayette, Fulton, Henry, Gwinnett or Rockdale. You must have a business license for the year prior to submission of your application. Resumes of principals and key management personnel showing education, training, employment and dates (include shareholders who own 5% or more shares and all officers of corporation.) Copy of lease, rental or management agreement for business premises, including local business phone number, a copy of your deed if the premises is owned, or a notarized statement that it is the business is home based Copy of lease, rental or management agreement for business premises, including local business phone number, a copy of your deed if the premises is owned, or a notarized statement that it is the business is home based National Chart (include all current and anticipated positions) Supplier Registration Form (Form 16). Form can be downloaded from the County's website at: http://www.dekalbcountyga.gov/purchasing/pdf/form16.pdf Signed Federal Corporate or Business Tax Returns for the past three (3) years including all schedules X X X X X X Current personal financial statement (attached) X X X X X X Current personal financial statement (attached) X X X X X X Proof of capital contribution. Indicate the manner in which ownership of the firm was obtained. Documents may include: cancelled checks, owner's first bank statement (this must show the date the business started, etc.) X X X X X X Proof of bonding capacity (if applicable) X X X X X X Proof of bonding capacity (if applicable) X X X X X X Proof of bonding capacity (if applicable) X X X X X X Proof of bonding capacity (if applicable) X X X X X X Proof of londing capacity (if applicable) X X X X X X Proof of londing capacity (if applicable) X X X X X X Proof of londing capacity (if a	Required Documents for All Applicants	SP	P	\mathbf{C}	LLC	LLP	Included
(b) Current Passport Copy of current and previous year's business license which shows the company is located in one of the following counties: Cherokee, Clayton, Cobb, DeKalb, Douglas, Fayette, Fulton, Henry, Gwinnett or Rockdale. You must have a business license for the year prior to submission of your application. X X X X X X X X X X X X X X X X X X X	Bank signature card (showing date account opened and title of all signers, ex: Pres., etc.)	X	X	X	X	X	
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Rockdale. You must have a business license for the year prior to submission of your application. Resumes of principals and key management personnel showing education, training, employment and dates (include shareholders who own 5% or more shares and all officers of corporation.) Copy of lease, rental or management agreement for business premises, including local business phone number, a copy of your deed if the premises is owned, or a notarized statement that it is the business is home based Organizational chart (include all current and anticipated positions) Supplier Registration Form (Form 16). Form can be downloaded from the County's website at: http://www.dekalbcountyga.gov/purchasing/pdf/form16.pdf Signed Federal Corporate or Business Tax Returns for the past three (3) years including all schedules X X X X X Current personal financial statement (attached) Proof of capital contribution. Indicate the manner in which ownership of the firm was obtained. Documents may include: cancelled checks, owner's first bank statement (this must show the date the business started, etc.) Y X X X X X Proof of bonding capacity (if applicable) X X X X X X Proof of bonding capacity (if applicable) X X X X X X Proof of loading capacity (if applicable) X X X X X X X Proof of loading capacity (if applicable) X X X X X X X Proof of loading capacity (if applicable) X X X X X X X Proof of loading capacity (if applicable) X X X X X X X Property purchase, rental or lease agreements (complete copy) for each facility owned, rented or leased. Also include one recent cancelled check for each facility rented/leased. Signed Owners Federal Tax return including W-2 or 1099 form for the past three (3) years Two (2) executed (i.e. signed by all parties) copies of past/ current contracts/ proposals or purchase order/							
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home based							
Organizational chart (include all current and anticipated positions) Supplier Registration Form (Form 16). Form can be downloaded from the County's website at: http://www.dekalbcountyga.gov/purchasing/pdf/form16.pdf Signed Federal Corporate or Business Tax Returns for the past three (3) years including all schedules X X X X X X X X X X X X X X X X X X X							
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Signed Federal Corporate or Business Tax Returns for the past three (3) years including all schedules							
Current personal financial statement (attached) Proof of capital contribution. Indicate the manner in which ownership of the firm was obtained. Documents may include: cancelled checks, owner's first bank statement (this must show the date the business started, etc.) Fictitious Business Name Statement establishing a D/B/A (if applicable) Proof of bonding capacity (if applicable) Vehicle registration for all company owned vehicles (if applicable) Vehicle registration and denial of certification letters (if applicable) X X X X X X X X X X X X X X X X X X X	http://www.dekalbcountyga.gov/purchasing/pdf/form16.pdf						
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Also include one recent cancelled check for each facility rented/leased. Signed Owners Federal Tax return including W-2 or 1099 form for the past three (3) years Two (2) executed (i.e. signed by all parties) copies of past/ current contracts/ proposals or purchase order/	Equipment owned or available (include description of equipment, year acquired, and current value)	X	X	X	X	X	
Signed Owners Federal Tax return including W-2 or 1099 form for the past three (3) years X X X X X X Two (2) executed (i.e. signed by all parties) copies of past/ current contracts/ proposals or purchase order/	Property purchase, rental or lease agreements (complete copy) for each facility owned, rented or leased.						
Two (2) executed (i.e. signed by all parties) copies of past/ current contracts/ proposals or purchase order/	Also include one recent cancelled check for each facility rented/leased.	X			X		
	Signed Owners Federal Tax return including W-2 or 1099 form for the past three (3) years	X	X	X	X	X	
	Two (2) executed (i.e. signed by all parties) copies of past/ current contracts/ proposals or purchase order/						
invoice in full. This information must include name/ address/ contact person of other company, type of	invoice in full. This information must include name/ address/ contact person of other company, type of						
	work performed or type of contract received and date work completed. One copy must document work						
for the current year and the second copy must document the previous year's work.	for the current year and the second copy must document the previous year's work.	X	X	X	X	X	
Third-party agreements (such as equipment rental or purchase agreement, lease agreement, management							
service agreements) &/ or franchise agreements							
Applicable contractors, professional license(s) and/or permit(s) X X X X X							
Equipment rental and purchase agreements	Equipment rental and purchase agreements	X	X	X	X	X	

(Applicant Firm:	

APPENDIX "B" (Cont.)

Local Small Business Enterprise (LSBE)

Continuation of CERTIFICATION CHECKLIST

(Minimum Documents Required for Your Legal Business Structure)

In addition to the general documents requested on the previous page, please provide the following information for your particular form of business: Sole Proprietorship (SP); Partnership (P); Corporation (C); Limited Liability Company (LLC); or Limited Liability Partnership (LLP).

Requirements for Corporation	SP	P	C	LLC	LLP	Included
Along with Federal Tax Returns include for the past 3 years: (a) Form 1040 in full for all corporate officers; (b) Form 1120 or 1120S in full, including all schedules for all companies owned in whole or part by all corporate officers.			X			
Certificate of Incorporation with Articles of Incorporation, including Amendments			X			
Corporate By- Laws			X			
Minutes of the following meetings: (a) Organizational meetings; (b) Shareholder meetings for the past 24 months; (c) Board of Directors meetings for the past 24 months			X			
Copies of <u>all</u> stock certificates issued to date (include front & back sides of any canceled or replaced certificates. (Do not include specimen copies)			X			
Stock ledger			X			
Agreements related to (a) Stock options, (b) Stockholder voting rights, (c) Ownership agreements, (d) Ownership of voting securities, (e) Stockholder agreements, (f) Facts pertaining to the value of shares, (g) Restrictions on the disposal stock loan agreements			X			

Requirements for Partnership	SP	P	C	LLC	LLP	Included
Along with Federal Tax Returns include: (a) Form 1040 <u>in full</u> (including Schedules B and C for the past three (3) years; (b) Form 1065 <u>in full</u> (including Schedules K and K-1 for the past three (3) years		X				
Employers Quarterly contribution Reports and/or Employers Quarterly Federal Tax Return Form 941 for the past four quarters		X				
Partnership Agreement, including the following major causes (a) Buy-out rights, (b) Profit sharing plan, (c) Capital contribution agreement		X				

Sole Proprietor Requirements	SP	P	C	LLC	LLP	Included
Along with Federal Tax Returns include: Form 1040 <u>in full</u> (including <u>all schedules</u> for the past three (3) years)	X					
Employers Quarterly contribution Reports and/or Employers Quarterly Federal Tax Return Form 941 for the past four quarters	X					

Requirements for Limited Liability Partners/ Corporation & Joint Ventures	SP	P	C	LLC	LLP	Included
Along with Federal Tax Returns include: Form 1065/1120 or 1120S <u>in full</u> (including <u>all schedules</u>) for each joint venture partner for the past three (3) years				X	X	
Limited Liability Articles of Organization, including amendments and/or documents issued by the						
Secretary of State				X	X	<u> </u>
Copy of Operating Agreement				X	X	I