

## MINORITY BUSINESS ENTERPRISE (MBE) WOMAN BUSINESS ENTERPRISE (WBE) Affidavit

#### **Dear Prospective Vendor:**

Thank you for your interest in becoming certified with DeKalb County Government as a Minority Business Enterprise (MBE) or Woman Business Enterprise (WBE). To be certified as a MBE and/or WBE, the applicant firm must be 51% or more owned and controlled by a woman or recognized minority. Applicant firms must be located within the ten (10) County Metropolitan Statistical Area (MSA) consisting of Cherokee, Clayton, Cobb, DeKalb, Douglas, Fayette, Fulton, Henry, Gwinnett, and Rockdale Counties. *The applicant firm must also be located and operating in the MSA for at least one year prior to submitting an application for certification.* 

There is no preference granted for certification as a MBE or WBE. Certification does not guarantee any present or future contracts with DeKalb County. All registered vendors must take the necessary steps to become a part of the County's procurement system and bid competitively for business.

We have enclosed the MBE/WBE certification affidavit, which serves as an application for certification. All questions on the affidavit must be answered completely and <u>ALL</u> requested documentation must accompany the affidavit. Failure to complete portions or provide the required documentation may result in the return of your unprocessed application and the denial of certification for your business. The information on the affidavit must be true and accurate to the best of the applicant's knowledge. The Contract Compliance Division will keep all submitted documents and information confidential to the extent allowable by law.

Submit the completed affidavit and documents to the <u>DeKalb County Purchasing and Contracting Department</u>, <u>Contract Compliance Division</u>, <u>1300 Commerce Drive</u>, <u>2nd Floor</u>, <u>Decatur</u>, <u>Georgia 30030</u>. Direct all questions to the Contract Compliance Division at (404) 371-7051 or visit our website at www.dekalbcountyga.gov for more information.



# PURCHASING AND CONTRACTING DEPARTMENT CONTRACT COMPLIANCE DIVISION

1300 COMMERCE DRIVE, 2nd FLOOR DECATUR, GEORGIA 30030

(404) 371-7051 Phone (404) 371-7006 Fax

Email: pcadmin-ops@dekalbcountyga.gov Web Site: www. dekalbcountyga.gov

## MINORITY BUSINESS ENTERPRISE WOMAN BUSINESS ENTERPRISE DISCLOSURE AFFIDAVIT

(THIS IS NOT A DBE PROGRAM)

#### ALL QUESTIONS MUST BE ANSWERED IN FULL

| U.S Citizen   |              | Lawfully Admitted  | d Permanent Resident [   |
|---|--------------|--|--|
|   |              |  |  |
| City  | County       | State  | Zip Code   |
|   | Fax Number   |  |  |
|   | Email Addres | S  |  |
| TYPE OF OWNERSHIP:  Sole Proprietorship Partnership Limited Liability Partnership Corporation Limited Liability Company Joint Venture |              | Iinority Busines   | ss Enterprise)   |
|   | City P:      | City County  Fax Number  Email Addres  P: APPLYING  MBE (M)  WBE (W) | City  County  State  Fax Number  Email Address  APPLYING FOR STATU  MBE (Minority Business  WBE (Woman Business  nership |

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| (App | licant Firm:)   |
|------|---|
| Des  | <b>PE OF BUSINESS:</b> Construction Service Manufacturer Supplier/Non-Manufacturer cription of Business: (This is how your business will be categorized and listed in our Certified dors List.) |
| 5 D  | igit NIGP Code(s):  |
| NIC  | GP Code Description(s):   |
| 1.   | Date business started and location:   |
| 2.   | (Date/State)  Are you currently certified or seeking certification with any other agency?   Yes   No If yes, indicate name of agencies:   |
| 3.   | Have you ever participated in the procurement process with DeKalb County?   |
| 4.   | (a) Are you currently bidding on a contract for DeKalb County? Yes No If yes, indicate name of bid, RFP or invitation number:   |
|      | (b) If you are not currently bidding on a contract with DeKalb County, is this certification required for any other entity?   Yes  No If yes, please indicate entity:                           |
| 5.   | Do you have relatives or family members employed with DeKalb County?  |

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| (Applicant Firm: | ) |
|------------------|---|

## PLEASE COMPLETE THE SECTION BELOW THAT APPLIES TO YOUR BUSINESS

| rederai Employer iD                                    | Number (FEIN)   |   | <br>Race           | Sex                    |
|--|---|---|--------------------|------------------------|
| members of a minorit                                   | ownership interest of the yrace? Yes their personal income for of the income of the part              | ☐ No or State and Federal in                          | -                  |                        |
| 1110y posonio (800/0)                                  | •   | nority/Women Partne                                   |                    |                        |
| Name   | Address   | Percentage of<br>Ownership                            | Ownership<br>Title | Social Security Number |
|  |   |   |                    |                        |
|  |   |   |                    |                        |
| Date organized as a partner  Date of initial operation | (Month, Day, Y  | ear)  |                    |                        |
| If the above answer is y tax purposes more than        | ed as a corporation in wars of a minority race?  yes, do these stockholder fifty percent (50%) of the | Yes No s report as their perse e distributed earnings | onal income Sta    | ate and Federal income |
|  |   |   |                    |                        |
| Tax/FEIN No.   |   |   |                    |                        |
| Tax/FEIN No Date incorporated                          | , in Month, Date, Year)   |   |                    |                        |

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| Total shares issued as of date of this application: Common: Preferred: Other:  NOTE:  A MANDATORY LIST OF DOCUMENTS REQUIRED TO BE SUBMITTED WITH THIS AFFIDAVIT/APPLICATION IS ATTACHED AS APPENDIX "A".  FAILURE TO SUBMIT ALL OF THE REQUESTED INFORMATION MAY RESULT IN A DENIAL OF CERTIFICATION AND YOUR UNPROCESSED APPLICATION BEING RETURNED TO YOU.  THIS AFFIDAVIT MUST BE SIGNED AND NOTARIZED BELOW  I,, a major stockholder, owner or officer do solemnly swear or affirm that this business has a majority by one or more members who are won minorities as defined by Federal Law. I have read and certify that the above and foregoing information true and correct statement of the facts. I also agree to make available an inspection to the DeKalb Contract Compliance Division any such material which may be required to substantiate the percent minority/woman ownership and control of this firm. I also agree to arrange for on-site inspections of this facilities in order to verify information provided in this document. I understand certification, as a Mino Woman Business Enterprise does not guarantee any present or future contracts with DeKalb Countractively for business.  Signature:   | in the business. I jet all titles t  |   |  | do not own stoc  |
|--|--|---|--|--|
| NOTE: A MANDATORY LIST OF DOCUMENTS REQUIRED TO BE SUBMITTED WITH THIS AFFIDAVIT/APPLICATION IS ATTACHED AS APPENDIX "A".  FAILURE TO SUBMIT ALL OF THE REQUESTED INFORMATION MAY RESULT IN A DENIAL OF CERTIFICATION AND YOUR UNPROCESSED APPLICATION BEING RETURNED TO YOU.  THIS AFFIDAVIT MUST BE SIGNED AND NOTARIZED BELOW  I,   |  |   | Percentage of  |  |
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| MANDATORY LIST OF DOCUMENTS REQUIRED TO BE SUBMITTED WITH THIS AFFIDAVIT/APPLICATION IS ATTACHED AS APPENDIX "A".  FAILURE TO SUBMIT ALL OF THE REQUESTED INFORMATION MAY RESULT IN A DENIAL OF CERTIFICATION AND YOUR UNPROCESSED APPLICATION BEING RETURNED TO YOU.  THIS AFFIDAVIT MUST BE SIGNED AND NOTARIZED BELOW  THIS AFFIDAVIT AND THIS ABOUTT THE ABOUTT THIS ABOUTT THE SECRETARY AND THE ABOUTT THE SECRETARY AND THE SUBMIT TH |  |   |  |  |
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| , a major stockholder, owner or officer do solemnly swear or affirm that this business has a majority by one or more members who are work minorities as defined by Federal Law. I have read and certify that the above and foregoing information rue and correct statement of the facts. I also agree to make available an inspection to the DeKalb Contract Compliance Division any such material which may be required to substantiate the percent minority/woman ownership and control of this firm. I also agree to arrange for on-site inspections of this facilities in order to verify information provided in this document. I understand certification, as a Mino Woman Business Enterprise does not guarantee any present or future contracts with DeKalb Countriegistered vendors must take the necessary steps to become a part of the Country's procurement system a competitively for business.  Signature:  | A MANDATORY LIST OF DOCUMENTS REQUI<br>AFFIDAVIT/APPLICATION IS ATTACHED AS A<br>FAILURE TO SUBMIT ALL OF THE REQUESTI   | APPENDIX "A".<br>ED INFORMATION M   | 1AY RESULT IN A DEN  |  |
| olemnly swear or affirm that this business has a majority by one or more members who are won innorities as defined by Federal Law. I have read and certify that the above and foregoing information rue and correct statement of the facts. I also agree to make available an inspection to the DeKalb Contract Compliance Division any such material which may be required to substantiate the percent ninority/ woman ownership and control of this firm. I also agree to arrange for on-site inspections of this accilities in order to verify information provided in this document. I understand certification, as a Mino Woman Business Enterprise does not guarantee any present or future contracts with DeKalb Counting egistered vendors must take the necessary steps to become a part of the County's procurement system a competitively for business.    Signature: Date:   | THIS AFFIDAVIT MUST BE SIGNED AND NO   | TARIZED BELOW   | •  |  |
| (Owner)  Name: Title: (Print)  | colemnly swear or affirm that this business has minorities as defined by Federal Law. I have read rue and correct statement of the facts. I also again contract Compliance Division any such materia minority/woman ownership and control of this firm facilities in order to verify information provided in Woman Business Enterprise does not guarantee egistered vendors must take the necessary steps to | s a majority by one d and certify that the agree to make available al which may be required. I also agree to arrain this document. I un any present or future | or more members who<br>above and foregoing infale an inspection to the<br>uired to substantiate thange for on-site inspection<br>anderstand certification, are contracts with DeKa | o are women<br>formation is fu<br>DeKalb Count<br>the percentage<br>ons of this firm<br>as a Minority,<br>lb County. A |
| Name: Title: (Print)   |  |   | Date:  |  |
|  | Name:  |   | Title:(Prin  | nt)  |
| Sworn to and subscribed before me  | Sworn to and subscribed before me  |   |  |  |
| Γhis, 20   | Cl. in the second  | 20  |  |  |

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| (Applicant Firm: |  |

#### ATTACHMENT "A"

#### O.C.G.A. § 50-36-1(e)(2) Affidavit

| Certification, as referenced in O.C.G.A. § 50-36-1, from DeKalb County Government, Department  |
|--|
| of Purchasing and Contracting, Contract Compliance Division, the undersigned applicant verifie |
| one of the following with respect to my application for a public benefit:                      |

| 1)                       | I am a United States citizer                     | n.   |
|--------------------------|--|--|
| 2)                       | I am a legal permanent resi                      | ident of the United States.  |
| 3)                       |  | or non-immigrant under the Federal Immigration are ien number issued by the Department of Homeland Securion agency.  |
|                          | My alien number issued by immigration agency is: | by the Department of Homeland Security or other feder  |
| provided at              |  | verifies that he or she is 18 years of age or older and h d verifiable document, as required by O.C.G.   |
| The secure a             | and verifiable document p                        | provided with this affidavit can best be classified a  |
| willfully make           | es a false, fictitious, or frau                  | er oath, I understand that any person who knowingly as udulent statement or representation in an affidavit shall 1-20, and face criminal penalties as allowed by such crimin |
| Executed in _            | (city)   | (state).   |
|                          |  |  |
|                          |  | Signature of Applicant   |
|                          |  | Printed Name of Applicant  |
| BEFORE ME                | D AND SWORN ON THIS THE, 20                      |  |
| NOTARY PU<br>My Commissi |  |  |

| (Applicant Firm: |  |
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|                  |  |

## APPENDIX "A" MBE/WBE DOCUMENT CHECKLIST

The documentation required depends on the legal status of the business.

ALL APPLICANTS MUST SUBMIT THE INFORMATION REQUESTED IN SECTION A.

Corporations (C) must submit the information requested in Sections A and B;

Limited Liability Companies (LLC) must submit the information requested in Sections A, B (as applicable) and C;

Partnerships (P) and Limited Liability Partnerships (LLP) must submit the information requested in Sections A and C;

Sole Proprietorships (SP) must submit the information requested in Sections A and D.

Check the **included** boxes to note that you have provided the copies or note N/A. "N/A responses must be accompanied by an explanation as to why the document(s) were not submitted.

| SECTION A Required Documents for All Applicants   | SP | P  | C  | LLC | LLP | Included |
|---|----|----|----|-----|-----|----------|
| 1. Bank signature card (showing date account opened and title of all signers, ex: Pres., etc.)                                      | X  | X  | X  | X   | X   |          |
| 2. Either: (a) Birth certificate AND a Picture I.D. <i>QR</i>   | X  | X  | X  | X   | X   |          |
| (b) Current Passport  | 71 | 2. | 21 | 71  | 21  |          |
| 3. Copy of current and previous year's business license which shows the company is located in                                       | X  | X  | X  | X   | X   |          |
| one of the following counties: Cherokee, Clayton, Cobb, DeKalb, Douglas, Fayette, Fulton,   |    |    |    |     |     |          |
| Henry, Gwinnett or Rockdale   |    |    |    |     |     |          |
| 4. Current resume of all principals of company showing Education, Training, Employment and experience with dates                    | X  | X  | X  | X   | X   |          |
| 5. Provide copy of lease, rental or management agreement for business premises, including local                                     | X  | X  | X  | X   | X   |          |
| business phone number, a copy of your deed if you own the property, or a notarized  | Λ  | Λ  | Λ  | Λ   | Λ   |          |
| statement on company letterhead stating that the business is home based.  |    |    |    |     |     |          |
| 6. Organizational chart   | X  | X  | X  | X   | X   |          |
| 7. Supplier Registration Form (Form 16). Form can be downloaded from the County's website   | X  | X  | X  | X   | X   |          |
| at: http://www.dekalbcountyga.gov/purchasing/pdf/form16.pdf   |    |    |    |     |     |          |
| SECTION B Requirements for Corporations   | SP | P  | C  | LLC | LLP | Included |
| 8. Previous two years <b>Federal Corporate Tax</b> returns including all schedules  |    |    | X  |     |     |          |
| 9. Certificate of Incorporation, and Articles of Incorporation, including Amendments  |    |    | X  |     |     |          |
| 10. Minutes of First Corporate Organizational meeting   |    |    | X  |     |     |          |
| 11. Minutes of any subsequent meeting during which changes in the ownership and/or  |    |    | X  |     |     |          |
| management of the corporation were discussed  |    |    | 21 |     |     |          |
| 12. Corporate By-Laws   |    |    | X  |     |     |          |
| 13. Copies of all stock certificates issued to date (include front and back sides of any canceled or                                |    |    | X  |     |     |          |
| replaced certificates). (Do not include a specimen copy)  |    |    |    |     |     |          |
| 14. A copy of your stock ledger (If you have assigned stock. If you have not, please state that in writing).                        |    |    | X  |     |     |          |
| 15. If you are incorporated outside of the State of Georgia, include a copy of the firm's   |    |    | X  |     |     |          |
| Certificate of Authority to conduct business in the State of Georgia  |    |    |    |     |     |          |
| SECTION C Requirements for Partnerships   | SP | P  | C  | LLC | LLP | Included |
| 16. Previous two years Federal Partnership Tax returns, Form 1065, including all schedules  |    | X  |    |     |     |          |
| 17. Partnership agreement and Amendments that reflect change in ownership or profit sharing   |    | X  |    |     |     |          |
| 18. Buy-out rights agreement (if separate)  |    | X  |    |     |     |          |
| 19. Profit Sharing agreement (if separate)  |    | X  |    |     |     |          |
| 20. Proof of capital invested (canceled checks, front and back)   |    | X  |    |     |     |          |
| 21. If Partnership was organized outside the State of Georgia, provide Certificate of Authority to                                  |    | X  |    |     |     |          |
| do business in Georgia  |    |    |    |     |     |          |
| 22. Copy of the Article of Organization and Certification of Organization   |    |    |    | X   | X   |          |
| 23. Copy of the Operation Agreement and all Amendments thereof  |    |    |    | X   | X   |          |
| 24. Proof of capital invested (canceled checks, front and back)   |    |    |    | X   | X   |          |
| 25. Prior two years of Federal Tax Returns of Limited Liability Company, including all  |    |    |    | X   | X   |          |
| schedules   |    |    |    |     |     |          |
| 26. If Limited Liability Company was organized outside of State of Georgia, provide Certificate of Authority to do business Georgia |    |    |    | X   | X   |          |
| 27. Certificate of Existence  |    |    |    | X   | X   |          |
| 28. If LLC is a conversion of another form of business – include Certificate of Election from                                       |    |    |    | X   | X   |          |
| Georgia Secretary of State.   |    |    |    |     |     |          |

| (Applicant Firm: |  |
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| SECTION D Requirements for a Sole Proprietorship                   | SP | P | C | LLC | LLP | Included |
|--|----|---|---|-----|-----|----------|
| 29. Previous two years Federal Tax returns including all schedules | X  |   |   |     |     |          |
| 30. Equipment rental and purchase agreement (if applicable)        | X  |   |   |     |     |          |
| 31. Proof of capital invested (canceled checks, front and back)    | X  |   |   |     |     |          |

#### Please submit all completed documents to:

DeKalb County Government, Contract Compliance Division, 1300 Commerce Drive, Second Floor, Decatur, GA 30030

(404) 371-7051 (phone) 404-371-7006 (fax) pcadmin-ops@dekalbcountyga.gov (email)