



**DeKalb County
Department of Purchasing and Contracting**

OCTOBER 2, 2023

REQUEST FOR PROPOSALS (RFP) NO. RFP 23-500650

DESIGN/BUILD SERVICES

FOR

**SNAPFINGER ADVANCED WASTEWATER TREATMENT FACILITY
EXPANSION – PHASE 3B PROJECT (UV DISINFECTION SYSTEM)**

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Mandatory DeKalb First LSBE Meeting: October 4, 2023, October 11, 2023 October 18, 2023
(Bidders must attend 1 meeting on either or October 25, 2023
of the dates listed.) (Meetings are held at 10:00 a.m. and 2:00 p.m. EST)
Video Conference: Utilize the link supplied on our
webpage labeled “DeKalb First LSBE Video
Meeting”

Mandatory Pre-Proposal Conference: October 16, 2023 at 10:00 A.M.
Via Zoom Video Conference:
<https://dekalbcountga.zoom.us>
Password: 500650

Mandatory Pre-Proposal Site Visit October 17, 2023 at 10:00 A.M
Snapfinger AWTF, 4124 Flakes Mill Road
Decatur, GA 30034, DeKalb Construction Trailer

Deadline for Submission of Questions: 5:00 P.M. ET, November 2, 2023
Deadline for Receipt of Proposals: 3:00 P.M. ET, December 15, 2023

THE RESPONSIBILITY FOR SUBMITTING A RESPONSE TO THIS RFP TO THE DEPARTMENT OF PURCHASING AND CONTRACTING OF DEKALB COUNTY GOVERNMENT ON OR BEFORE THE STATED DATE AND TIME WILL BE SOLELY AND STRICTLY THE RESPONSIBILITY OF THE RESPONDER.

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| | Technical Guide Specifications | Exhibit II |



DeKalb County
Department of Purchasing and Contracting
Maloof Administration Building, 1300 Commerce Drive, 2nd Floor, Decatur, Georgia 30030

October 2, 2023

REQUEST FOR PROPOSAL (RFP) NO. 23-500650

DESIGN/BUILD SERVICES

FOR

SNAPFINGER ADVANCED WASTEWATER TREATMENT FACILITY

EXPANSION – PHASE 3B PROJECT (UV DISINFECTION SYSTEM)

DEKALB COUNTY, GEORGIA

I. INTRODUCTION

A. Purpose

DeKalb County Government (“the County” or “County”) requests qualified individuals and firms with experience in Design/Build Services (DBS) to submit proposals to provide DBS for the Snapfinger Advanced Wastewater Treatment Facility (SFAWTF). This project will provide ultraviolet (UV) disinfection for plant effluent and allow for the decommissioning of the existing chlorine disinfection and de-chlorination processes.

B. General Information

The DeKalb County Department of Watershed Management (DWM) is soliciting proposals from interested firms to design, furnish, permit, construct, startup and successfully commission the UV disinfection process at the SFAWTF. The Project is Phase 3B of the ongoing expansion at the SFAWTF facility as part of the County’s \$2.4 billion Capital Improvement Plan (CIP).

This Request for Proposals (RFP) for the DBS for SFAWTF Expansion - Phase 3B Project (UV Disinfection System) (“Project”) invites proposals according to the requirements set forth in this RFP, including the format and content guidelines in Section III. B, *Technical Proposal*. The Proposals will be reviewed and evaluated using the evaluation process described in Section VI, *Award of Contract*.

At the completion of the evaluation process, County will select the highest ranked Responder to award. The selected Responder is also called the “Design/Build Firm”, “Design/Builder”,

“Contractor” and “Engineer” herein.

This RFP is subject to revision after the date of issuance only via written addenda. Addenda will be posted on the County’s website (not distributed directly to potential Responders). It is each Responder’s responsibility to obtain all RFP addenda prior to submitting its proposal.

In no event will County be liable for any costs incurred by any Responder or any other party in developing or submitting a proposal.

C. Background

Project Site: Snapfinger AWWTF (SFAWWTF), 4124 Flakes Mill Road, Decatur, GA 30034, located along the South River in DeKalb County.

The original Snapfinger facility was constructed in the early 1960’s and expanded on four (4) separate occasions over the subsequent twenty (20) years. The majority of the existing structures remaining in use were added in 1983 and have a permitted capacity of thirty-six (36) million gallons per day (MGD). An equalization tank was added in 2003.

The SFAWWTF is being expanded in multiple phases. Phase 1 construction is complete. Phase 2 construction is ongoing to bring the permitted capacity to forty-four (44) MGD and is anticipated to be completed in 2024.

Phase 3 will be performed in three or more sub-phases to bring the permitted capacity to fifty-four (54) MGD.

Construction of the Phase 3A project will follow Final Completion for the Phase 2 project. The Phase 3A project includes: 1.) a replacement influent pump station (IPS); 2.) replacement of waste activated sludge (WAS) handling facilities that remain in use after Phase 2 construction is complete; and, 3.) improvements to reduce quantity and improve quality of sludge, including sludge from proposed new primary clarifiers, that goes to landfill. Selection of the Engineer for the Phase 3A project is currently underway. Phase 3A work does not impact the work of this Project.

Phase 3B is the subject of this Project. Construction of the Phase 3B project must follow Final Completion for the Phase 2 project.

Phase 3C and beyond are future project(s) that could include: headworks expansion, new primary clarifiers, membrane bioreactor (MBR) expansion, additional thickened sludge storage and new digesters. These projects are not within the scope of this RFP.

Exhibit 1, Reference Document

1. Design Development Report Rev. 2 for “Snapfinger Advanced Wastewater Treatment Plant Expansion” dated February 8, 2013 (Reference Document A).
2. Phase 2 design specifications (Reference Documents B.1) and drawings (Reference Documents B.2) are provided in the Conformed version. Phase 2 as-built drawings will be made available to Design/Builder as available and upon request during the Phase 3B design.
Phase 2, Volume 3, Reference Drawings and Information (Reference Documents B.1) includes:

- Investigative reports, including Geotech Reports.
 - Design drawings for “Snapfinger Creek Advanced Wastewater Treatment System” dated 1977.
 - Design drawings for “Snapfinger Creek Water Pollution Control Plant, Wet Weather Influent Flow Equalization” dated 2001.
 - Design drawings for “Dechlorination Facilities At Pole Bridge and Snapfinger Water Pollution Control Plants” dated 1992.
 - Design drawings for “Lime Slaker Replacement Snapfinger Creek AST Facility” dated 2003.
3. A preliminary design was performed for Phase 3 with preliminary design specifications and drawings for “Snapfinger Phase 3” dated September 2011 (Reference Documents C.1, C.2 and C.3).
 4. A DRAFT design with specifications and drawings was developed for a UV disinfection system at the SFAWTF that is provided as “DRAFT UV Disinfection System Design” dated 8/04/2021 (DRAFT UV Design) (Reference Document D).

D. Objectives

By selecting the Design/Build delivery method for this Project, the County is committed to working in close collaboration with the awarded Design/Builder throughout each Part of the Project to achieve the objectives for successful delivery.

The County’s objectives for delivery of the Project are as follows:

1. Community: Project facilities shall not visually impair or increase noise or odor to the surrounding communities.
2. Schedule: Achieve mutually agreed upon milestone dates for design and construction of the Project.
3. Quality:
 - Provide UV disinfection facilities that will reliably treat plant effluent in full compliance with applicable regulations and standards.
 - Project to inherently maintain SFAWTF’s ability to continuously receive and treat plant influent to allow the SFAWTF to meet its National Pollutant Discharge Elimination System (NPDES) permit reliably, efficiently and without interruption, and avoid interference with normal operation of plant equipment and processes throughout construction, operation and maintenance of the Project facilities.
 - Project to provide plant staff with adequate space and access to operate and maintain facilities safely and expeditiously.
 - Project to adhere to County technical requirements and standards as described in Attachment A, *Scope of Work*.
4. Cost: Minimize life-cycle cost while assuring continued and future reliable service.
5. Risk: Achieve an optimal balance of risk allocation between the County and the Design/Builder.
6. Safety: Implement an effective safety program incorporating best industry practices.

7. Sustainability: Implement effective sustainable features by incorporating best industry practices into the design.
8. Coordination and Collaboration: Achieve a high degree of Design/Build coordination, establishing a working environment that emphasizes innovation, teamwork, quality, contract compliance, cost savings methodologies, and partnering between all Project parties.

E. Required Documents

The following Required Documents Checklist includes a list of attachments which **must** be completed and returned with Responder’s technical proposal:

| Required Documents Checklist | Attachment |
|---|--|
| Cost Proposal Form (1 Original & 1 Identical Flash Drive, Separate & Sealed) * | B |
| Proposal Cover Sheet * | C |
| Contractor Reference and Release Form * | D |
| Responder/Contractor Affidavit of Compliance with O.C.G.A. § 13-10-91 * | E |
| Sub-Responder Affidavit of Compliance with O.C.G.A. § 13-10-91 ** | F |
| Sub-SubResponder Affidavit of Compliance with O.C.G.A. § 13-10-91 ** | G |
| Certificate of Corporate Responder or Certificate of Authority-Joint Venture* | H |
| DeKalb First Local Small Business Enterprise (LSBE) Ordinance Information Documents with Exhibits 1 & 2; Mentor Protégé Form * | I |
| First Source Jobs Ordinance Information Documents (with Exhibits 1 & 2 <u>only</u>) * | J |
| Affidavit and Oath of Responder * | K |
| Proposal Bond* | L |
| Project Schedule* | ----- |
| Required Professional Licenses or Certificates (e.g., Business, Professional Engineer, Surveyor, General Contractor License) * Georgia Utility Systems Contractor’s License * Georgia Utility Manager’s Certificate or Number* | ----- |
| Audited Financial Statements or Alternative (Balance sheet, Income Statements, and Cash Flow Statement)* | ----- |
| Assumptions and Exceptions to Scope of Work, Technical Guide Specifications, the latest version of the DeKalb County Department of Watershed Management Water and Sewer Design Standards Manual (County’s Standards), Draft UV Design and Sample County Contract Design/Build Agreement (if none, state “no exceptions and no assumptions”) | A, M, Exhibit 2, County’s Standards, Reference Document D |

***Failure to complete and submit these mandatory forms with the technical proposal, will result in the Responder being deemed non-responsive.**

****Sub-Responder forms are to be completed if a subcontractor will be utilized to fulfill the requirements of this contract. Failure to submit these forms, if applicable, will result in the Responder’s proposal being deemed non-responsive.**

II. STATEMENT OF WORK

A. Performance Time

The services required for this RFP shall commence within ten (10) calendar days from the date the Contractor acknowledges receipt of the Notice to Proceed (NTP). Contractor shall fully complete the Work within 480 calendar days from and including the acknowledgement date. Any additional time that may be required must be approved in writing by the County. No additional remuneration will be allowed for an extension of time other than approved contract extensions.

B. Scope of Work

Design/Builder shall provide all labor, materials, and equipment (including, but not limited to, transportation, construction equipment and machinery, tools, appliances, fuel, power, light, heat, water, sanitary facilities, temporary facilities and all other facilities and incidentals necessary for completion of the Project) to design, furnish, permit, construct, startup and successfully commission the UV disinfection process at the SFAWTF through integrated DBS described in this RFP. Attachment A, *Scope of Work* provides specific design and construction deliverables and requirements. The Project will be implemented in two Parts using the Design/Build delivery method:

1. Part 1 – Final Design Development
2. Part 2 – Construction

Part 1 and Part 2 Integration

The Part 1 Not-to-Exceed Total Price that the Responder provides in Attachment B, *Cost Proposal form* shall cover costs associated with all services necessary to complete Part 1 – Final Design Development of the Project, including all items that are common to Part 1 and Part 2 that are performed during Part 1.

The Part 2 Not-to-Exceed Total Price that the Responder provides in Attachment B, *Cost Proposal form* shall cover costs associated with all services necessary to complete Part 2 – Construction of the Project, including all items that are common to Part 1 and Part 2 that are performed during Part 2.

The Design/Builder's services under Part 1 – Final Design Development will be considered complete on the date when all Part 1 deliverables and final copies of the ‘FOR CONSTRUCTION’ Design Documents have been delivered and approved by County.

Following successful completion of Part 1 – Final Design Development, County may provide written authorization to Design/Builder to proceed with Part 2 – Construction Services. Upon receipt of such written authorization, Design/Builder shall proceed with Part 2.

III. PROPOSAL FORMAT

Responders are required to submit their proposals in the following format:

A. Cost Proposal

1. The cost proposal must be submitted as one (1) hardcopy original and one (1) identical copy submitted on unlocked flash drive. Both submittals must be submitted together in a separate, sealed envelope with the Responder's name and "**Cost Proposal for RFP No. 23-500650 Design/Build Services for Snapfinger AWTF Expansion – Phase 3B Project (UV Disinfection System)**" identified on the outside of the envelope.
2. The sealed envelope containing the cost proposal is requested to be included in the sealed package containing the technical proposal.
3. **DO NOT INCLUDE FEES OR COSTS IN ANY AREA OUTSIDE OF THIS COST PROPOSAL.** Including fees in any area outside of the Cost Proposal's separate, sealed envelope shall result in Responder's proposal being deemed non-responsive.
4. Responders are required to submit their costs on Attachment B and B-1, *Cost Proposal* form. **Responder shall not alter the cost proposal form.**
5. If the County determines (at its sole discretion) that Responder's costs are substantially or unacceptably below other Proposals, the County may (at its sole discretion) declare that Proposal to be nonresponsive or seek additional detailed information from that Responder concerning the cost basis for its fee and rate proposal prior to rendering a decision on the Proposal's responsiveness.
6. Do not include any assumptions and clarifications within the Cost Proposal. Assumptions and clarifications must be listed in the Responder's Technical Proposal.

B. Technical Proposal

DO NOT INCLUDE ANY COSTS OF ANY KIND IN THE TECHNICAL PROPOSAL OR ON THE FLASH DRIVES CONTAINING THE TECHNICAL PROPOSAL.

1. **Submittals** - Technical Proposals must be submitted in a sealed envelope(s) or box(es) with the Responder's name and "**Request for Proposals No. 23-500650 for Design/Build Services for Snapfinger AWTF Expansion – Phase 3B Project (UV Disinfection System)**" on the outside of each envelope or box.
The Technical Proposal shall include responses to all the information requested in this RFP, except the information specifically required for the Cost Proposal form. The Technical response submittal shall include one (1) hardcopy stamped "Original" with one (1) identical copy submitted on an unlocked flash drive.
2. **Format** - The Technical Proposal must not exceed a total of 50 single-sided pages or 25 double-sided pages, including Transmittal letter and Attachment C, *Proposal Cover Sheet*. Page sizes must be 8 ½ x 11-inch paper with 1 inch or greater margins, excluding

the index or table of contents, front and back covers, title pages or separation tabs, resumes, and appendices. Of the 50 pages (25 double sided), a maximum of three (3) pages may be 11 x 17-inch tri-fold format. Eleven-point font or larger must be used.

Brevity and specificity are encouraged. Concise presentation of pertinent information and organization of the submittal is imperative. The County prefers a well-planned, straight-forward business presentation with brief explanation.

3. Responder shall complete Attachment C, *Proposal Cover Sheet*, and include this following the Transmittal Letter for the technical proposal.
4. **Content** - The content requirements set forth in this RFP represent the minimum content requirements for the Proposal. It is the Proposer's responsibility to include information in its Proposal to present all relevant qualifications and other materials. The Proposal, however, shall not contain standard marketing or other general materials. It is the Proposer's responsibility to modify such materials so that only directly relevant information is included in the Proposal.

The Proposal must include the following information in the order listed:

- Transmittal Letter
- Attachment C, *Proposal Cover Sheet*
- Part 1 – Executive Summary
- Part 2 – Design/Builder Profile
- Part 3 – Project Team
- Part 4 – Project Experience
- Part 5 – Project Approach
- Part 6 – Project Schedule
- Appendix A – Required Documents and Acknowledgements
- Appendix B – Resumes (limited to two pages per individual)
- Appendix C – Financial Statements

a. **Transmittal Letter and Proposal Cover Sheet**

Proposers must submit a transmittal letter on the Proposer's letterhead. It must be signed by a representative of the Proposer or the managing member within a teaming arrangement (partnership or joint venture) who is authorized to sign such material and to commit the Proposer to the obligations contained in the Proposal. At minimum the transmittal letter must include the name, address, phone number and email address for the Proposer's Contact, and must specify who would be Engineer's signatory to contract documents executed with County and with it should include other information as follows:

- 1) Proposer Firm's Name
- 2) Physical Address
- 3) Contact Name
- 4) Former Firm Names, Joint Venture Information, Out of State Offices, if Applicable
- 5) Statement that the proposal shall remain in effect, and not be withdrawn for one-hundred Twenty (120) days after the date due to County.
- 6) Statement acknowledging receipt of each Addendum that County may issue

to the RFP.

- 7) Statement that, if awarded, Proposer shall negotiate in good faith with County.
- 8) A clear and concise response as to why County should award your firm for this project

Attachment C, *Proposal Cover Sheet*, should follow the transmittal letter.

b. Part 1 – Executive Summary

The Executive Summary must include a concise overview of the key elements of the Proposal and must summarize and refer to information in the Proposal concerning satisfaction of the Minimum Qualification Requirements. The Executive Summary shall not be used to convey additional information not found elsewhere in the Proposal.

c. Part 2 – Design/Builder Profile

A detailed and complete description of the company proposed as the Design/Builder must be provided in Part 2 of the Proposal. (The term “company” can refer to either a single entity or a joint venture.) Information concerning Key Personnel and other firms that may be included on the Project Team, such as subconsultants and subcontractors, should be provided in Part 3 of the Proposal. The Design/Builder Profile must include the following information:

1) General

Provide general information about the Design/Builder, such as lines of business and service offerings, locations of home office, office that this Project will be managed from and other offices, number of employees (professional and non-professional), years in business, and evidence of required licenses.

2) Legal Structure

Identify whether the Design/Builder is organized as a corporation, limited liability company (LLC), general partnership, joint venture, limited partnership, or other form of legal entity. As applicable, identify the owners of the Design/Builder (e.g., shareholders, members, partners, etc.) who hold an interest of ten percent or more.

3) Project Office Location

Identify where the Design/Builder intends to maintain its project office(s) and where most of the design work will be performed.

4) Financial Condition

In the Proposal Appendix C, *Financial Statements* provide satisfactory evidence that Responder has the necessary financial resources to furnish services to perform Project. Responder must provide financial statements (balance sheet, income statement or cash flow statement) for the last three (3) years as evidence that the Responder has the financial capacity to perform the scope of work. If the Design/Builder is a joint venture, LLC, or partnership, such financial statements must be provided for each partner or member.

5) Material adverse condition.

Design/Builder must not be subject to a material adverse condition, such as pending litigation, insufficient liquidity, weak operating net income or cash flow, or excessive leverage, that gives rise to reasonable doubt concerning its ability to continue to operate as an ongoing concern, to provide payment bonds, performance bonds, insurance, or to maintain sufficient financial strength to undertake and successfully complete the Project and to mitigate/absorb Project risks. If the firm answers “yes” to this situation, complete information pertaining to the nature and duration of the impact shall be disclosed to Dekalb County (not counted towards the page limit).

d. Part 3 – Project Team

The composition, organization, and management of the Project Team must be described in two separate subsections.

1) Design/Builder/other firms

- a) Identify any other firms (such as subcontractors and subconsultants) included on the Project Team along with the Design/Builder and describe the scope of the Design/Builder’s and each firm’s services and responsibilities during Part 1 and Part 2 of the Project. The firm(s) serving as the Designer and Builder must be clearly identified.
- b) Provide Part 1 and Part 2 organizational charts showing the reporting relationships and responsibilities of the Design/Builder and any other firms and describe the Design/Builder’s approach to the management of such firms.

2) Key Personnel

- a) Identify all Key Personnel (and their firm affiliations and physical office locations) on the Project Team and describe their specific responsibilities during Part 1 and Part 2 of the Project. Minimum Key Personnel identified shall include: Project Manager, Design Manager, and Construction Manager. Design/Build team should provide Key Personnel with Design/Build project experience similar to that required for this project.
- b) Provide Part 1 and Part 2 organizational charts showing the reporting relationships and responsibilities of all Key Personnel (along with their firm affiliations) and describe the Design/Builder’s approach to the management of such Key Personnel.
- c) Indicate the commitment of all Key Personnel in terms of an estimated percentage of time during each Part of the Project.
- d) Provide resumes for all Key Personnel in Proposal Appendix B, *Resumes*. Resumes must be limited to two (2) pages per individual and include:

- Academic and professional qualifications
- Professional registration and Licenses (as applicable)
- Experience
- Experience as it relates to the Project and the individual’s specified role and responsibility on the Project

3) Each responsive Proposal shall be reviewed to determine whether it meets the Minimum Qualification Requirements outlined in this subsection.

a) Licensing and registration.

Design/Builder and each of its subconsultant and subcontractor firms must be licensed in the State of Georgia for the type of work to be performed by each firm. The engineering firm must include in responsible charge a Professional Engineer licensed in the State of Georgia. The construction firm shall hold General Contractor License, Utility Systems Contractor’s License and Utility Manager’s Certificate.

Any change in the firms or Key Personnel included in the Proposal will require County approval. The County reserves the right to remove firms or staff members that are deemed not qualified at the County’s discretion.

e. Part 4 – Project Experience

The Proposal must describe the performance history and experience of the Project Team on similar projects and provide information concerning safety.

1) Staff Experience:

Project Manager: Within the past ten (10) years, the Project Manager must have successfully completed at least three (3) projects in a managerial role that are projects similar or larger in size, scope and complexity to this project as defined in Attachment A, *Scope of Work*.

Design Manager: Within the past ten (10) years, the Design Manager must have successfully completed at least three (3) design projects in a managerial role that are projects similar or larger in size, scope and complexity to this project as defined in Attachment A, *Scope of Work*. The Design Manager shall be an employee of the engineering firm.

Construction Manager: Within the past ten (10) years, the Construction Manager must have successfully completed at least three (3) construction projects in a managerial role that are projects similar or larger in size, scope and complexity to this project as defined in Attachment A, *Scope of Work*. The Construction Manager shall be an employee of the construction firm.

2) Reference Projects

Design and Construction Experience. Within the past ten (10) years, Design/Builder must have successfully completed the design and construction of at least three (3) UV disinfection systems, at least one (1) project shall be design and at least one (1) project shall be construction, for

The Responder shall submit descriptions of reference projects to demonstrate relevant experience. Each project description shall contain at least the following information:

- a) Name of Owner
- b) Owner reference and contact information
- c) Role of Responder
- d) Contract value for the design
- e) Contract value for the construction
- f) Change Order Value for the design (if any)
- g) Change Order Value for the construction (if any)
- h) Year started and year completed, as compared to planned year started and planned year completed
- i) Description of the project showing relevance to this Project
- j) Firm(s) and Key Personnel that participated in project and are included in this Proposal, along with a clear description of the project role and responsibility of each

In addition, a summary table should be provided to cross-reference the Project Team (firms and Key Personnel) with participation in the reference projects.

f. Part 5 – Project Approach

Provide a Project-specific description of how the Responder proposes to approach this Project. Include sufficient discussion of proposed value engineering, methodologies, techniques, and procedures for each work item. Provide a breakdown and description of tasks assigned to Project team members. Include obstacles and methods for overcoming these obstacles.

The Project Approach must provide detailed descriptions and initial drawings (used to generate the included proposed bid for this Project) of all work proposed, as required by this RFP. The level of effort should be sufficient to convey the proposed UV disinfection system, equipment layouts, coordination of the UV disinfection system with existing facilities and all other elements of the Project components such that the County can fully evaluate the proposed UV disinfection system design reflected in the Proposal.

It is required that minimum thirty percent (30%) of the Work performed under this contract for the Project be self-performed by the prime company. The following subsections describe in more detail the intent of this section.

1) Design/Build Management Plan:

The Responder must provide an overall plan on how it will manage the entire implementation of the Project. The Management Plan should address how the Design/Builder will interact with, resolve issues, and coordinate the work with the many stakeholders involved in the Project. The Management Plan should include the Design/Builder's approach for managing and performing its services during the Project and should include the following at a minimum:

- a) Discuss how a collaborative relationship with the County would be established during Part 1 and Part 2 of the project, specifically addressing: design development, scheduling, and cost estimating.
- b) Discuss how the design and construction processes will interface (including how constructability issues will be addressed).
- c) Identify the work components critical to the Project's success and how these components would be achieved.
- d) Describe the process for developing the cost proposals for any additions or deductions that may occur during Part 1 (design) and Part 2 (construction).
- e) Discuss how key risk factors will be identified and mitigated.
- f) Project-specific safety plan.
- g) Project-specific quality plan.

2) Design/Build Plan:

The Responder must clearly describe the specifics of the Project. All major components of the Project must be described, including the key process steps and other support steps, buildings, and areas. The following subsections outline the technical elements that must be included to demonstrate compliance with the performance guarantees and minimum design requirements set forth in Exhibit 2, *Technical Guide Specifications*.

The plan should include at a minimum the following:

- a) Initial Drawings of Conceptual Proposed Design(s) and/or Improvements:

The initial design drawings used by the Responder included in its Proposal serve as an overview of the technical design proposed for the Project and will become a part of the Appendices to the contracted *Design and Construction Agreement between Owner and Design/Builder*. The Responder is to submit its own drawings and may propose alternatives to the outlined Project requirements in the RFP. The Responder must clearly label and illustrate the specifics of their proposed modifications and/or improvements to the provided Project requirements in sufficient detail to be easily understood.

3) Design/Build Narrative:

- a) The Design/Build Narrative Section should address, at a minimum, the reasoning and approach to determining the design alternative(s).
- b) Sufficient technical information (drawings, technical summaries and/or additional documents as needed) must be provided for the County to review and understand the design being provided.
 - o UV disinfection equipment selection, include proposed manufacturer and model.
 - o Structural improvements and/or design.
 - o Power supply and electrical equipment.
 - o Instrumentation and controls.
 - o Safety considerations

- Reliability and redundancy.
- Architecture and aesthetics.
- Operational coordination and facility startup.
- Expansion capability.

4) Licenses, Permits and Approvals

The Design/Build Firm must describe the approach to obtaining each license, permit, approval, or other Governmental Approval needed to design and construct the Project. The Responder’s Proposal must indicate where coordination or assistance from the County in obtaining such licenses, permits and approvals is required. The Responder’s Proposal must identify the name of the applicable license, permit, or approval, the estimated time required to obtain, and any other parties that must assist in obtaining the licenses, permits and approvals. The Responder’s Proposal must identify any permits listed in this RFP that the Responder believes are not required and must identify any permits not listed in this RFP that may be required due to the specific nature of the Project.

g. Part 6 – Project Schedule

Provide a critical path milestone schedule showing completion of the Project within the Performance Time defined in this RFP. Include completion of Part 1 milestones (i.e., Basis of Design Report (BODR)/60% Design Documents milestone; 90% Design Documents milestone; 100% Design Documents milestone; and ‘FOR CONSTRUCTION’ Design Documents milestone) and Part 2 milestones (i.e., permitting, construction sequencing milestones).

Part 1 (design) and Part 2 (construction) are to be performed sequentially, though the County, at its sole discretion, may allow the purchase of equipment with long-lead times during the Part 1 period.

Provide a schedule narrative identifying the Responder’s approach to meet major milestones. Include a discussion of major schedule risks anticipated by Responder with potential mitigation strategies and discuss how schedule risks will be identified and addressed throughout the Project.

C. DeKalb First Ordinance

1. It is the objective of the Chief Executive Officer and Board of Commissioners of DeKalb County to provide maximum practicable opportunity for all businesses to participate in the performance of government contracts. The current DeKalb County List of Certified Vendors may be found on the County website at <http://www.dekalbcountyga.gov/purchasing/>.
2. It is required that all responding Responders attend the mandatory LSBE meeting within two-weeks of the solicitation’s advertisement, and comply, complete and submit all LSBE forms with the Responder’s response to remain responsive. Attendance can be via video conference and teleconference. Video conferencing is available through Skype/Lync. Instructions for attendance via video conference can be found on the County’s website at

<https://www.dekalbcountyga.gov/purchasing-contracting/dekalb-first-lsbe-program> .

3. For further details regarding the DeKalb First Local Small Business Enterprise Ordinance, contact the LSBE Program representative, at DeKalbFirstLSBE@dekalbcountyga.gov .

D. Federal Work Authorization Program Contractor and Subcontractor Evidence of Compliance

All qualifying contractors and subcontractors performing work with DeKalb County, Georgia must register and participate in the federal work authorization program to verify the work eligibility information of new employees. Successful Responder(s) shall be required to register and participate in the federal work authorization program. In order for a Proposal to be considered, it is **mandatory** that the Attachment E, *Responder/Contractor Affidavit of Compliance with O.C.G.A. § 13-10-91* and Attachment F, *Sub-Responder Affidavit of Compliance with O.C.G.A. § 1310-91* be completed and submitted with Responder’s Proposal.

IV. CRITERIA FOR EVALUATION

The following evaluation criteria and the maximum points stated below will be used as the basis for the evaluation of proposals.

- | | |
|---|-------------------------|
| A. Project Approach | (30 points) |
| B. Project Schedule | (10 points) |
| C. Experience and Capabilities | |
| • Design/Builder/subcontractor/other firms | (20 points) |
| • Key Personnel | (20 points) |
| D. Cost | (10 points) |
| E. Local Small Business Enterprise Participation | (10 points LSBE-DeKalb) |
| (10 max points) | (5 Points LSBE-MSA) |
| | (2 Points LSBE-GFE) |
| F. Oral Interviews (if granted) | (10 points) bonus |

The County reserves the right to conduct optional interviews with all Responders or a short-listed group of Responders. If the County determines that it is in its best interest to develop a short list of Responders for interview, it shall be based on the following calculation: Highest Responder Score – Interview Points = Short Listed Score

Example: 91-10 = 81. Any responder with a score of 81 or greater will be interviewed.

V. CONTRACT ADMINISTRATION

A. Submittal Instructions

One (1) original Technical Proposal stamped “Original” and one (1) unlocked flash drive containing an identical copy of the Technical Proposal (do not include Cost Proposal here); and one (1) original Cost Proposal and one (1) unlocked flash drive containing an identical copy of the Cost Proposal (see Section III. A. for additional instructions regarding submittal of Cost Proposal) must be submitted to the following address no later than 3:00 p.m. on December 15, 2023.

DeKalb County Department of Purchasing and Contracting
The Maloof Center, 2nd Floor
1300 Commerce Drive
Decatur, Georgia 30030

Proposals must be clearly identified on the outside of the packaging with the Responder's name and "Request for Proposals No. RFP No. 23-500650 DBS Snapfinger AWTF Expansion – Phase 3B Project (UV Disinfection System)" on the outside of the envelope(s) or box(es).

It is the responsibility of each Responder to ensure that its submission is received by 3:00 p.m. on the bid due date. The time/date stamp clock located in the Department of Purchasing & Contracting shall serve as the official authority to determine lateness of any response. The RFP opening time shall be strictly observed. Be aware that visitors to our offices will go through a security screening process upon entering the building. Responders should plan enough time to ensure that they will be able to deliver their submission prior to our deadline. Late submissions, for whatever reason, will not be evaluated. Responders should plan their deliveries accordingly. Telephone or fax bids will not be accepted.

B. Mandatory Pre-Proposal Conference and Mandatory Site Visit

A mandatory pre-proposal conference will be held at 10:00 a.m. on the 16th day of October 2023 via Zoom at the following link: <https://dekalbcountyga.zoom.us>. Interested Responders are **required** to attend and participate in the mandatory pre-proposal conference.

A mandatory site visit will be held at 10:00 AM on the 17th day of October, 2023 at the SFAWTF, 4124 Flakes Mill Road, Decatur, GA 30034, meet at the DeKalb Construction Trailer. Interested Responders are **required** to attend and participate in the site visit.

For individual firms planning to propose as a yet to be formed joint venture, a representative from each firm is required to attend. Failure of a Proposer to attend the mandatory pre-proposal video conference or site visit, will be cause for rejection of proposal. For information regarding the mandatory pre-proposal conference, please contact Willie Moon at (404) 371-7021 or email at wmoon@dekalbcountyga.gov.

C. Questions, Addenda and Interpretation

All questions concerning the Project and requests for interpretation of the solicitation may be asked and answered at the pre-bid conference; however, oral answers are not authoritative. Questions must be submitted to the Department of Purchasing and Contracting in writing via email to wmoon@dekalbcountyga.gov. Questions, requests for information or clarification concerning the specifications in this RFP, and contract exceptions must be submitted to the above listed contact person via email no later than the date and time listed in the bid timetable. Questions and requests for interpretation, and contract exceptions received after the above stated time or sent to anyone other than the listed contact person will not receive a response or be the subject of addenda. For questions and requests for interpretation submitted after the pre-bid conference, each response shall be given in written addendum, separately numbered and dated, and published on the County website in sufficient time for interested parties to review. No requests for interpretation, answers to scope or specification questions, contract exceptions,

or additional information shall be accepted after **5pm, Monday, November 2, 2023**. Questions and requests for interpretation received by the Department of Purchasing and Contracting after this date will not receive a response or be the subject of addenda.

D. Acknowledgment of Addenda

Addenda may be issued in response to changes in the RFP. Mandatory Addenda must be acknowledged by signing and returning the Addendum Acknowledgement with the Proposal. Mandatory Addendum acknowledgments must be received by the County before the Proposal closing time and date. Failure to properly acknowledge any mandatory addendum will result in the proposed Proposal being deemed non-responsive. Responder may call Willie Moon at (404) 371-7021 or send an email to wmoon@dekalbcountyga.gov to verify the number of addenda prior to submission. All addenda issued for this Project may be found on DeKalb County's website, <http://www.dekalbcountyga.gov/formalbids>.

E. Proposal Duration

Proposals submitted in response to this RFP must be valid for a period of One Hundred Twenty (120) days from proposal submission deadline and must be so marked.

F. Project Director/Contract Manager

The County will designate a Project Director/Contract Manager to coordinate this project for the County. The successful Responder will perform all work required pursuant to the contract under the direction of and subject to the approval of the designated Project Director/Contract Manager. All issues including, payment issues, shall be submitted to the Project Director/Contract Manager for resolution.

G. Expenses of Preparing Responses to this RFP

The County accepts no responsibility for any expenses incurred by the Responders to this RFP. Such expenses are to be borne exclusively by the Responders.

H. Georgia Open Records Act

Without regard to any designation made by the person or entity making a submission, DeKalb County considers all information submitted in response to this invitation or request to be a public record that will be disclosed upon request pursuant to the Georgia Open Records Act, O.C.G.A. §50-18-70 et seq., without consulting or contacting the person or entity making the submission, unless a court order is presented with the submission. You may wish to consult an attorney or obtain legal advice prior to making a submission.

I. First Source Jobs Ordinance

The First Source Ordinance is a public regulation which requires contractors and beneficiaries of eligible DeKalb County projects to make a good faith effort to hire fifty (50) percent of all jobs using the First Source Registry. Beneficiaries are immediate recipients of county grants or community development block funds administered by the county of at least \$50,000. Contractors include an individual or entity entering into any type of agreement with the County, funded in whole

or in part with County funds. WorkSource DeKalb (WSD) maintains the First Source Registry, comprised of qualified and trained DeKalb County residents. Business Solutions Unit (BSU) is available to assist the employer with selecting the most qualified candidate by using the First Source Registry to meet the company’s hiring needs. WSD manages the First Source program through the Business Solutions Unit by assigning a specific BSU Specialist. The First Source process is conducted similarly to the BSU business service request process. The BSU Specialist works closely with employers using TALEO and BSU processes to ensure the hiring needs of the employers are met.

For more information on the First Source Jobs Ordinance requirement, please contact WorkSource DeKalb at www.worksourcedekalb.org or 404-687-3400.

J. Business and Professional License

Responder shall submit a copy of its current, valid business license with its proposal or upon award. If the Responder is a Georgia corporation, Responder shall submit a valid county or city business license. If Responder is a joint venture, Responder shall submit valid business licenses for each member of the joint venture. If the Responder is not a Georgia corporation, Responders shall submit a certificate of authority to transact business in the state of Georgia and a copy of its valid business license issued by its home jurisdiction. If Responder holds a professional certification which is licensed by the state of Georgia, then Responder shall submit a copy of its valid professional license. Any license submitted in response to this requirement shall be maintained by the Responder for the duration of the contract.

K. Utility Systems Contractor’s License and Utility Manager’s Certificate Number

As required by O.C.G.A. § 43-14-8, et seq., a Responder responding to this RFP must provide a copy of its or its subcontractor’s General Contractors License, Georgia Utility Systems Contractor’s License and Utility Manager’s Certificate with its Proposal or listed on the Georgia Secretary of State website at (<https://verify.sos.ga.gov/verification/>) at the time of the proposal submission date. All licenses and certificates must be issued in the name of the person or entity that will perform the utility work. If a subcontractor’s Georgia Utility Systems Contractor’s License is submitted with the Proposal, the subcontractor must be listed as such on the appropriate Bid Bond Acknowledgement Form. All licenses and certificates must be current, valid, and issued in compliance with applicable law. Failure to provide this license and necessary certificates in this format will result in the proposed Proposal being deemed non-responsive.

L. Ethics Rules

Responders are subject to the Ethics provision within the DeKalb County Purchasing Policy; the Organizational Act, Section 22A, the Code of DeKalb County; and the rules of Executive Order 2014-4. Any violations will be addressed, pursuant to these policies and rules.

To the extent that the Organizational Act, Section 22A, the Code of DeKalb County, and the rules of Executive Order 2014-4 allow a gift, meal, travel expense, ticket, or anything else of value to be purchased for a CEO employee by a contractor doing business with the County, the contractor must provide written disclosure, quarterly, of the exact nature and value of the purchase to the Chief Integrity Officer, if created, or the Finance Director or his/her designee. Every contractor conducting business with the County will receive a copy of these ethical rules at the time of execution of the contract.

M. Right to Audit

The County shall have the right to audit all books and records, including electronic records, relating or pertaining to this contract or agreement, including but not limited to all financial and performance related records, property, and equipment purchased in whole or in part with County funds and any documents or materials which support those records, kept under the control of the Contractor, including but not limited to those kept by the Contractor's employees, agents, assigns, successors and subcontractors. The County also has the right to communicate with Contractor's employees related to the audited records.

The Contractor shall maintain such books and records, together with such supporting or underlying documents and materials, for the duration of this contract and for seven (7) years after termination or expiration, including any and all renewals thereof. The books and records, together with supporting documents and materials shall be made available, upon request to the County or its designee, during normal business hours at the Contractor's office or place of business. In the event that no such location is available, then the books, records, and supporting documents shall be made available for audit at a time and location which is convenient for the County.

N. Owner Controlled Insurance Program (OCIP)

1. OCIP Insurance Coverage: In connection with the Work, and for the Design/Builder and those subcontractors deemed eligible by the County for participation, the County will implement an Owner Controlled Insurance Program (“OCIP”), providing certain insurance coverages as detailed herein. The insurance coverages provided by the OCIP apply only to the Work performed on the Project site. The Design/Builder and its Subcontractors shall provide their own insurance for all off-site activities. The Builder’s Risk/All Risk Property Insurance component of the OCIP will expressly exclude coverage on Design/Builder’s and Subcontractors’ machinery, tools, and equipment not destined to become a part of the Project Work.
2. OCIP Manual of Insurance Procedures: The OCIP coverage provided by the County shall be further detailed in, and the Design/Builder requirements with respect to the OCIP shall be described in, the General Liability Wrap-Up Manual (“the Manual”) to be incorporated into the Contract Documents and to be issued via an Exhibit to the Contract. This Manual includes information on the following OCIP coverages: Commercial General Liability, Excess Liability, and Builder’s Risk/All Risk Property Insurance U.S. Treasury Circular No. 570, and have a current A.M. Best rating of "A" (Excellent) with a Financial Size Category of XII or better.

O. Valid Insurance, Bonds, Permits and Certificates

Proposers shall ensure that any proposal bond, payment bond, performance bond, insurance, license, permit, or certificate submitted in response to this Request for Proposal or as part of the Contract for this Project shall be current and valid when submitted, and shall remain valid, current and maintained in good standing for the Contract Term.

1. Proposal Guarantee to Accompany Proposal - Each Proposal must be accompanied by a Proposal Guarantee in an amount of not less than ten percent (10%) of the amount of

the Proposal. The Proposal Guarantee may be in the form of an official bank check payable to DeKalb County, or a Proposal Bond in the form provided in the Proposal Document Package. The Proposal Bond shall be issued by a surety company licensed to write bonds in the State of Georgia, listed in the most current U.S. Treasury Circular No. 570, and have a current A.M. Best rating of "A" (Excellent) with a Financial Size Category of XII or better.

2. Insurance – Contractor shall, without expense to the County, provide certificates of insurance, and copies of signed insurance policies including declarations pages from companies that are authorized to engage in the insurance business in the state of Georgia and are otherwise acceptable to the County Finance Director or his/her designee, attached hereto as Attachment J - Certificate of Insurance, Declarations, and Endorsements. Such insurance shall be placed with admitted insurers that maintain an A.M. Best's rating of not less than A (Excellent) with a Financial Size Category of VII or better with coverage forms acceptable to Contractor. The insurance described below shall be maintained uninterrupted for the duration of the Project, including any warranty periods, and shall protect Contractor, and others as required by contract, for liabilities in connection with work performed by or on behalf of Contractor, its agents, representatives, employees or Contractors.
 - a. *Workers Compensation Insurance.* Statutory workers compensation insurance is to be provided in compliance with the requirements of Georgia law with limits not less than the following:
 - Employer's liability insurance by accident, each accident \$1,000,000
 - Employer's liability insurance by disease, policy limit \$1,000,000
 - Employer's liability insurance by disease, each employee \$1,000,000
 - b. *Commercial General Liability Insurance.* Commercial general liability insurance is to be provided with limits not less than the following:
 - \$1,000,000 per occurrence for bodily injury and property damage liability
 - \$1,000,000 personal and advertising injury liability
 - \$2,000,000 general aggregate
 - \$2,000,000 products-completed operations aggregate
 - \$ 100,000 damage to rented premises (each occurrence)
 - \$ 5,000 medical expense (any one person)
 - c. *Umbrella or Excess Insurance.* Umbrella or excess insurance is to be provided with General Liability, Auto Liability and Employers Liability scheduled as underlying policies with limits not less than the following:
 - \$5,000,000 per occurrence
 - \$5,000,000 aggregate
 - d. *Comprehensive Automobile Liability Insurance.* Comprehensive automobile liability insurance with form coverage is to be provided for all owned, non-owned and hired vehicles with combined single limit of \$1,000,000. Automobile liability insurance shall be written on ISO Business Auto Coverage

coverage, and shall cover liability for bodily injury and property damage arising from the use or operation of any automobile, including those owned, hired or otherwise operated or used by or on behalf of Contractor. The policy must include Broadened Pollution Liability Endorsement CA9948 12 93.

- e. *Builder’s Risk Insurance Coverage (If Applicable)*. DeKalb County shall procure and maintain Builders Risk Insurance on the entire work which provides “All-risk” form that shall at least include insurance for physical loss or damage to the Work, temporary buildings, falsework, and materials and equipment in transit, and shall insure against at least the following perils or causes of loss: fire, lightning, extended coverage, theft, vandalism, malicious mischief, earthquake, collapse, debris removal, demolition occasioned by enforcement of Laws and Regulations, water damage (other than caused by flood), and such other perils or causes of loss as may be specifically required by Supplementary Conditions) until Final Completion and Acceptance of the Project. Such policy of insurance shall contain at least the following sub-limits of insurance and deductibles:

Sub-limits:

| | |
|---|------------------------------|
| Property in Transit | \$1,000,000 |
| Property in Offsite Storage | \$1,000,000 |
| Plans & Blueprints | \$25,000 |
| Debris Removal | 25% of Insured Physical Loss |
| Delay in Completion / Soft Cost | TBD |
| Ordinance of Law (Increased Cost of Construction) | \$1,000,000 |
| Flood and Earthquake | TBD – Full Contract Value |
| Deductibles: | |
| Flood and Earthquake | \$25,000 |
| Water Damage other than Flood | \$100,000 |
| All other Perils | \$10,000 |

- 3. The County, its elected officials, officers, employees and agents, hereinafter referred to in this article and in the article entitled “Certificates of Insurance” as “the County and its officers” are to be named as additional insured on all policies of insurance except worker’s compensation insurance with no cross suits exclusion. The County and its officers shall be included as additional insureds under commercial general liability and commercial umbrella insurance, for liabilities arising out of both the ongoing and completed operations of Contractor. Such additional insured coverage shall be endorsed to Contractor’s policy by attachment of ISO Additional Insured Endorsement forms CG 20 10 10 01 (ongoing operations) and CG 20 37 10 01 (products-completed operations), or form(s) providing equivalent coverage.
- 4. All coverages required of the Contractor will be primary over any insurance or self-insurance program carried by the County.
- 5. If the Contractor is a joint venture involving two (2) or more entities, then each independent entity will satisfy the limits and coverages specified here or the joint venture will be a named insured under each respective policy specified.

6. In addition to procuring and maintaining commercial general liability insurance, automobile liability and commercial umbrella insurance, for the Contract Term, Contractor shall continue to procure and maintain the products-completed operations liability insurance coverage and commercial umbrella insurance after the Work is substantially complete for the entire Contract Term or for the applicable five-year statutory limitation, whichever is greater. For such period of time, all terms and conditions of such coverage shall remain unchanged, including the limits specified herein and the requirement to provide the County with coverage as an additional insured.
7. Contractor agrees to waive all rights of subrogation and other rights of recovery against the County and its officers and shall cause each Subcontractor to waive all rights of subrogation for all coverage.
8. Failure of the County to demand such certificate or other evidence of full compliance with these insurance requirements or failure of the County to identify a deficiency from evidence provided will not be construed as a waiver of the Contractor's obligation to maintain such coverage.
9. Contractor understands and agrees that the purchase of insurance in no way limits the liability of the Contractor.

P. Certificates of Insurance

1. Certificates shall state that the policy or policies shall not expire, be cancelled or altered without at least sixty (60) days prior written notice to the County. Policies and Certificates of Insurance listing the County and its officers as additional insureds (except for workers' compensation insurance) shall conform to all terms and conditions (including coverage of the indemnification and hold harmless agreement) contained in this Contract.
2. The Contractor agrees to name the County and its officers as additional insured on the commercial general liability insurance, using the ISO Additional Insured Endorsement forms CG20101001 (ongoing operations) and CG20371001 (products-completed operations) forms, or form(s) providing equivalent coverage.
3. Certificates of Insurance must contain the policy number, policy limits, and policy expiration date of all policies issued in accordance with this Contract; the location and operations to which the insurance applies. Certificates must be provided annually for the duration of the project. If applicable, a specific statement must be included that blasting coverage is included to the extent such risk is present; that Contractor's protective coverage applies to any Subcontractor's operations; and Contractor's contractual liability insurance coverage applies to any Subcontractor.
4. This insurance for the County as the additional insured shall be as broad as the coverage provided for the named-insured Contractor. It shall apply as primary insurance before any other insurance or self-insurance, including any deductible, non-contributory, and waiver of subrogation provided to the County as the additional insured.
5. The Contractor shall be wholly responsible for securing certificates of insurance coverage as set forth above from all Subcontractors who are engaged in the Work.

coverage as set forth above from all Subcontractors who are engaged in the Work.

6. If the County shall so request, the Contractor will furnish the County for its inspection and approval such policies of insurance with all endorsements, or confirmed specimens thereof certified by the insurance company to be true and correct copies.
7. Contractor shall be responsible and have the financial wherewithal to cover any deductibles or retentions included on the certificate of insurance.
8. Such certificates should be sent to the County and must identify the “Certificate Holder” as follows:

DeKalb County, Georgia
Director of Purchasing & Contracting
The Maloof Center
1300 Commerce Drive, 2nd Floor
Decatur, Georgia 30030

9. Copies of Required Insurance policies with Declarations Page(s) shall be attached hereto as Attachment J – Certificate of Insurance, Declarations, and Endorsements.

Q. DeKalb County, Georgia’s Title VI Policy Statement

DeKalb County, Georgia is committed to compliance with Title VI of the Civil Rights Act of 1964 and all related regulations and directives. DeKalb County assures that no person shall on the grounds of race, color, sex, or national origin, as provided by Title VI of the Civil Rights Act of 1964, the Federal-Aid Highway Transportation Act of 1973, and the Civil Rights Restoration Act of 1987 be excluded from participation in, be denied the benefits of, or be otherwise subjected to discrimination under any program or activity. DeKalb County further assures that no person shall on the grounds of age, low income, disability, sexual orientation or gender identity be excluded from participation in, be denied the benefits of, or be otherwise subjected to discrimination under any program or activity.

DeKalb County assures every effort will be made to ensure nondiscrimination in all of its programs and activities, whether those programs and activities are federally funded or not. In addition, DeKalb County will take reasonable steps to provide meaningful access to services for persons with Limited English Proficiency (LEP).

R. Cooperative Procurement

The County through the Department of Purchasing and Contracting may permit piggybacks to this contract from other city, county, local authority, agency, or board of education if the vendor will extend the same prices, terms, and conditions to the city. Piggybacking shall only be available where competition was used to secure the contract and only for a period of 12- months following entry, renewal or extension of the contract. This provision shall not apply to any contract where otherwise prohibited or mandated by state law.

S. Standard County Contract

The attached sample Design Build contract is the County’s standard contract document (see Attachment M), which specifically outlines the contractual responsibilities. All Responders should thoroughly review the document prior to submitting a proposal. Any proposed revisions

response to the request for proposals. Since proposed revisions may result in a proposal being rejected if the revisions are unacceptable to the County, Responders should review any proposed revisions with an officer of the firm having authority to execute the contract. No alterations can be made in the contract after award by the Board of Commissioners.

VI. AWARD OF CONTRACT

An evaluation committee will review and rate all proposals and shall determine if interviews are necessary.

If interviews are conducted, firms will be scheduled for an oral presentation to the evaluation committee, not to exceed one hour's duration, to respond to questions from the evaluation committee relevant to the firm's proposal.

The evaluation committee will make its recommendation for award to the DeKalb County Board of Commissioners, who will make the final decision as to award of contract.

The County reserves the right to make one (1) award or multiple awards.

THE COUNTY RESERVES THE RIGHT TO REJECT ANY AND ALL PROPOSALS, TO WAIVE INFORMALITIES, AND TO RE-ADVERTISE.

VII. ATTACHMENTS

| | |
|---------------|---|
| Attachment A: | Scope of Work |
| Attachment B: | Cost Proposal Form |
| Attachment C: | Proposal Cover Sheet |
| Attachment D: | Contractor Reference and Release Form |
| Attachment E: | Responder/Contractor Affidavit of Compliance with O.C.G.A. § 13-10-91 |
| Attachment F: | Sub-responder Affidavit of Compliance with O.C.G.A. § 13-10-91 |
| Attachment G: | Sub-sub responder Affidavit of Compliance with O.C.G.A. § 13-10-91 |
| Attachment H | Certificate of Corporate Responder, Certificate of Authority – Joint Venture |
| Attachment I | DeKalb First Local Small Business Enterprise (LSBE) Ordinance Information with Exhibits 1&2 and Mentor Protégé Form |
| Attachment J: | First Source Jobs Ordinance Information with Exhibits 1 – 4 |
| Attachment K: | Affidavit and Oath of Successful Responder |
| Attachment L: | Proposal Bond |
| Attachment M: | Sample County Contract Design/Build Agreement between Owner and Design/Builder |

VIII. EXHIBITS

| | |
|------------|--|
| Exhibit I: | Reference Documents |
| | A: SFAWTF Design Development Report date 2/8/2013 |
| | B.1: SFAWTF Phase 2 - Specifications |
| | B.2: SFAWTF Phase 2 - Drawings |
| | C.1: SFAWTF Phase 3 - Preliminary Draft – Specifications |
| | C.2: SFAWTF Phase 3 - Preliminary Draft - Drawings |
| | C.3: SFAWTF Phase 3 – SludgeStorBldg – Preliminary Draft Drawing |
| | D: SFAWTF Draft UV Disinfection System Design dated |

Exhibit II: Technical Guide Specifications

Sincerely,

Willie Moon, Procurement Agent,
Department of Purchasing and Contracting

ATTACHMENT A

SCOPE OF WORK

ATTACHMENT A
SCOPE OF WORK

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I. GENERAL

The Project will be implemented in two Parts, defined hereinafter:

- Part 1 – Final Design Development
- Part 2 – Construction Services

The following items are common to both Parts and are described in this section:

- Description of UV Disinfection System
- Permitting
- Design Guidelines
- Project Management Services.

A. Description of UV Disinfection System

Design/Builder shall base its proposal on UV disinfection equipment that is most advantageous to the County considering equipment performance, cost, duration of schedule elements, overall schedule duration and consideration of future build-out.

A DRAFT design with specifications and drawings was developed for a UV disinfection system at the SFAWTF that is provided as Reference Document D, *DRAFT UV Disinfection System Design* dated 8/04/2021 (*DRAFT UV Design*).

The *DRAFT UV Design* generally provides the water quality standards, design conditions, design requirements, redundancy, and future flows that the Design/Builder is to achieve with the UV disinfection system it provides. Design/Builder is expected to consider the *DRAFT UV Design* and other Reference Documents provided; however, it should not limit the Design/Builder's creativity in design and construction to meet the County's objectives.

Design/Builder's design will integrate the new UV equipment with the existing facilities. Accordingly, the Design/Builder shall survey and evaluate existing facilities, including creating a hydraulic model and performing hydraulic calculations confirming the hydraulic capacity and performance of the UV disinfection system for every combination (i.e., one, two, three, four, five and six UV trains on-line) of operating UV equipment

using current and future buildout criteria.

B. Permitting

Design/Builder shall develop a Permitting Plan and prepare permit applications and provide all supporting documentation to obtain all permits necessary to construct the Project, including Design/Builder shall:

- Identify, consult with, and analyze requirements of governmental authorities having jurisdiction to approve permits required for construction of the Project.
- Gather data, prepare/submit the required documents, hold meetings as applicable, so that approval is granted from governmental authorities having jurisdiction.
- Track and perform tasks associated with permit compliance, all required regulatory notifications and reporting, and proper closeout.

C. Design Guidelines

Design/Builder shall furnish Professional Engineering design services for all engineering disciplines required to provide a complete design for the Project in a series of advancing progressive milestone deliverables. Though not an exhaustive list, engineering disciplines could include: process, hydraulic, surveying, geotechnical, erosion and sediment control (E&SC), civil, demolition and preservation, landscape architecture, architectural, structural, mechanical, heating, ventilating and air conditioning, plumbing, fire protection, instrumentation, electrical, cost estimating, scheduling, environmental, health and safety, permitting, project management.

All final Project documents, including Design/Builder deliverables, reports, plans, drawings, estimates, specifications, calculations, and data shall be signed and sealed by a Professional Engineer that is licensed in the State of Georgia.

The Design/Builder shall be responsible so that the design and construction of all facilities included in the Project is in accordance with the more stringent requirements of the contracted *Design and Construction Agreement between Owner and Design/Builder* (Ref. Attachment M, *Sample County Contract Design/Build Agreement*, Exhibit 2, *Technical Guide Specifications*, the latest version of the DeKalb County Department of Watershed Management Water and Sewer Design Standards Manual (*County's Standards*), the *DRAFT UV Design* and all other Local, State, and Federal requirements of Governmental Authority (refer to List of Minimum Regulatory Standards at the end of Attachment A).

Refer to following link for **DeKalb County Department of Watershed Management Water and Sewer Design Standards Manual** (*County's Standards*):

<https://www.dekalbcountyga.gov/watershed-management/office-engineering-construction-management-services>

The Exhibit 2, *Technical Guide Specifications* represent the minimum requirements and minimum technical standards for the Project and serve as a guide in developing the design and final specifications. Design/Builder is responsible for reviewing Exhibit 2, *Technical Guide Specifications*, presenting proposed modifications to County for review, and for developing the final detailed technical specifications and drawings for the Project.

If a conflict or difference exists between the requirements contained in the specified standards and practices, use the most stringent material or installation requirement for the Project. Design/Builder is to notify the Owner of any such conflicts or differences with each deliverable submission and throughout construction.

In the design, Design/Builder shall not make any generalized blanket references to *County's Standards* and Exhibit 2, *Technical Guide Specifications*, or other Local, State or National standards. If any sections of these standards and specifications are to be included by reference, the design shall quote or cite specific chapters and/or paragraphs of the reference standards.

Reference Documents are listed in Section I.C, *Background* of the RFP and provided in Exhibit 1, *Reference Documents*. Design/Builder shall fully evaluate all information provided along with new information that may manifest during its work and shall use its own professional engineering judgment in conjunction with the design guidance requirements provided in the *County's Standards* and Exhibit 2, *Technical Guide Specifications* to complete the final design.

Design/Builder shall provide a completed and operating system that achieves all DWM requirements and that is consistent with all approved comments/recommendations made by County. Design/Builder shall provide a design that is a complete, and consistent throughout, set (specifications in 17-Division CSI format, drawings).

Acceptance of Project deliverables by County does not relieve Design/Builder from being solely and fully responsible for the accuracy of all deliverables and constructed Work and promptly clarifying ambiguities, and correcting errors and omissions at Design/Builder's own expense throughout the Project.

D. Project Management Services

Design/Builder shall provide Project planning, coordination and management for activities needed to complete the Project. This includes management and monitoring of labor utilization, subcontracts, insurance, and bonds, project quality, project schedule, and

project budget, including those for Design/Builder's Subconsultants and Subcontractors as applicable. It shall be the ongoing responsibility of Design/Builder to:

- Assign and supervise staff, including reassigning staff from Project if requested by County.
- Adequately manage and adhere to the Project Not-to-Exceed budgets.
- Submit deliverables to County on time and in accordance with the contract requirements.
- Coordinate and communicate with County in a timely and professional manner.
- For each meeting related to the Project, Design/Builder shall be responsible for preparation of agenda and distribution 7 days in advance of meeting, facilitating meeting, taking meeting minutes during the meeting and distribution of meeting minutes no later than 7 calendar days following the meeting.

1. Project Initiation

Design/Builder shall:

- Prepare a draft Project Execution Plan summarizing, for both Project Parts, the Project goals and objectives; the Project approach; project organization requirements defining resources/staffing plan, responsibilities, contacts, and communication plan; Design/Builder's quality assurance/quality control (QA/QC) plan; project budget, schedule and work breakdown structure; financial tracking procedures; risk assessment; scope change management process; and, process to update the Project Execution Plan. The project delivery schedule shall be in coordination with County's requirements and milestone dates. Submit for review within 14 calendar days following written Notice to Proceed.
- Schedule and facilitate a Project Kickoff Meeting with County within 21 calendar days following written Notice to Proceed. At the meeting review the draft Project Execution Plan and discuss Project goals, objectives, and critical success factors. Prepare draft Project Execution Plan and agenda and submit to County no later than 7 calendar days prior to Project Kickoff Meeting.
- County comments on the draft Project Execution Plan shall be delivered to Design/Builder within 7 calendar days following the Project Kickoff meeting. Upon receipt of the County comments, Design/Builder shall respond to the County comments in writing, revise the draft Project Execution Plan based on the comments received, and submit a final version within 14 calendar days after receipt of the County comments.

- Implement and enforce the final Project Execution Plan throughout the Project.

2. Monthly Progress Reports and Applications for Payment

Design/Builder shall prepare and submit monthly progress reports with applications for payment for the Work completed during the prior pay period. The progress reports shall identify earned value for each cost-loaded activity in the Schedule work breakdown structure and shall identify future cash flow projections.

Progress reports shall include an updated schedule (always compared to the baseline schedule) which will be reviewed by County and discussed during progress meetings. The status reports shall also identify or forecast proposed modifications to the project scope. Key issues requiring County action or direction shall also be included. A monthly meeting to review the progress report shall be conducted at the location of County's choice: the Snapfinger AWTF, the County DWM Engineering and Construction Management office, or via a virtual meeting.

3. Change Management

Design/Builder shall document scope and schedule changes associated with completion of Design/Builder's work by using a Change Management Log that is submitted with each Monthly Progress Report and describes the major changes that arise. Provide a status of each item for County review during monthly progress meetings.

4. Quality Management

Design/Builder shall perform in-progress quality management reviews to ensure the project objectives are realized. At a minimum, Design/Builder shall perform the following:

1. Designate a Quality assurance/Quality control (QA/QC) Officer for the Project that is responsible for preparation, submission, implementation, and enforcement of the approved Quality Management Plan, and submit documentation of QA/QC activities.
2. Provide checklists and quality management guidance documents for performance of the Project, including proper functionality to required operational metrics, conformance with applicable standards, codes, and permitting requirements.
3. Require all Design/Builder personnel to read the approved Project Execution Plan and be familiar with and follow the Project procedures and requirements.

4. Perform an internal review of all calculations and deliverables by qualified quality management personnel prior to each submission to County. Calculations shall be recorded neatly, kept in an orderly fashion for ease in review and suitable for a permanent record of the design work and submitted to County, as applicable, with each deliverable. Highlight significant assumptions and conclusions in the calculations.
5. Design/Builder shall identify and utilize an individual or individuals on its staff to perform an independent quality control check of all deliverables, including those of its Subconsultants and Subcontractors, prior to submission to County to ensure that they are accurate, clear, and complete and to assure functional coordination of the work with permits, easements, etc. The quality control check shall be comprehensive and shall include at a minimum checking against existing geospatial relationships, subsurface conditions, utilities, sizes, slopes, dimensions details, constructability, section and elevation references, coordination of geotechnical discipline, and permitting and/or other Local, State, or Federal regulations. The Drawings shall be checked for coordination with each other and shall be checked for coordination with the Specifications. The Drawings and Specifications shall be reviewed for constructability and future maintenance access. Upon request by County, Design/Builder shall submit within 3 days of request by County the comments from the independent quality control check to County.
6. Record and submit with all deliverables certification by the Project Manager that submitted information has been reviewed and checked in accordance with the Quality Management Plan.
7. County may elect to perform a third-party peer review on behalf of County. Design/Builder shall supply all necessary calculations, analyses, and other documents needed for the third-party peer review, shall cooperate fully with the peer reviewers, and shall adjudicate peer review comments to the mutual agreement of County, the peer reviewers and Design/Builder.

5. Safety Management

Design/Builder shall be fully and solely responsible for Project safety.

Design/Builder shall designate a Safety Officer for the Project that is responsible for preparation, implementation, and enforcement of the Safety Plan, and transmit documentation of safety activities.

6. Schedule Management

Design/Builder shall prepare, maintain, and provide updates to the Project Schedule according to the following:

1. All schedules shall be prepared using scheduling software approved for use by County.
2. Schedules shall be submitted as electronic files (native and Adobe Acrobat PDF format) and hardcopy and shall be updated monthly to show progress.
3. Prepare and submit a draft baseline, cost-loaded Project Schedule with the Project Execution Plan. The Project Schedule shall include a detailed schedule for Part 1 milestones and activities and Part 2 milestones. An updated detailed schedule to include Part 2 activities shall be submitted by Design/Builder upon County's written authorization to Design/Builder to proceed with Part 2 – Construction Services. The Project Schedule shall be based upon the schedule submitted with Design/Builder proposal, and shall include at a minimum:
 - The work breakdown structure shall match the detailed schedule of values used in partial payment applications.
 - Start date for each activity.
 - Finish date for each activity.
 - Major milestones (include both critical path and those not on critical path).
 - Meeting and workshop dates.
 - Submittal dates including submission dates for each deliverable, County review periods, submission of responses to County review comments and final submission dates.
 - Identification of critical path; and float. Float is for the exclusive use or benefit of County. Float is a resource that is exclusively controlled by County.
4. The Project Schedule shall show the milestones and activities of County, Design/Builder and its Subconsultants and Subcontractors necessary to meet County's overall Project Schedule completion requirements.
5. General milestone schedule for each deliverable shall be as follows:
 - a. Each draft deliverable shall be submitted to County in two (2) hardcopies and two (2) electronic copies on separate devices. Each final deliverable shall be submitted to County in two (2) hardcopies and two (2) electronic copies on separate devices. Electronic copies shall be .pdf format and final deliverables also shall include MSWord and AutoCAD formats.
 - b. Submit to County the draft deliverable and agenda for meeting to review draft deliverable no later than 7 calendar days prior to the meeting.
 - c. Hold meeting to review draft deliverable.

- d. Submit to County the meeting minutes for draft deliverable review meeting no later than 7 calendar days following the meeting.
 - e. County comments on the draft deliverable shall be delivered to Design/Builder within 14 calendar days following the deliverable review meeting.
 - f. Design/Builder shall respond to County comments within 14 calendar days following delivery of County comments. The next deliverable (e.g., if draft deliverable is 30%, then the next deliverable is 60% design) shall include a copy of Design/Builder's responses to County comments on the prior deliverable. Design/Builder's responses are to be provided with adequate detail for verification purposes, such as locations of revised details, specification sections and updated drawing numbers.
6. The draft baseline Project Schedule shall be reviewed and analyzed by County. Design/Builder shall discuss with County any review comments at the Project Kick-off Meeting and shall provide a baseline schedule that is consistent with mutually agreed upon changes.
 7. Schedule updates (always compared to the baseline schedule) shall be made at least monthly and shall be submitted with the level of detail for each update reflecting the information then available, with a narrative describing each change to the schedule. If an update indicates a previously submitted Project Schedule milestone will not be met, Design/Builder shall provide a detailed corrective recovery plan of action to County for review and shall implement the mutually agreed upon corrective recovery plan.

7. Work Management System

1. Document Management: Design/Builder shall maintain and coordinate all pertinent electronic design files and documents including all Computer-Aided Design and Drafting (CADD) files related to the Project. Electronic files submitted during the Project shall use a naming convention approved by County and described in the Project Execution Plan.

Design/Builder shall maintain for the entire Project all records necessary to enable governmental audit.

Design/Builder shall furnish appropriate data storage for the project, including backup storage.

2. Project Management Information System: A web-based County-approved project management information system (PMIS) (e.g., SharePoint) shall be used to facilitate collaboration and management of the Project. Design/Builder shall use the PMIS and follow established procedures and workflows for documenting,

sharing, and control of Project information. At a minimum, the PMIS shall be capable of facilitating the following:

- Overall Project tracking and monitoring of key performance indicators.
 - Meeting and workshops agendas, presentations, meeting minutes, and notes.
 - Action items, issues, decision logs, and tracking.
 - Budget and schedule tracking.
 - Document submittals and transmittals including drawings (pdf format).
 - Contract clarifications.
 - Quality management documentation including comments, responses, and confirmations.
 - Safety management documentation.
 - Applications for Payment and monthly reports.
 - Templates and tools.
 - Project related communication.
 - Dashboards of Project progress prepared by County.
3. Design/Builder and County will coordinate to evaluate and select the PMIS to be utilized for the Project. The Design/Builder shall provide the Project PMIS at no additional cost to the County.

8. Additional Project Management Activities during Part 2

The scope of these services is summarized below:

1. Construction Initiation

- Update the Project Execution Plan for construction and submit for review within 14 calendar days following written Notice to Proceed for Part 2 – Construction Services.
- Schedule and facilitate a Construction Kickoff Meeting with County within 21 calendar days following written Notice to Proceed for Part 2 – Construction Services. At the meeting review the draft Construction Execution Plan and discuss Project goals, objectives, and critical success factors. Prepare draft Construction Execution Plan and agenda and submit to County no later than 7 calendar days prior to Construction Kickoff Meeting.
- County comments on the draft Construction Execution Plan shall be delivered to Design/Builder within 7 calendar days following the Construction Kickoff meeting. Upon receipt of the County comments, Design/Builder shall respond to the County comments in writing, revise the draft Construction Execution Plan based on the comments received, and submit a final version within 14 calendar days after receipt of the County comments.
- Implement and enforce the final Construction Execution Plan throughout the remainder of the Project.

2. Quality Management

- Designated QA/QC Officer shall update, submit, implement, and enforce the approved Quality Management Plan for construction, and submit documentation of QA/QC activities.
- Design/Builder shall be responsible for all costs associated with QA/QC testing.

3. Safety Management

- Designated Safety Officer shall update, implement, and enforce the Safety Plan for construction, and transmit documentation of safety activities.

4. Work Management System

- A web-based County-approved software system (e.g., Primavera Contract Manager, SharePoint) shall be used to facilitate collaboration and management of construction documentation. Design/Builder shall use the software system and follow established procedures and workflows for documenting, sharing, and control of construction documentation. At a minimum, the software system shall be capable of facilitating the following:
 - Requests for Information (RFIs)
 - Submittals/Shop Drawings/Sample Review
 - Design Change Notices (DCNs)
 - Field Orders
 - Change Orders
 - Non-compliance Notices
 - Record (As-Built) Drawings and Documents to document as-built conditions
 - Project closeout documents
 - Warranty documents and administration throughout the warranty period
 - Turnover of all keys, spare parts, and special tools to the County
 - Other mutually agreed upon construction documents

II. PART 1 – FINAL DESIGN DEVELOPMENT

Design/Builder shall submit for review and comment, and present at meetings with County for each milestone deliverable: Basis of Design Report (BODR)/60% Design Documents milestone; 90% Design Documents milestone; 100% Design Documents milestone; and ‘FOR CONSTRUCTION’ Design Documents milestone.

A. Specialist Professional Services

Design/Builder shall provide all specialist professional services necessary to complete the Project at no additional cost to the County. Specialist professionals shall be licensed in the

State of Georgia for the work performed and shall certify/seal their deliverables accordingly. Specialist professional services may include the following:

- Establish site-specific benchmarks and perform surveys.
- Other exploration, testing, data collection and analyses that may be deemed necessary.
- Permit Fees: Design/Builder to pay permitting fees to authorities having jurisdiction.

B. Basis of Design Report (BODR)/ 60% Design Documents

Submit a Basis of Design Report (BODR)/60% Design Documents presenting finalized design concepts, including potential alternate solutions that are recommended by Design/Builder. The BODR shall include applicable requirements, analyses, and considerations (e.g., codes, design approach for engineering disciplines), conceptual design drawings (including process flow diagrams, electrical load, and control diagrams), sketches and exhibits and design criteria (e.g., equipment capacity, performance, horsepower, quantity, redundancy).

Specifically address the following items in the BODR and provide updates for subsequent milestone deliverables:

- Review the Project requirements and consult with County as appropriate to further clarify requirements for the Project including County's budget, review of Project design criteria requirements, and available County-furnished information.
- Evaluate the constraints of the project site and associated supporting infrastructure (e.g., gate weir elevations, process channel dimensions, hydraulic profile, power, duct bank/conduit capacity and SCADA) that interface with the Phase 3B facilities to determine if they are adequate to service the Phase 3B facilities, and if found lacking, Design/Builder's recommendations to address the identified issues.
- Evaluate the Project reference documents, including but not limited to the *County's Standards*, Exhibit 1, *Reference Documents* and Exhibit 2, *Technical Guide Specifications*, project specific assessment data, and, recommend to County design features, alternatives and options that would benefit the Project.

The BODR/60% Design Documents shall also include the following:

1. Narrative to include detailed descriptions of deviations from the *DRAFT UV Design*, and, as applicable, descriptions of deviations, conflicts or differences between County's standard and practices and Design/Builder's intended approach.

2. Procurement approach and scheduled timing for procurement of long-lead critical equipment and supplies.
3. Work Sequence concepts that minimize impact to ongoing plant operations.
4. Drawings developed to 60% completion of final design and shall include at minimum:
 - Drawings:
 - Cover Sheet
 - Index of Drawings (intended list of drawings for completed project)
 - Location and Vicinity Maps
 - Hydraulic Profile: separate Project and future, as applicable
 - List of Abbreviations
 - General Site Layout
 - Layout drawings for each facility
 - Start of drawings for each engineering discipline
5. Specifications developed to 60% completion of final design, including updates to specifications provided in the *DRAFT UV Design*.
6. Survey data, as applicable.
7. Other exploration, testing, and analyses, as applicable.
8. Identification of discrepancies between data provided by County and that collected by Design/Builder.
9. Design calculations: Calculations applicable to 60% deliverable.
10. Permitting Plan, including requirements, approach, and as applicable to the extent possible at this stage of the project, preparation of documents to support permitting and permitting submittals and meetings with regulatory agencies.
11. Documentation that quality management and constructability review of documents was completed prior to submission to County.
12. The BODR/60% Design Documents meeting shall be an engineering workshop with County after submission to County.

C. 90% Design Documents

After acceptance by County of the BODR/60% Design Documents, Design/Builder shall proceed with preparation of the 90% design documents, further enhancing and refining the concepts presented in the BODR/60% Design Documents.

The 90% Design Documents shall include specifications and drawings developed to 90% completion of final design and shall include at minimum:

1. Design/Builder's responses to County comments on the prior deliverable (BODR/60% Design Documents).
2. Narrative to include detailed listing of updates, as applicable, to BODR/60% Design Documents content, including detailed descriptions of deviations from the BODR/60% Design Documents.
3. Procurement approach and scheduled timing for procurement of long-lead critical equipment and supplies, revised as applicable from the BODR/60% Design Documents submittal.
4. Drawings developed to 90% completion of final design.
5. Specifications developed to 90% completion of final design.
6. Design calculations: Calculations applicable to 90% deliverable.
7. Permitting Plan, revised as applicable from the BODR/60% Design Documents submittal.
8. Documentation that quality management and constructability review of documents was completed prior to submission to County.

D. 100% Design Documents

After acceptance by County of the 90% Design Documents, Design/Builder shall proceed with preparation of the 100% design documents that are signed and sealed by a Professional Engineer, but labeled "NOT FOR CONSTRUCTION", to submit for permit reviews by the Planning and Sustainability Department and required regulatory agencies such as the Georgia EPD.

The 100% Design Documents shall include specifications and drawings developed to 100% completion of final design and shall include at minimum:

1. Design/Builder's responses to County comments on the prior deliverable (90% Design Documents).

2. Narrative to include detailed listing of updates, as applicable, to 90% Design Documents content, including detailed descriptions of deviations from the 90% Design Documents.
3. Procurement approach and scheduled timing for procurement of long-lead critical equipment and supplies, revised as applicable from the 90% Design Documents submittal.
4. Drawings developed to 100% completion of final design, signed and sealed by a Professional Engineer.
5. Specifications developed to 100% completion of final design, signed and sealed by a Professional Engineer.
6. Design calculations: Final calculations, signed and sealed by a Professional Engineer.
7. Permitting Plan, revised as applicable from the 90% Design Documents submittal.
8. Documentation that quality management and constructability review of documents was completed prior to submission to County.

E. ‘FOR CONSTRUCTION’ Design Documents

After acceptance by County and permitting agencies of the 100% Design Documents, Design/Builder shall proceed with preparation of the ‘FOR CONSTRUCTION’ Design Documents that are signed and sealed by a Professional Engineer. It is anticipated that the ‘FOR CONSTRUCTION’ Design Documents are identical to the 100% Design Documents except for revisions that may be required by County or permitting or regulatory agencies

The ‘FOR CONSTRUCTION’ Design Documents shall include specifications and drawings developed to 100% completion of final design and shall include at minimum:

1. Design/Builder’s responses to County, permitting and regulatory agency comments on the 100% Design Documents.
2. Narrative to include detailed listing of updates, as applicable, to 100% Design Documents content, including detailed descriptions of deviations from the 100% Design Documents.
3. Procurement approach and scheduled timing for procurement of long-lead critical equipment and supplies, revised as applicable from the 100% Design Documents submittal.

4. ‘FOR CONSTRUCTION’ Drawings, signed and sealed by a Professional Engineer.
5. ‘FOR CONSTRUCTION’ Specifications, signed and sealed by a Professional Engineer.
6. Design calculations: Revised final calculations, as applicable, signed and sealed by a Professional Engineer.
7. Confirm that all permitting and regulatory approvals have been obtained and that no outstanding issues prevent the Project from being constructed.
8. Documentation that quality management and constructability review of documents was completed prior to submission to County.

III. PART 2 – CONSTRUCTION SERVICES

Following successful completion of Part 1 – Final Design Development, County may provide written authorization to Design/Builder to proceed with Part 2 – Construction Services. Upon receipt of such written authorization, Design/Builder shall proceed with Part 2.

A. Construction Services

Design/Builder shall:

1. Build improvements in accordance with the ‘FOR CONSTRUCTION’ Design Documents.
2. Comply with all County and industry construction standards and best practices.
3. Comply with all regulatory and environmental mitigation requirements.
4. Provide construction meetings to include: monthly progress meetings, weekly construction meetings and special meetings to discuss issues requiring greater depth than can be discussed at monthly and weekly meetings.
5. Be responsible for coordinating, through meetings and submittals, all interfaces, shutdown and tie-ins with plant staff to keep the plant operating and in compliance.
6. Restore all disturbed conditions throughout the project limits to pre-existing conditions or better.

7. Comply with DeKalb County Work Hours as defined in the contracted *Design and Construction Agreement between Owner and Design/Builder*.
8. Furnish, store, and protect all materials necessary to complete the Work.
9. Include all modifications made during commissioning in the final Operation and Maintenance (O&M) Manuals and Record (As-Built) Drawings and Documents.
10. Cover travel expenses for four County personnel to witness factory testing as described in the *DRAFT UV Design* at no additional cost to the County.
11. Design/Builder shall furnish engineering services during construction from its design engineer, including the following:
 - a. Design Engineer visits to the Site at intervals appropriate to the various stages of construction to determine if the Work is proceeding in accordance with the ‘FOR CONSTRUCTION’ Design Documents. Design Engineer shall report in writing to County the progress of the Work, specifically noting omissions, substitutions, defects, and deficiencies with the goal of providing County a greater degree of confidence that the completed Work conforms to the ‘FOR CONSTRUCTION’ Design Documents.
 - b. Issue necessary clarifications and interpretations of the ‘FOR CONSTRUCTION’ Design Documents.
 - c. Review technical submittals and other data required to be submitted to ensure conformance with the ‘FOR CONSTRUCTION’ Design Documents and provide written comments to County for each submittal.
 - d. Conduct a final visit to the Project to determine if the completed Work is in conformance with the ‘FOR CONSTRUCTION’ Design Documents and provide written comments to County.
 - e. Provide letter to Georgia Environmental Protection Division certifying construction is completed in accordance with the ‘FOR CONSTRUCTION’ Design Documents. If required, provide a letter to DeKalb Department of Planning and Sustainability certifying construction is completed in accordance with the Contract Documents. These letters shall be provided so that a Certificate of Occupancy and use of facilities will be issued by regulatory agencies.
12. Submit vendor O&M Manuals for all Project equipment. O&M manuals shall include copies of manufacturers’ on-site installation checks/operational validation, contact details for equipment supplier, wiring diagrams, PLC ladder logic (digitally on thumb

- drive), spare parts list (per manufacturers' written recommendations), instructions for maintenance, disassembly, and re-assembly of equipment, troubleshooting instructions. Provide six (6) complete final hard copies and two (2) final electronic copies.
13. Notify County in writing, as described in Exhibit 2, *Technical Guide Specifications*, when Design/Builder believes Substantial Completion has been achieved. Upon County's issuance of Substantial Completion to the Design/Builder, operation of the facility will become the responsibility of the County.
 14. Provide the greater of one (1) full year warranty for the Project commencing on the date of Final Completion or such longer period of time as described in the *DRAFT UV Design* and as required by other documents in the contracted *Design and Construction Agreement between Owner and Design/Builder*.
 15. Submit plans for and furnish Manufacturer's, Installation/Checkout, Testing, Startup and Commissioning services, including manufacturers' installation certification and training of County personnel. It shall be the Design/Builder's sole responsibility to prove the performance of the facility and all related components/equipment.
 16. Update the plant's facility O&M Manual to integrate the Project with the existing facilities, to facilitate plant operations and to meet GA EPD requirements. Present O&M Manual to train plant operations staff on the Project facilities. Updates to the plant's facility O&M manual shall include a plant overview, unit processes, electrical power distribution, SCADA systems, and buildings and support systems installed as the Project. Plant operators will refer to existing plant's facility O&M Manual for existing operations and maintenance that does not change. Unit process data shall provide:
 - Format same as existing plant facility O&M Manual, including .pdf version of the O&M Manual shall provide links to supporting documents (Vendor O&M manuals, detailed preventative maintenance tasks and schedules, record drawings and specifications).
 - Detailed design data
 - Equipment list with operating characteristics
 - Process description
 - Process flow diagram
 - Process control philosophy and control strategies
 - Initial operating parameters, set points and range
 - Standard operating procedures for operations
 - Start-up, shutdown, and bypass procedures
 - Description of alarms, interlocks, and recommended responses
 - Process troubleshooting.
 - Revisions based on operations during the commissioning process.

IV. LIST OF MINIMUM REGULATORY STANDARDS

Design/Builder must comply with all Local, State and Federal Regulations including, but not limited to, the following Specifications and Standards:

| Item | Title | Edition |
|------|--|------------------------------|
| 1 | DeKalb County Department of Watershed Management Water and Sewer Design Standards Manual | Latest Edition |
| 2 | Technical Guide Specifications | included as Exhibit 2 |
| 3 | The Georgia Manual for Erosion and Sedimentation Control | Latest Edition |
| 4 | Federal Highway Manual on Uniform Traffic Control Devices for Streets and Highways (MUTCD) | Latest Edition |
| 5 | ASTM International Standards formerly known as American Society for Testing and Materials (ASTM) | Latest Edition |
| 6 | American Water Works Association (AWWA) | Latest Edition |
| 7 | The American Association of State Highway and Transportation Officials (AASHTO) | Latest Edition |
| 8 | National Sanitation Foundation (NSF) | Latest Edition |
| 9 | American Concrete Institute (ACI) | Latest Edition |
| 10 | 29 Code of Federal Regulations (CFR) 1910 | Latest Edition |
| 11 | 29 Code of Federal Regulation 1926 | Latest Edition |
| 12 | American Iron & Steel Requirements | Latest Edition |
| 13 | National Fire Protection Association (NFPA) | Latest Edition |
| 14 | American National Standards Institute (ANSI) | Latest Edition |
| 15 | Codes adopted and enforced by DeKalb County | Latest Edition |

V. SPECIAL CONDITIONS

A. The Design/Builder shall comply with the following Special Conditions:

1. **Construction Kickoff Meeting** - A Construction Kickoff Meeting is mandatory prior to commencement of construction activities. Pre-construction meetings will be arranged by the Design/Builder and will be held with the County and any other appropriate stakeholders.
2. **Daily Report** – During Part 2, Design/Builder shall submit a Daily Report to County of all proposed construction work for the current workday by 7:30 a.m.
3. **Weekly Report** - During Part 2, Design/Builder shall submit a weekly total of all work completed within the preceding week. This information is due on Thursday mornings no later than 7:30 a.m. This total shall include quantities of all material used and contract items completed and shall be acceptable to County's inspector. Format shall be as specified by County. The Weekly Reports shall be included as backup documents with the Pay Applications.
4. **Monthly Pay Application** - During Part 2, Design/Builder and County shall review and inspect payment items prior to the Monthly Pay Application submittal. Tabulations on the approved Weekly Reports will be the basis for the Design/Builder's Monthly Pay Application. Monthly Pay Applications are to include certified payroll documents that coincide with the work billed for that period. Monthly Pay Applications are due to County on the 25th of each month
5. **Three-Week Lookahead** – On a weekly basis, Design/Builder shall submit a schedule projecting work and expected impacts for the subsequent three weeks.
6. **Work Coordination with Work by County and Others** - It shall be the Design/Builder's responsibility to coordinate his work with work to be performed by County and others that could impact the Design/Builder's work schedule and arrange a schedule of Design/Builder's work that will allow for the completion of the Project without delay.
7. **Work Coordination with Plant Operations** - It shall be the Design/Builder's responsibility to coordinate his work with County so that Design/Builder's work will not restrain or hinder the operation of the existing facilities. The Design/Builder must submit and obtain approval from County for Design/Builder's existing facilities outage, existing facilities tie-in and startup, including the work to be performed, with the date, start time and duration of impact to existing plant operations.
8. **Permit Violations** - It shall be the Design/Builder's responsibility to compensate County for plant permit violations caused by actions of Design/Builder and to compensate County for the cost of related corrective actions.
9. **County's Emergency Operation Right and Authority** - In the best interest of public safety and security, Georgia Environmental Protection Division compliance and consumer well-being in an emergency situation, the County, without prior notice to Design/Builder, has the authority and right to order a temporary work stoppage until the emergency situation has resolved. Should the County exercise this right, this shall have no impact to the contracted *Design and Construction Agreement between Owner and Design/Builder*.

10. **Protection of Existing Facilities** - The Design/Builder shall use considerable care in protecting the existing facilities. It shall be the Design/Builder's responsibility to compensate County for damage to existing facilities caused by actions of Design/Builder and to compensate County for the cost of related corrective actions.

11. **Pre-Construction Video** - The Design/Builder is required to submit a video record of existing conditions within the Design/Builder's work area prior to construction activity. The County shall retain ownership of the video record. Short narrative descriptions identifying existing conditions are required to be included on the video record.

12. **Prime Point of Contact** – Design/Builder's "Main Point of Contact" shall be on site at all times when work is being performed.

13. **Site Safety and Personal Protective Equipment (PPE)** – Design/Builder shall be responsible for site safety in Design/Builder's work area, including establishing and enforcing appropriate minimum PPE to be worn by everyone at all times while in Design/Builder's work area.

END OF ATTACHMENT A

ATTACHMENT B

COST PROPOSAL FORM

(Consisting of 2 pages)

DESIGN/BUILD SERVICES for SNAPFINGER AWTF EXPANSION – PHASE 3B PROJECT (UV DISINFECTION SYSTEM).

Responder: Please complete the attached pages of the Cost Proposal Form and return them with this cover page. **The cost proposal must be submitted in a separate, sealed envelope or Responder will be deemed non-responsive and will not be considered for award.** The Responder’s name and “Request for Proposals No. 23-500650 Design/Build Services for Snapfinger AWTF Expansion – Phase 3B Project (UV Disinfection System)” clearly identified on the outside of the envelope.

Note 1: In all cases, if there is a discrepancy between the Responder’s quoted unit price and the extended price, the unit price will govern, unless otherwise specified in the solicitation.

Note 2: Unbalanced bids will not be tolerated by the County and could result in the Responder being deemed non-responsive. It is at the County’s determination and discretion as to whether the bid is deemed unbalanced.

By signing this page, Responder acknowledges that he has carefully examined and fully understands the Contract, Scope of Work, and other attached documents, and hereby agrees that if his proposal is accepted, he will contract with DeKalb County according to the Request for Proposal documents.

Please provide the following information:

Name of Firm: _____

Address: _____

Contact Person Submitting Proposal: _____

Title of Contact Person: _____

Telephone Number: _____

Fax Number: _____

E-mail Address: _____

Signature of Contact Person

Title of Authorized Signer

ATTACHMENT B-1

COST PROPOSAL FORM

DESIGN BUILD SERVICES

for

**DESIGN/BUILD SERVICES FOR SNAPPINGER AWTF EXPANSION – PHASE
3B PROJECT (UV DISINFECTION SYSTEM).**

DeKalb County,

Georgia Department of Watershed Management
180 Sams Street, Suite B3200
Decatur, Georgia 30030

Attachment B-1, Cost Proposal Form is included as a separate document at the below link

<https://sftp.dekalbcountyga.gov/f/afcb2ff0962746dc>

Note: Attachment B and B-1 are required documents for submittal with the bid.

END OF ATTACHMENT B

ATTACHMENT C
PROPOSAL COVER SHEET

NOTE: Read all instructions, conditions, and specifications in detail before completing this Request for Proposal.

Please complete and include this cover sheet with your Technical Proposal.

| | | | |
|--|--|-----------------|----------|
| Company Name | | Federal Tax ID# | |
| Complete Primary Address | County | City | Zip Code |
| Mailing Address (if different) | City | State | Zip Code |
| Contact Person Name and Title | Telephone Number (include area code) | | |
| Email Address | Fax Number (include area code) | | |
| Company Website Address | Type of Organization (check one) <input type="checkbox"/> Corporation <input type="checkbox"/> Joint Venture <input type="checkbox"/> Proprietorship <input type="checkbox"/> Government | | |
| <p>Proposals for RFP No. 23-500650 Design/Build Services For Snapfinger Advanced Wastewater Treatment Facility Expansion – Phase 3b Project (UV Disinfection System) described herein must be received in the Purchasing & Contracting Department, 2nd Floor, Manuel Maloof Bldg., 1300 Commerce Drive, Decatur, Georgia 30030 by <u>December 15, 2023 at 3:00 pm (EST)</u> and shall be marked in accordance with the RFP, Section III. Proposal Format, B. Technical Proposal.</p> <p>CAUTION: The Decatur Postmaster will not deliver certified or Special Delivery Mail to specific addresses within DeKalb County Government. When sending bids or time sensitive documents, you may want to consider a courier that will deliver to specific addresses.</p> | | | |
| <p>Proposal Cover Sheet should be signed by a representative of Proposer with the authority to bind Proposer to all terms, conditions, services, and financial responsibilities in the submitted Proposal.</p> | | | |
| Authorized Representative Signature(s) | | Title(s) | |
| Type or Print Name(s) | | Date | |

ATTACHMENT D CONTRACTOR REFERENCE AND RELEASE FORM

List below at least three (3) reference projects, including company name, contact name, address, email address, telephone numbers and contract period who can verify your experience and ability to perform the type of service listed in the solicitation. **Design and Construction Experience.** Within the past ten (10) years, Design/Builder must have successfully completed the design and construction of at least three (3) UV disinfection systems, at least one (1) project shall be design and at least one (1) project shall be construction, for municipal clients in the United States. Please attach additional pages if necessary.

| | | | | | |
|--|--------------|----------------|--|--|----------|
| Reference Company Name No.1 | | | Contact Person Name and Title | | |
| Email Address: | | | Telephone No. (include area code) | | |
| Complete Primary Address: | | | City | State | Zip Code |
| Reference Project Name: | | | | | |
| Description of Services Provided: | | | | | |
| Description of the Reference Project showing relevance to the Project on this RFP: | | | | | |
| Role of the Proposer: | | | | | |
| Contract Period | Year Started | Year Completed | Completed Design <input type="checkbox"/> Yes <input type="checkbox"/> No | Construction <input type="checkbox"/> Yes <input type="checkbox"/> No | |
| Contract value for the design: | | | Contract value for the Construction | | |

| | | | | | |
|--|--------------|----------------|--|--|----------|
| Reference Company Name No. 2 | | | Contact Person Name and Title | | |
| Email Address: | | | Telephone No. (include area code) | | |
| Complete Primary Address: | | | City | State | Zip Code |
| Reference Project Name: | | | | | |
| Description of Services Provided: | | | | | |
| Description of the Reference Project showing relevance to the Project on this RFP: | | | | | |
| Role of the Proposer: | | | | | |
| Contract Period | Year Started | Year Completed | Completed Design <input type="checkbox"/> Yes <input type="checkbox"/> No | Construction <input type="checkbox"/> Yes <input type="checkbox"/> No | |

| | |
|--------------------------------|-------------------------------------|
| Contract value for the design: | Contract value for the Construction |
|--------------------------------|-------------------------------------|

| | | | | |
|--|--------------|-------------------------------------|--|--|
| Reference Company Name No. 3 | | Contact Person Name and Title | | |
| Email Address: | | Telephone No. (include area code) | | |
| Complete Primary Address: | | City | State | Zip Code |
| Reference Project Name: | | | | |
| Description of Services Provided: | | | | |
| Description of the Reference Project showing relevance to the Project on this RFP: | | | | |
| Role of the Proposer: | | | | |
| Contract Period | Year Started | Year Completed | Completed Design <input type="checkbox"/> Yes <input type="checkbox"/> No | Construction <input type="checkbox"/> Yes <input type="checkbox"/> No |
| Contract value for the design: | | Contract value for the Construction | | |

REFERENCE CHECK RELEASE STATEMENT

You are authorized to contact the references provided above for purposes of this RFP.

Signed _____ Title _____
(Authorized Signature of Proposer)

Company Name _____ Date _____

END OF ATTACHMENT D

ATTACHMENT E
RESPONDER/CONTRACTOR AFFIDAVIT
OF COMPLIANCE WITH O.C.G.A. § 13-10-91

By executing this affidavit, the undersigned verifies its compliance with O.C.G.A. § 13-10-91, as amended, stating affirmatively that the responder submitting a bid to DEKALB COUNTY, GA, a political subdivision of the State of Georgia, has registered with and is participating in a federal work authorization program* [any of the electronic verification of work authorization programs operated by the United States Department of Homeland Security or any equivalent federal work authorization program operated by the United States of Homeland Security to verify information of newly hired employees, pursuant to the Immigration Reform and Control Act of 1986 (IRCA), P.L. 99-603], in accordance with the applicability provisions and deadlines established in O.C.G.A. § 13-10-91, as amended].

Responder/Contractor Name

Federal Work Authorization
Enrollment Date

BY: Authorized Officer or Agent

Title of Authorized Officer or Agent of Bidder

Identification Number

Printed Name of Authorized Officer or Agent

Address (*do not include a post office box)

SUBSCRIBED AND SWORN
BEFORE ME ON THIS THE

_____ DAY OF _____, 20__

Notary Public

My Commission Expires: _____

END OF ATTACHMENT E

ATTACHMENT F

SUB-RESPONDER AFFIDAVIT OF COMPLIANCE WITH O.C.G.A. § 13-10-91

By executing this affidavit, the undersigned verifies its compliance with O.C.G.A. § 13-10-91, as amended, stating affirmatively that the individual, firm or corporation which is engaged in the physical performance of services under a contract with _____ (insert name of Contractor) on behalf of DEKALB COUNTY, GEORGIA, has registered with, is authorized to use and uses the federal work authorization program commonly known as E-Verify, or any subsequent replacement program, in accordance with the applicable provisions and deadlines established in O.C.G.A. § 13-10-91. Furthermore, the undersigned Subcontractor will continue to use the federal work authorization program throughout the contract period and the undersigned subcontractor will contract for the physical performance of services in satisfaction of such contract only with sub-subcontractors who present an affidavit to the Subcontractor with the information required by O.C.G.A. § 13-10-91. Additionally, the undersigned Subcontractor will forward notice of the receipt of an affidavit from a sub-subcontractor to the Contractor within five business days of receipt. If the undersigned Subcontractor receives notice that a sub-subcontractor has received an affidavit from any other contracted sub-subcontractor, the undersigned Subcontractor must forward, within five business days of receipt, a copy of the notice to the Contractor. Subcontractor hereby attests that its federal work authorization user identification number and date of authorization are as follows:

Federal Work Authorization User Identification Number

Federal Work Authorization
Enrollment Date

Name of Subcontractor

RFP No. 23-500650 Design/Build Services For Snapfinger Advanced Wastewater Treatment Facility Expansion – Phase 3b Project (UV Disinfection System)
Name of Project

DeKalb County Government Georgia
Name of Public Employer

I hereby declare under penalty of perjury that the foregoing is true and correct.

Executed on _____, 202_ in _____ (city), _____ (state).

By: _____
Signature of Authorized Officer or Agent

Printed Name and Title of Authorized Officer or Agent

SUBSCRIBED AND SWORN BEFORE ME ON THIS THE
_____ DAY OF _____, 20__

Notary Public
My Commission Expires: _____

END OF ATTACHMENT F

ATTACHMENT G

SUB-sub RESPONDER AFFIDAVIT OF COMPLIANCE WITH O.C.G.A. § 13-10-91

By executing this affidavit, the undersigned verifies its compliance with O.C.G.A. § 13-10-91, as amended, stating affirmatively that the individual, firm or corporation which is engaged in the physical performance of services under a contract with _____ (insert name of Contractor) on behalf of DEKALB COUNTY, GEORGIA, has registered with, is authorized to use and uses the federal work authorization program commonly known as E-Verify, or any subsequent replacement program, in accordance with the applicable provisions and deadlines established in O.C.G.A. § 13-10-91. Furthermore, the undersigned Subcontractor will continue to use the federal work authorization program throughout the contract period and the undersigned subcontractor will contract for the physical performance of services in satisfaction of such contract only with sub-subcontractors who present an affidavit to the Subcontractor with the information required by O.C.G.A. § 13-10-91. Additionally, the undersigned Subcontractor will forward notice of the receipt of an affidavit from a sub-subcontractor to the Contractor within five business days of receipt. If the undersigned Subcontractor receives notice that a sub-subcontractor has received an affidavit from any other contracted sub-subcontractor, the undersigned Subcontractor must forward, within five business days of receipt, a copy of the notice to the Contractor. Subcontractor hereby attests that its federal work authorization user identification number and date of authorization are as follows:

Federal Work Authorization User Identification Number

Federal Work Authorization
Enrollment Date

Name of Subcontractor

RFP No. 23-500650 Design/Build Services For Snapfinger Advanced Wastewater Treatment Facility Expansion – Phase 3b Project (UV Disinfection System)

Name of Project

DeKalb County Government Georgia
Name of Public Employer

I hereby declare under penalty of perjury that the foregoing is true and correct.

Executed on _____, 202_ in _____ (city), _____ (state).

By: _____
Signature of Authorized Officer or Agent

Printed Name and Title of Authorized Officer or Agent

SUBSCRIBED AND SWORN BEFORE ME ON THIS THE
_____ DAY OF _____, 20__

Notary Public
My Commission Expires: _____

ATTACHMENT H

CERTIFICATE OF CORPORATE RESPONDER

I, _____ (*insert name of the Corporate Secretary*), certify that I am Secretary of the corporation named as Responder herein, same being organized and incorporated to do business under the laws of the State of _____; that _____ (*insert name of individual signing the Proposal*) who executed this Proposal on behalf of the Responder was, then and there, _____ (*insert title of individuals signing the Proposal*) and that said Proposal was duly signed by said officer for and on behalf of said corporation, pursuant to the authority of its governing body and within the scope of its corporate powers.

I further certify that the names and addresses of the owners of all outstanding stock of said corporation as of this date are as follows:

This _____ day of _____, 20____.

By: _____ (Corporate Seal)
Secretary

ATTACHMENT H, CONT'D

CERTIFICATE OF AUTHORITY – JOINT VENTURE
(Separate Certificate to be submitted by each joint venture partner)

I, _____, ⁽¹⁾ certify that:

1. I am the _____ ⁽²⁾ of _____, ⁽³⁾ (hereinafter “Venturer”);
2. Venturer is a partner and participant in the joint venture having submitted the Request for Proposal No. 23-500640 Design Build Services for Upgrade Lower Crooked Creek No. 2 (LCC 2) Pump Station;
3. Venturer is organized and incorporated to do business under the laws of the State of _____;

and

4. Said Request for Proposal No. 23-500650 Design/Build Services For Snapfinger Advanced Wastewater Treatment Facility Expansion – Phase 3b Project (UV Disinfection System) was duly signed by said officer for and on behalf of said Venturer and the Contractor pursuant to the authority of the governing body of each and within the scope of its corporate powers.

I further certify that the names and addresses of the owners of all the outstanding stock or ownership interest in Venturer as of this date are as follows:

This _____ day of _____, 20____.

By: _____
Signature of Person Executing Certification

INSTRUCTIONS FOR COMPLETION OF THIS CERTIFICATE:

1. Name of secretary (if Venturer is a corporation), or Manager or CEO of Venturer (if Venturer is an LLP).
2. Title of person executing Certification.
3. Name of joint venture partner.

COPY THIS FORM AND SUBMIT SEPARATE CERTIFICATES FOR EACH JOINT VENTURE PARTNER WITH PROPOSAL.

END OF ATTACHMENT H

**ATTACHMENT I
 DEKALB FIRST LSBE ORDINANCE INFORMATION
 WITH EXHIBITS 1 & 2**

**SCHEDULE OF LOCAL SMALL BUSINESS ENTERPRISE PARTICIPATION
 OPPORTUNITY TRACKING FORM**

The Chief Executive Officer and the Board of Commissioners of DeKalb County believe that it is important to encourage the participation of small and local businesses in the continuing business of County government; and that the participation of these types of businesses in procurement will strengthen the overall economic fabric of DeKalb County, contribute to the County’s economy and tax base, and provide employment to local residents. Therefore, the Chief Executive Officer and the Board of Commissioners have made the success of local small businesses a permanent goal of DeKalb County by implementing the DeKalb First Local Small Business Enterprise Ordinance.

**PROVISIONS OF DEKALB FIRST LOCAL SMALL BUSINESS ENTERPRISE (LSBE)
 ORDINANCE**

| |
|---|
| Percentage of LSBE Participation Required |
| 20% of Total Award |

| Certification Designation | Request For Proposals (RFP) |
|----------------------------------|-----------------------------|
| LSBE Within DeKalb (LSBE-DeKalb) | Ten (10) Preference Points |
| LSBE Outside DeKalb (LSBE-MSA) | Five (5) Preference Points |
| Demonstrated GFE | Two (2) Preference Points |

Certified Local Small Business Enterprises (LSBEs) located within DeKalb County and prime contractors utilizing LSBEs that are locally-based inside DeKalb County shall receive ten (10) points in the initial evaluation of their response to any Request for Proposal. Certified LSBEs located outside of DeKalb County but within the nine (9) County Metropolitan Statistical Area (MSA) consisting of Cherokee, Clayton, Cobb, Douglas, Fayette, Fulton, Gwinnett, Henry and Rockdale Counties shall receive five (5) points in the initial evaluation of their response to any Request for Proposal. Prime Contractors who demonstrate sufficient good faith efforts in accordance with the requirements of the ordinance shall be granted two (2) points in their initial evaluation of responses to any Request for Proposal. Pro-rated points shall be granted where a mixture of LSBE-DeKalb and LSBE MSA firms are utilized. Utilization of each firm shall be based upon the terms of the qualified sealed solicitation.

Prime Contractor(s) deemed responsible and remains responsive to an Invitation to Bid (ITB) because they are either a certified LSBE-DeKalb or LSBE-MSA firm or has obtained 20% participation of an LSBE-DeKalb or LSBE-MSA firm, submits the lowest bid price shall be deemed the lowest, responsive and responsible bidder.

Prime Contractor(s) deemed responsible and remains responsive to an Invitation to Bid (ITB) and documented good faith efforts, submits a lower bid price than a Prime Contractor that achieved 20% LSBE participation, or otherwise required benchmark, then the Prime Contractor who actually met the benchmark will be given the opportunity to match the lowest bid price of the Prime Contractor who only made good faith efforts. Prime Contractor(s) who choose **not** to match the lowest bid price, then the Prime Contractor who made the good faith efforts will be deemed the lowest, responsive and

responsible bidder.

For all qualified sealed solicitations, the Director of Purchasing and Contracting, DeKalb County Government, shall determine if the bidder/proposer has included written documentation showing that at least twenty percent (20%) of the total contract award will be performed by a certified LSBE. This written documentation shall be in the form of a notarized Schedule of LSBE Participation (Attached hereto as “Exhibit 1”.) For all contracts, a signed letter of intent from all certified LSBEs describing the work, material, equipment and/or services to be performed or provided by the LSBE(s) and the agreed upon percentage shall be due with the bid or proposal documents and included with “Exhibit 1”. The certified vendor list establishes the group of Certified LSBE’s from which the bidder/proposer must solicit subcontractors for LSBE participation. This list can be found on our website <http://www.dekalbcountyga.gov/purchasing-contracting/about-purchasing-and-contracting> or obtained from the Special Projects LSBE Program team.

Prime Contractors failing to meet the LSBE benchmark must document and demonstrate Good Faith Efforts in accordance with the attached “Checklist for Good Faith Efforts” portion of “Exhibit 1.” The notarized Schedule of LSBE Participation shall be due and submitted with each bid or proposal. Failure to achieve the LSBE benchmark or demonstrate good faith efforts shall result in a bid or proposal being rejected. Prime Contractors that fail to attend the mandatory LSBE meeting in person or via video conference shall mean that the Prime Contractor has not demonstrated sufficient good faith efforts and its bid or proposal if submitted, shall be deemed non-responsive without any further review.

Upon award, Prime Contractors are required to submit a report detailing LSBE Sub-Contractor usage with each request for payment and not less than on a monthly basis. Prime Contractors shall ensure that all LSBE sub-contractors have been paid within seven (7) days of the Prime’s receipt of payment from the County. Failure to provide requested reports/documentation shall constitute a material breach of contract, entitling the County to terminate the Contract for default or pursue other remedies. LSBE sub-contractors must confirm payments received from the Prime(s) for each County contract they participate in.

For eligible bids/proposals valued over \$5,000,000.00, the Mentor-Protégé provision of the Ordinance shall apply. Prime Contractors must agree to become mentors and take on an LSBE protégé in an effort to enhance the potential of future LSBEs. Qualifying projects shall be performed by both Mentor and Protégé through a subcontract between both parties. This requirement is in addition to all other applicable sections of the DeKalb First Ordinance. Please review the ordinance, section 2-214 or contact the LSBE Program Representative for detailed information regarding this initiative.

ATTACHMENT I

EXHIBIT 1

**SCHEDULE OF DEKALB FIRST LOCAL SMALL BUSINESS ENTERPRISE
 PARTICIPATION OPPORTUNITY TRACKING FORM**

As specified, Bidders and Proposers are to present the details of LSBE participation below:

PRIME BIDDER/PROPOSER _____

SOLICITATION NUMBER: RFP 23-500650

TITLE OF UNIT OF WORK – Design/Build Services For Snapfinger Advanced Wastewater Treatment Facility Expansion – Phase 3b Project (UV Disinfection System)

1. My firm, as the prime bidder/proposer on this unit of work, is a certified (check all that apply):
 ___LSBE-DeKalb ___LSBE-MSA

2. If you are a Certified LSBE-DeKalb or MSA, please indicate below the percentage of that your firm will carry out directly:_____.

3. If the prime bidder/proposer is a joint venture, please describe below the nature of the joint venture and level of work and percentage of participation to be provided by the LSBE-DeKalb or MSA joint venture firm.

4. List the LSBE-DeKalb or MSA subcontractors and/or firms (including suppliers) to be utilized in of this contract, if awarded. No changes can be made in the subcontractors listed below without the prior written approval of the County. Please attach a signed letter of intent from all certified LSBEs describing the work, materials, equipment or services to be performed and/or provided and the agreed upon percentage of work to be performed. A Letter of Intent form is attached hereto as “Exhibit 2”.

| | |
|--|--|
| Name of Company | |
| Address | |
| Telephone | |
| Fax | |
| Contact Person | |
| Indicate certification status and attach proof of certification: LSBE-DeKalb/LSBE-MSA | |
| Description of services to be performed | |
| Percentage of work to be performed | |

| | |
|--|--|
| Name of Company | |
| Address | |
| Telephone | |
| Fax | |
| Contact Person | |
| Indicate certification status and attach proof of certification: LSBE-DeKalb/LSBE-MSA | |
| Description of services to be performed | |
| Percentage of work to be performed | |

| | |
|--|--|
| Name of Company | |
| Address | |
| Telephone | |
| Fax | |
| Contact Person | |
| Indicate certification status and attach proof of certification: LSBE-DeKalb/LSBE-MSA | |
| Description of services to be performed | |
| Percentage of work to be performed | |

| | |
|--|--|
| Name of Company | |
| Address | |
| Telephone | |
| Fax | |
| Contact Person | |
| Indicate certification status and attach proof of certification: LSBE-DeKalb/LSBE-MSA | |
| Description of services to be performed | |
| Percentage of work to be performed | |

Please attach additional pages, if necessary.

**ATTACHMENT I
 DEKALB FIRST LSBE ORDINANCE INFORMATION
 WITH EXHIBITS 1 – 2**

EXHIBIT 1, Continued

DEKALB COUNTY CHECKLIST FOR GOOD FAITH EFFORTS

A bidder/proposer that does not meet the County’s LSBE participation benchmark is required to submit documentation to support all “Yes” responses as proof of “good faith efforts.” Please indicate whether or not any of these actions were taken:

| | Yes | No | Description of Actions |
|----|-----|----|---|
| 1. | | | Prime Contractors shall attend a MANDATORY LSBE Meeting in person or via video conference within two-weeks of advertisement of the solicitation. |
| 2. | | | Provide a contact log showing the company’s name, contact person, address, email and contact number (phone or fax) used to contact the proposed certified subcontractors, nature of work requested for quote, date of contact, the name and title of the person making the effort, response date and the percentage of work. |
| 3. | | | Provide interested LSBEs via email, of any new relevant information, if any, at least 5 business days prior to submission of the bid or proposal. |
| 4. | | | Efforts made to divide the work for LSBE subcontracting areas likely to be successful and to identify portions of work available to LSBEs consistent with their availability. Include a list of divisions of work not subcontracted and the corresponding reasons for not including them. The ability or desire of a bidder/proposer to perform the contract work with its own organization does not relieve it of the responsibility to make good faith efforts on all scopes of work subject to subcontracting. |
| 5. | | | Efforts were made to assist potential LSBE subcontractors meet bonding, insurance, or other governmental contracting requirements. Where feasible, facilitating the leasing of supplies or equipment when they are of such a specialized nature that the LSBE could not readily and economically obtain them in the marketplace. |
| 6. | | | Communication via email or phone with DeKalb First Program Staff seeking assistance in identifying available LSBEs. Provide DeKalb First Program Staff representative name and title, and date of contact. |
| 7. | | | For all contracts, a signed letter of intent from all certified LSBEs describing the work, materials, equipment or services to be performed or provided by the LSBE(s) and the agreed upon LSBE participation percentage shall be due with the bid or proposal documents. |
| 8. | | | Other Actions, to include Mentor/Protégé commitment for solicitations \$5M and above (specify): |

Please explain all “no” answers above (by number):

This list is a guideline and by no means exhaustive. The County will review these efforts, along with attached supporting documents, to assess the bidder/proposer’s efforts to meet the County’s LSBE Participation benchmark. If you require assistance in identifying certified, bona fide LSBEs, please contact the Purchasing and Contracting Department - DeKalb First Program at 404-371-4770 or DeKalbFirstLSBE@dekalbcountyga.gov .

ATTACHMENT I
DEKALB FIRST LSBE ORDINANCE INFORMATION
WITH EXHIBITS 1 – 2

EXHIBIT 1, (Continued)

DEKALB FIRST LOCAL SMALL BUSINESS ENTERPRISE
SCHEDULE OF PARTICIPATION OPPORTUNITY TRACKING FORM

Bidder/Proposer Statement of Compliance

Bidder(s)/Proposer(s) hereby state that they have read and understand the requirements and conditions as set forth in the objectives and that reasonable effort were made to support the County in providing the maximum practicable opportunity for the utilization of LSBEs consistent with the efficient and economical performance of this contract. The Bidder and any subcontractors shall file compliance reports at reasonable times and intervals with the County in the form and to the extent prescribed by the Director of DeKalb County Purchasing and Purchasing and Contracting Department. Compliance reports filed at such times as directed shall contain information as to the employment practices, policies, programs and statistics of Contractors and their subcontractors.

1. Non-Discrimination Policy

- a. During the performance of this agreement, Contractor agrees to conform to the following Non-Discrimination Policy adopted by the County.
- b. Contractor shall not discriminate against any employee or applicant for employment because of race, color, religion, sex, national origin, or disability. The Contractor will take action to ensure that applicants are employed, and the employees are treated during employment without regard to their race, color, religion, sex, national origin, or disability. Such action shall include, but not be limited to, the following:
 - (1) Employment, upgrading, demotion or transfer; recruitment or recruitment advertising; layoff or termination; rates of pay or other forms of compensation; and selection for training, including apprenticeship. Contractor agrees to post in conspicuous places available to employees and applicants for employment, notices to be provided setting forth provisions of this non-discrimination clause.
 - (2) Contractor shall, in all solicitations or advertisements for employees placed by or on behalf of Contractor, state that all qualified applicants will receive consideration for employment without regard to race, color, religion, sex, national origin, or disability.
- c. Without limiting the foregoing, Contractor shall not discriminate on the basis of disability in the admission or access to, or treatment or employment in, the programs and activities, which form the subject of the contract. The Contractor will take action to ensure that applicants for participation in such programs and activities are considered without regard to disability. Such action shall include, but not be limited to, the following:
 - (1) Contractor agrees to post in conspicuous places available to participants in its programs and activities notices to be provided setting forth the provisions of this non-discrimination clause.

- (2) Contractor shall, in all solicitations or advertisements for programs or activities, which are the subject of the contract, state that all qualified applicants will receive consideration for participation without regard to disability.

2. Commitment

The undersigned certifies that he/she has read, understands, and agrees to be bound by the bid specifications, including the accompanying Exhibits and other terms and conditions of the Invitation to Bid and/or Request for Proposal regarding LSBE utilization. The undersigned further certifies that he/she is legally authorized by the bidder or responder to make the statements and representations in Exhibit 1 and that said statements and representations are true and correct to the best of his/her knowledge and belief. The undersigned will enter into formal agreement(s) with the LSBE(s) listed in this Exhibit 1, which are deemed by the owner to be legitimate and responsible LSBEs. Said agreement(s) shall be for the work and contract with the Prime Contractor. The undersigned understands and agrees that if any of the statements and representations are made by the Bidder knowing them to be false, or if there is a failure of the successful Bidder (i.e., Contractor) to implement any of the stated agreements, intentions, objectives, goals and commitments set forth herein without prior approval of the County, then in any such events the contractor's act or failure to act, as the case may be, shall constitute a material breach of contract, entitling the County to terminate the Contract for default. The right to so terminate shall be in addition to, and not in lieu of, any other rights and remedies the County may have for other defaults under the Contract. Additionally, the Contractor will be subject to the loss of any future contract awards by the County for a period of one year.

Firm Name (Please Print):

Firm's Officer:

(Authorized Signature and Title Required) Date

Sworn to and Subscribed to before me this ____ day of _____, 20__.

Notary Public

My Commission Expires: _____

ATTACHMENT I

**DEKALB FIRST
 LOCAL SMALL BUSINESS ENTERPRISE
 PROGRAM**



MENTOR- PROTÉGÉ INITIATIVE

The Mentor Protégé Relationship is required for all projects valued at over \$5,000,000.00. During the term of the contract, the Mentor and Protégé businesses must each provide to the DeKalb First – LSBE Program, a quarterly summary of the mentor skills & training provided to the Protégé, which shall include:

| | <u>Place Initials Below</u> | |
|--|-----------------------------|---------|
| | Mentor | Protégé |
| 1. List the type of collaboration and training to be provided to the protégé to assist in the growth and development of their business. The areas of assistance that are encouraged include, but are not limited to, bonding and insurance support, management, and scheduling support. | _____ | _____ |
| 2. Names and titles of the individuals from the Mentor who are responsible for working directly with the Protégé in the areas identified above. | _____ | _____ |
| 3. The amount of time, nature and extent of managerial, technical, financial, and bonding assistance provided. | _____ | _____ |
| 4. A summary and explanation of any projects bid on or undertaken by the Mentor -Protégé partnership in the private sector or for a governmental than entity other than DeKalb County. | _____ | _____ |
| 5. Mentor- Protégé teams must submit fully executed written agreements to the DeKalb First – LSBE Program, which clearly delineates the rights and responsibilities of the Mentor and Protégé, comply with any requirements of the DeKalb First LSBE Program as set forth in the eligible project bid documents, and provide that the Mentor- Protégé relationship shall continue for, at a minimum, the duration of the project. Protégé(s) shall agree not to subcontract any of their work to other contractors without the written approval of the Director. | _____ | _____ |
| 6. Any additional or further information required by the DeKalb First LSBE Program as set forth in bid documents or otherwise. | _____ | _____ |

(Please initial each line to acknowledge Mentor-Protégé requirements)

| | |
|----------------------|-----------------------|
| <u>Mentor</u> | <u>Protégé</u> |
| Signature: _____ | Signature: _____ |
| Printed Name: _____ | Printed Name: _____ |
| Title: _____ | Title: _____ |
| Date: _____ | Date: _____ |



ATTACHMENT J
FIRST SOURCE JOBS ORDINANCE INFORMATION
(WITH EXHIBITS 1 – 4)

EXHIBIT 1

FIRST SOURCE JOBS ORDINANCE ACKNOWLEDGEMENT

The DeKalb County First Source Ordinance requires contractors or beneficiaries of eligible projects entering into any type of agreement with the County, including purchase orders, regardless of what they may be called, for the procurement or disposal of supplies, services, construction projects, professional or consultant services, which is funded in whole or part with County funds or County administered funds in which the contractor is to receive \$50,000 or more in County expenditures or committed expenditures and recipient of urban redevelopment action grants or community development block funds administered in the amount of \$50,000 or more to make a good faith effort to hire DeKalb County residents for at least 50% of jobs created using the First Source Registry (candidate database) within one hundred twenty (120) days of contract execution. The work to be performed under this contract is subject to the provisions of the DeKalb County First Source Jobs Ordinance. All contractors will be asked to submit an Employment Roster and/or copies of active payroll registers on a monthly basis to verify compliance. The undersigned acknowledges and agrees to comply with the provisions of the DeKalb County First Source Jobs Ordinance.

CONTRACTOR OR BENEFICIARY INFORMATION:

Contractor or Beneficiary Name (Signature)

Contractor or Beneficiary Name (Printed)

Title

Telephone

Email

Name of Business

Please answer the following questions:

1. How many job openings do you anticipate filling related to this contract? _____
2. How many incumbents/existing employees will retain jobs due to this contract?
DeKalb Residents: _____ Non-DeKalb Residents: _____
3. How many work hours per week constitutes Full Time employment? _____

Please return this form to WorkSource DeKalb, (404) 687-3900 or email to fkadkins@dekalbcountyga.gov

WorkSource DeKalb (WSD) is an EEO/M/F/D/V employer/program. Auxiliary aids/services are available upon request to individuals with disabilities. Persons with hearing impairments may call 1-800-255-0135 or 711 TTY for assistance. WSD is 100% funded by the U. S. Department of Labor and is a proud partner of the American Job Center Network. Revised March 2018



ATTACHMENT J
FIRST SOURCE JOBS ORDINANCE INFORMATION
(WITH EXHIBITS 1 – 4)

EXHIBIT 3

Please complete this form for each position that you have available.

DATE: _____ **FEDERAL TAX ID:** _____

COMPANY NAME: _____ **WEBSITE:** _____

ADDRESS: _____

(WORKSITE ADDRESS IF DIFFERENT): _____

CONTACT NAME: _____ **TITLE:** _____

CONTACT E-MAIL ADDRESS: _____ **CONTACT PHONE:** _____

Are you a private employment agency or staffing agency? YES NO

JOB DESCRIPTION: *(Please include a copy of the Job Description)*

POSITION TITLE: _____

NUMBER OF POSITIONS AVAILABLE: _____ **TARGET START DATE:** _____

WEEKLY WORK HOURS: 20-30 hours 30-40 hours Other _____

SALARY RATE (OR RANGE): _____ **SPECIFIC WORK SCHEDULE:** _____

PERM **TEMP** **TEMP-TO-PERM** **SEASONAL**

PUBLIC TRANSPORTATION ACCESSIBILITY: YES NO

SCREENINGS ARE REQUIRED: YES NO **SELECT ALL THAT APPLY:**

CREDIT CHECK **DRUG** **MVR** **BACKGROUND** **OTHER** _____

HOW TO APPLY: _____

Please return form to: jblack@dekalbcountyga.gov

| | |
|--|--------------------------|
| DO NOT WRITE BELOW THIS LINE - TO BE COMPLETED BY WORKSOURCE DEKALB ONLY | |
| SYSTEM | |
| TYPE: <input type="checkbox"/> First Source <input type="checkbox"/> Direct Hire <input type="checkbox"/> Work Experience (WEX) | ENTRY DATE: _____ |
| ASSIGNED TO: _____ | DATE: _____ |

ATTACHMENT K
AFFIDAVIT AND OATH OF RESPONDER

Personally appeared before me, the undersigned officer, duly authorized to administer oaths,
_____, (*insert name*), who, after being duly sworn, deposes as follows:

I, _____, (*insert name*), am a competent adult, and I have personal knowledge of the facts set forth in this Affidavit and Oath which I make for any lawful use or purpose.

I, _____ (*insert name*) swear or affirm that I have not prevented or attempted to prevent competition in submitting a proposal for this Project by any means whatsoever. I swear or affirm that I have not prevented or endeavored to prevent anyone from making a Proposal for this Project by any means whatsoever, I swear I have not caused or induced any other person to withdraw a Proposal for this Project.

I, _____ (*insert name*) swear or affirm that I have not engaged in any collusive or anti-competitive practices in responding to this solicitation for proposal. Proposal is genuine and not collusive or sham; I swear or affirm that I have not colluded, conspired, connived, or agreed, directly or indirectly, with any Proposer or person, to put in a sham Proposal, or that such other person shall refrain from enter a Proposal, and has not in any manner, directly or indirectly sought by agreement or collusion, or communication or conference, with any person, to fix the Proposal Price of affiant or any other Proposer, or to fix any overhead, profit or cost element of said Proposal Price, or of that of any other Proposer, or to secure any advantage against DeKalb County, or any person interested in the proposed Contract; and that all statements in said Proposal are true; and further, that such Proposer has not, directly or indirectly submitted this Proposal, or the contents thereof, or divulged information or data relative thereto to any association or to any member or agent thereof. If Owner determines that collusion exists among Proposers, Proposals from participants in collusion will not be considered.

I, _____ (*insert name*) swear or affirm that in making the above representation under oath, I understand that any person who knowingly and willfully makes a false, fictitious, or fraudulent statement or representation in an affidavit shall be guilty of a violation of O.C.G.A §16-10-20.

I, _____ (*insert name*) swear or affirm that I understand that Proposals may be considered irregular and subject to rejection if they show serious omission, unauthorized form alterations, use of unauthorized forms, unauthorized alternate proposals, incomplete or unbalanced unit prices, or other irregularities. I swear or affirm that I understand that DeKalb County may reject a proposal as non-responsive if the prices proposed are materially unbalanced between the line items or sub-line items. “Unbalanced Bid” shall mean a Bid or Proposal, in the opinion of the Owner, that is based in prices significantly less than cost for some work, or prices which are significantly overstated in relation to the cost for other work, and if there is a reasonable doubt that the Proposal will result in the lowest overall cost

ATTACHMENT K (Cont'd)
AFFIDAVIT AND OATH OF RESPONDER

to DeKalb County even though it may be the low evaluated Proposal, or if it is so unbalanced as to be tantamount to allowing an advance payment.

I hereby declare under penalty of perjury that the foregoing is true and correct. Executed on

_____, 20__ in _____ (city), _____ (state).

By: _____
Signature

Print Name of Affiant

Print Title of Affiant

Subscribed and Sworn before me on this the

_____ day of _____, 20_____.

NOTARY PUBLIC
My Commission Expires:

END OF ATTACHMENT K

ATTACHMENT L

PROPOSAL BOND

KNOW ALL MEN BY THESE PRESENTS, that we, _____
_____(hereinafter called the Principal)
and _____ (hereinafter called the Surety), a corporation
chartered and existing under the laws of the State of _____ with its principal offices in
the City of _____, _____ and listed in the Federal Register and licensed to write surety bonds in
the State of Georgia, are held and firmly bound unto DeKalb County, Georgia, in the full and just sum of 10% of the
Principal's Proposal good and lawful money of the United States of America, to be paid upon demand of DeKalb
County, Georgia, to which payment well and truly to be made we bind ourselves, our heirs, executors, administrators,
and assigns, jointly and severally and firmly by these presents.

WHEREAS, the Principal is about to submit, or has submitted to DeKalb County, Georgia, a proposal for RFP No.
23-500650 Design/Build Services For Snapfinger Advanced Wastewater Treatment Facility Expansion – Phase 3B
Project (UV Disinfection System).

WHEREAS, the Principal desires to file this Bond in accordance with law to accompany this Proposal.

NOW, THEREFORE, the conditions of this obligation are such that if the proposal be accepted within one hundred
twenty (120) days of the proposal opening, the Principal shall execute a Contract in accordance with the Proposal and
upon the terms, conditions, and prices set forth therein, and in the form and manner required by DeKalb County,
Georgia, and within ten (10) days from the date of Notice of Award of the Contract, execute a sufficient and satisfactory
Performance Bond and Payment Bond payable to DeKalb County, Georgia, each in an amount of one hundred percent
(100%) of the total Contract Price, in form and with security satisfactory to DeKalb County and furnish satisfactory
proof of the insurance required, then this obligation to be void; otherwise, to be and remain in full force and virtue in
law; and the Surety shall, upon failure of the Principal to comply with any or all of the foregoing requirements within
the time specified above, immediately pay to the aforesaid DeKalb County, Georgia, upon demand, the amount hereof
in good and lawful money of the United States of America, not as a penalty, but as liquidated damages.

IN TESTIMONY THEREOF, the Principal and Surety have caused these presents to be duly signed and sealed
this _____ day of _____, 20____ .

PRINCIPAL

By: _____ (SEAL)

Signature of Principal

Print Name and Title of Authorized Signer

Print Name of Principal Business

ATTEST:

Corporate Secretary

SURETY

By: _____ (SEAL)

Signature of Surety (by Power of Attorney)

Print Name and Title of Authorized Signer

Print Name of Surety Business

WITNESS:

[Attach Original Power of Attorney]

END OF ATTACHMENT L

ATTACHMENT M
SAMPLE COUNTY CONTRACT
DESIGN/BUILD AGREEMENT

ATTACHMENT M
SAMPLE COUNTY CONTRACT
DESIGN/BUILD AGREEMENT

STATE OF GEORGIA

COUNTY OF DEKALB

**DESIGN AND CONSTRUCTION AGREEMENT
BETWEEN OWNER AND DESIGN/BUILDER**

This DESIGN AND CONSTRUCTION AGREEMENT (the “**Agreement**” or “**Contract**”) is by and between DEKALB COUNTY, GEORGIA, a political subdivision of the State of Georgia, (hereinafter referred to as the “**Owner**” or “**County**”) and [REDACTED], a [REDACTED] of the State of [REDACTED], with offices in [REDACTED] County, [REDACTED] (hereinafter referred to as the “**Design/Builder**” or “**Contractor**”). This Agreement is for the design and construction of a Project identified as: RFP No. 23-500650 Design/Build Services For Snapfinger Advanced Wastewater Treatment Facility Expansion – Phase 3B Project (UV Disinfection System) (the “**Project**”).

NOW, THEREFORE, in consideration of the mutual promises, covenants and agreements stated herein, and for other good and valuable consideration, the sufficiency of which is hereby acknowledged, Owner and Design/Builder agree as follows:

ARTICLE 1
THE CONTRACT AND THE CONTRACT DOCUMENTS

(A) **The Contract:** The Contract between Design/Builder and Owner, of which this Agreement is a part, consists of the Contract Documents. The Contract shall be effective on the date this Agreement is executed by all parties (Owner and Design/Builder).

(B) **The Contract Documents:** The Contract Documents consist of this Agreement and all attachments and exhibits attached hereto and incorporated herein, the proposal document package – RFP No. 23-500650 Design/Build Services For Snapfinger Advanced Wastewater Treatment Facility Expansion – Phase 3B Project (UV Disinfection System)], dated October 2, 2023, any Special Conditions issued herewith, the Design Scope Specification (Attachment A, *Scope of Work*), all Design Documents hereafter prepared by Design/Builder and approved by Owner in accordance with this Agreement, Change Orders and Field Orders issued in accordance with this Agreement, any other written amendments executed by Owner and Design/Builder, as well as the following:

Design/Builder’s proposal package

all of which are hereby incorporated herein by reference and made a part hereof.

(C) Enumerated Documents Form Entire Contract: Documents not specifically enumerated in Paragraph 1(B) of this Agreement are not Contract Documents.

(D) Complete Agreement: The Contract, together with Design/Builder's and Surety's performance and payment bonds for the Project, if required, constitute the entire and exclusive agreements between Owner and Design/Builder with reference to the Project. The Contract supersedes any and all prior documents, discussions, communications, representations, understandings, negotiations or agreements by and between the parties.

(E) Contract Interpreted as a Whole: The Contract is intended to be an integral whole and shall be interpreted as internally consistent. Work required by any page, part, or portion of the Contract shall be required.

(F) Provision of All Things Required: Anything that may be required, implied or inferred by the Contract Documents which make up this Contract, or any one or more of them, shall be provided by Design/Builder for the Contract Price.

(G) Privity Only with Design/Builder: Nothing contained in the Contract shall create, nor be interpreted to create, privity or any other relationship whatsoever between Owner and any person except Design/Builder.

(H) Agreed Interpretation of Contract Terms: When a word, term, or phrase is used in this Contract, it shall be interpreted or construed first, as defined herein; second, if not defined, according to its generally accepted meaning in the construction industry; and third, if there is no generally accepted meaning in the construction industry, according to its common and customary usage. Headings are used herein solely for convenience.

(I) Term "Include" Intended to Be Encompassing: "Include", "includes", or "including", as used in the Contract, shall be deemed in all cases to be followed by the phrase, "without limitation".

(J) Use of Singular and Plural: Words or terms used as nouns in the Contract shall be inclusive of their singular and plural forms, unless the context of their usage clearly requires a contrary meaning.

(K) Definition of Material Breaches Not Exhaustive: The specification herein of any act, failure, refusal, omission, event, occurrence or condition as constituting a material breach of the Contract shall not imply that any other, non-specified act, failure, refusal, omission, event, occurrence or condition shall be deemed not to constitute a material breach of the Contract.

(L) Order of Precedence: In the event of any conflict, discrepancy, or inconsistency among any of the Contract Documents which make up this Contract, the following shall control:

- (1) As between figures given on plans and scaled measurements, the figures shall govern;
- (2) As between large scale plans and small scale plans, the large scale plans shall govern;

- (3) As between plans and specifications, the requirements of the specifications shall govern;
- (4) As between this Agreement and the plans or specifications, this Agreement shall govern.

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SAMPLE

ARTICLE 2
DESIGN/BUILDER’S REPRESENTATIONS

(A) Specific Representations: In order to induce Owner to execute this Agreement and recognizing that Owner is relying thereon, Design/Builder, by executing this Agreement, and without superseding, limiting, or restricting any other representation or warranty set forth elsewhere in this Agreement or the Contract, or implied by operation of law, makes the following express representations to Owner:

(1) Design/Builder is professionally and fully qualified to act as the design professional and the general contractor for the Project and is, and will remain, licensed to practice engineering and architecture, utility contracting, and general contracting by all public entities having jurisdiction over Design/Builder or the Project;

(2) Design/Builder will maintain all necessary licenses, permits or other authorization necessary to act as Design/Builder for the Project until Design/Builder’s duties hereunder have been fully satisfied;

(3) Design/Builder has the expertise, experience, and knowledge as well as the necessary plant, personnel and financial capability to perform the Design Services and the Work in accordance with the terms of the Contract;

(4) Prior to the execution of this Agreement, Design/Builder has visited and inspected the Project site and the local conditions under which the Project is to be designed, constructed and operated, and Design/Builder has performed such tests, if any, as are necessary to determine the surface and sub-surface conditions under which the Work will be performed, and Design/Builder accepts the conditions of the Work site and has taken those conditions into account in entering into the Contract;

(5) Design/Builder assumes full responsibility to Owner for the improper acts and omissions of its Subcontractors or others employed or retained by Design/Builder in connection with the Project.

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ARTICLE 3 REGULATORY GUIDELINES, REQUIREMENTS AND STANDARDS

(A) Generally: Design/Builder shall perform all Design Services described in, contemplated by, inferable from, or necessary or desirable to achieve the objectives stated in the Design Scope Specification and the Contract, including all Design Services necessary for the Project to be properly constructed by Design/Builder and used, operated and maintained by Owner in accordance with all applicable guidelines, requirements and standards. “Design Services” means any and all architectural, engineering and design services required to be performed by Design/Builder pursuant to the Contract and all labor, materials, supervision, equipment, computers, documents, and all other things necessary for the performance of such services. “Design Scope Specification” means the document prepared by Owner dated October 2, 2023, which specifies the general scope of the Design Services to be performed by Design/Builder under the Contract. A copy of the Scope of Design / Build Services is attached hereto as Attachment A and is incorporated herein by reference. The Design / Build Services shall be performed within the time provided by the Design Schedule.

(B) Owner’s Review of Design Services: Subject to Paragraph 13(G) of this Agreement, Design/Builder shall submit all documents produced as part of the Design Services to Owner’s Representative for review and approval in accordance with the terms of the Contract. However, any such review or approval by Owner or Owner’s Representative shall not relieve Design/Builder of or otherwise diminish its obligations under the Contract. Owner may direct Design/Builder to make changes to any such documents in order to conform such documents to Owner’s objectives. Any such changes by Design/Builder ordered by Owner shall not relieve Design/Builder of its obligations hereunder unless, and only to the extent that, Design/Builder notifies Owner in writing within seven (7) days of receipt of Owner’s directive to make such changes of any adverse impact on schedules, budgets, operational costs, operational performance, satisfaction of regulatory requirements, or other adverse impact that may result from such changes. Failure of Design/Builder to submit its notice within said seven (7) day period shall constitute a waiver by Design/Builder of any claim for an adjustment to the Contract.

(C) Preparation of Site Information: Design/Builder shall prepare, as necessary, surveys and topographic information including aerial photographs needed to establish line and grade of sewers, location of property lines and easements. Sewer easements, both construction and permanent, shall be referenced to property lines by field surveys, and plans shall include the location of any improvement as it relates to property lines.

(D) Retention of Geotechnical Consultants: In preparing the Design Documents, Design/Builder shall retain an experienced, qualified geotechnical consultant to evaluate all geotechnical considerations relating to the design and construction of the Project. Design/ Builder shall be responsible for designing the Project in accordance with the analysis and recommendations of its geotechnical consultant.

(E) Quality of Design Services: Design/Builder shall be responsible for the professional quality, completeness, accuracy, and coordination of Design Documents. Design/Builder shall provide Design Services that will result in an operationally cost-efficient and economical facility that meets all environmental and regulatory requirements as of the date hereof,

and uses the most appropriate available technology. Design/Builder shall provide for all testing and inspections required by sound professional architectural and engineering practices and by governmental authorities having jurisdiction over the Project.

(F) Coordination with County's Separate Contractors: Design Builder acknowledges that the County intends to engage separate contractors to perform work adjacent and connected to the Project Work. Design/Builder shall cooperate with the County to ensure the efficient coordination with County's separate contractors. Such cooperation shall include, but is not limited to, attending coordination meetings, sharing of schedule and layout information, as well as further reasonably requested integration functions.

(G) Compliance with Laws and Regulatory Requirements: In providing Design Services, Design/Builder shall comply with the lawful requirements of all federal, state, and local authorities having lawful jurisdiction over the Project. Design/Builder shall design the Project to meet all applicable requirements of building control laws and regulations in relation to the design, construction, occupation, and operation of the Project, including, without limitation, environmental standards, fire and safety regulations, and requirements and compliance with all other applicable standards and codes.

(H) Laws and Regulations: The Design/Builder's attention is directed to the fact that all applicable Federal, State, and County laws, municipal ordinances, and the rules and regulations of all authorities having jurisdiction over construction of the Project, shall apply to the Contract throughout, and they will be deemed to be included in the Contract Documents the same as though herein written out in full. The Design/Builder shall keep himself fully informed of all laws, ordinances, and regulations of the Federal, State, and County in any manner affecting those engaged or employed in the Work or the materials used in the Work or in any way affecting the conduct of the Work and of all orders and decrees of bodies or tribunals having any jurisdiction or authority over same. If any discrepancy or inconsistency should be discovered in this Contract, or in the Drawings or Specifications herein referred to, in relation to any such law, regulation, ordinance, order, or decree, Design/Builder shall herewith report the same, in writing, to the Owner. Design/Builder shall at all times observe and comply with all such laws, ordinances, and regulations, and shall protect and indemnify the County and its agents against any such law, ordinance, regulation, order, or decree, whether by Design/Builder or by Design/Builder's employees.

(I) Applicable Requirements: The work shall comply with the Contract Documents and with all applicable codes, laws, and regulations of the County, State, or Federal agencies which may have cognizance of any part of the Work. In the event of any conflict between the terms of this Contract and such codes, laws, and regulations, the codes, laws, and/or regulations shall prevail. If the Design/Builder performs any work knowing it to be contrary to such codes, laws, or regulations, and without such notice to the County, Design/Builder shall assume full responsibility therefor and shall bear any and all costs necessary to correct the Work.

(J) Duty to Correct Errors: Design/Builder shall, without additional compensation, immediately correct any errors, omissions or deficiencies in its Design Services and Design Documents.

(K) Schedule of Design Services: Design/Builder shall submit for Owner’s approval the Design Schedule for the performance of Design/Builder’s Design Services which shall include allowance for reasonable time required for Owner’s review of submissions and for approvals of authorities having jurisdiction over the Project. The Design Schedule, when approved by Owner, shall not, except for good cause, be exceeded by Design/Builder. Should Design/Builder at any time during the course of performing the Contract, have any reason to believe that it will be unable to meet any completion date in accordance with the Design Schedule, it shall immediately notify Owner’s Representative in writing. In such notice, Design/Builder shall state the reason for the delay including the party responsible, if any, and the steps being taken to remedy or minimize the impact of the delay. Failure of Design/Builder to submit such notice shall constitute a waiver by Design/Builder of any claim for an adjustment to the Contract Price, the Design Schedule, or the Contract Time. All extensions of time shall be governed by Articles 16 and 17 of this Agreement. Subject to the provisions of Paragraph 13(G) of this Agreement, Owner shall review and approve, where appropriate, the Design Schedule, or any portion thereof.

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ARTICLE 4
PRELIMINARY CONSULTATION AND PROJECT ANALYSIS

(A) Determining The Project Objectives: Prior to the preparation of the Preliminary Design as required by Article 5 below, Design/Builder shall first consult in detail with Owner, and shall carefully analyze any information furnished by Owner concerning requirements of the Project including, but not limited to, any design, construction, coordination with County’s separate contractors, scheduling, budgetary or operational requirements, limitations, and objectives, as well as the Design Scope Specification.

(B) Report on Project Requirements and Objectives: Based on its study and analysis, and no later than ten (10) days after the effective date of the Contract, Design/Builder shall prepare and submit to Owner a written report detailing Design/Builder’s understanding and analysis of the Project requirements and identifying any design, construction, scheduling, budgetary, operational, or other problems which may result from said requirements. The written report of Design/Builder shall also include proposed solutions, including design alternatives if appropriate, addressing each of the identified problems. Design/Builder shall review such report with Owner and shall implement such changes as Owner may require as provided in Paragraph 3(B) of this Agreement.

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**ARTICLE 5
PRELIMINARY DESIGN**

(A) Time For Preliminary Design: Not later than the number of calendar days established in Design/Builder’s proposal after the Notice to Proceed date the Design/Builder shall review with Owner the written report required by Paragraph 4(B) above, Design/Builder shall prepare and submit to Owner a Preliminary Design for the Project.

(B) Contents Of Preliminary Design: The Preliminary Design shall address all requirements of the Project and shall include, without limitation, the following:

- (1) Preliminary drawings which illustrate each of the basic components of the Project including the size, scale, location, dimensions, and character of each building structure;
- (2) Preliminary drawings which illustrate each exterior view of the Project;
- (3) Preliminary drawings, which illustrate a floor plan for each room, office, and functional area of the Project and the dimensions thereof;
- (4) Preliminary drawings and specifications illustrating and describing the architectural, electrical, mechanical, structural, and manufacturing systems of the Project;
- (5) A written description of the materials and equipment to be incorporated into the Project and the location of same;
- (6) Any other documents or things required to illustrate, describe or depict the Preliminary Design and the conformity of same with the requirements of the Design Scope Specification and the Contract.

(C) To Be Reviewed with Owner: Design/Builder shall review with Owner the Preliminary Design and shall incorporate any changes ordered by Owner with respect to said Preliminary Design or with respect to the requirements of the Project.

(D) Authorization to Proceed with Detailed Design: After review of the Preliminary Design and incorporation of any changes ordered by Owner, Owner shall authorize Design/Builder in writing to commence preparing the Detailed Design or such part thereof as directed by Owner.

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**ARTICLE 6
DETAILED DESIGN**

(A) Time For Preparation: Not later than the number of calendar days established in Design/Builder’s proposal after Owner has authorized Design/Builder to commence with the Detailed Design as provided in Paragraph 5(D) hereinabove, Design/Builder shall prepare and submit to Owner the complete Detailed Design.

(B) The Detailed Design: The Detailed Design shall include all Design Documents which shall describe with specificity all elements, details, components, materials, and other information necessary for the complete construction of the Project and the rendering of the Project fully operational for its intended purposes, including satisfaction of all testing, permitting, qualifications, certifications, validations, and obtaining regulatory approvals by all applicable regulatory authorities required to render the Project and all its components operational and functionally and legally usable for their intended purpose. Subject to the provisions of Paragraph 13(G) of this Agreement, Owner shall review and approve, where appropriate, the Design Documents, or any portion thereof.

(C) Design Documents: Design Documents means all the design documents provided by Design/Builder and approved by Owner pursuant to the Contract including, without limitation, those for use in constructing the Project, performing the Work, and the rendering of the Project fully integrated, operational, and shall include, without limitation, detailed plans, drawings, specifications, manuals, and related materials prepared by or on behalf of Design/Builder.

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ARTICLE 7
CONSTRUCTION SERVICES

(A) General Intent: Design/Builder shall perform all Work necessary to construct the Project in accordance with the Contract and to render the Project and all its components operational, functional and legally usable for their intended purpose.

(B) Work Defined: The term “Work” shall mean whatever is done by or required of Design/Builder to perform and complete its duties relating to the construction of the Project under the Contract, including, without limitation, the following:

- (1) Construction of the whole and all parts of the Project in full and strict conformity with the Contract;
- (2) The provision and furnishing, and prompt payment therefor, of all labor, supervision, services, materials, supplies, equipment, fixtures, appliances, facilities, tools, transportation, storage, power, fuel, heat, light, cooling, other utilities and things required for the construction of the Project;
- (3) The procurement and furnishing of all necessary building permits and other permits required for the construction of the Project;
- (4) The creation and submission to Owner of detailed as-built drawings depicting all as-built construction;
- (5) The furnishing of any required surety bonds and insurance as required by the Contract;
- (6) The furnishing of all equipment and product warranties, manuals, test results and user guides required by the Contract or otherwise reasonably available to Design/Builder;
- (7) The furnishing of all other services and things required or reasonably inferable from the Contract Documents, including the provisions of Article 9 below.

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ARTICLE 8 TIME FOR CONSTRUCTION: THE CONTRACT TIME

(A) **Notice of Commencement:** After Owner has approved the Design Documents for the Detailed Design, Owner shall issue a notice to commence the Work directing Design/Builder to proceed with the Work on the date indicated in the notice (the “Commencement Date”). The notice to commence Work shall be issued at least ten (10) days prior to the Commencement Date.

(B) **Time for Completion:** Design/Builder shall commence the Work on the Commencement Date, and the Work shall be carried out regularly and without interruption. Design/Builder shall substantially complete the Work not later than 450 calendar days after the Notice to Proceed date or such other date as may by Change Order be designated (the “Scheduled Completion Date”). The number of calendar days between the effective date of the Contract and the Scheduled Completion Date is **INSERT NUMBER OF DAYS** calendar days, the “Contract Time”. Design/Builder shall achieve Final Completion of the Work no later than 480 calendar days after the Notice to Proceed date.

(C) **Contract Term:** As allowed by O.C.G.A. §36-60-15.1, this Contract shall commence immediately upon the execution date. This Contract shall terminate without further obligation on the part of the County, with no further renewals, three (3) years after the execution date, unless extended by Change Order adopted and approved by the DeKalb County Governing Authority and the Design/Builder in accordance with the terms of this Contract.

(D) **Liquidated Damages for Delay in Submittal of Detailed Design:** Design/Builder shall pay Owner the sum of two-thousand five-hundred (\$2,500) per day for each day the Project remains out of compliance for submission of Detailed Design after the number of calendar days established in Design/Builder’s proposal. Any sums due and payable hereunder by Design/Builder shall be payable, not as a penalty, but as liquidated damages representing an estimate of delay damages likely to be sustained by Owner, estimated at the time of executing this Agreement. Such liquidated damages shall apply regardless of whether Design/Builder has been terminated by Owner prior to submission of Detailed Design so long as Design/Builder’s actions or inactions contributed to the delay. Such liquidated damages shall be in addition to and not in preclusion of the recovery of actual damages resulting from other defects in Design/Builder’s performance hereunder for matters other than delays in submission of Detailed Design.

(E) **Liquidated Damages For Delay In Substantial Completion:** Design/Builder shall pay Owner the sum of two-thousand five-hundred (\$2,500) per day for each and every calendar day of unexcused delay in achieving Substantial Completion beyond the Scheduled Completion Date. Any sums due and payable hereunder by Design/Builder shall be payable, not as a penalty, but as liquidated damages representing an estimate of delay damages likely to be sustained by Owner, estimated at the time of executing this Agreement. Such liquidated damages shall apply regardless of whether Design/Builder has been terminated by Owner prior to Substantial Completion so long as Design/Builder’s actions or inactions contributed to the delay. Such liquidated damages shall be in addition to and not in preclusion of the recovery of actual damages resulting from other defects in Design/Builder’s performance hereunder for matters other than delays in Substantial Completion. When Owner reasonably believes that Substantial Completion will be unexcusably delayed, Owner shall be entitled, but not required, to withhold

from any amounts otherwise due to Design/Builder an amount then believed by Owner to be adequate to recover liquidated damages applicable to such delays. If and when Design/Builder overcomes the delay in achieving Substantial Completion, or any part thereof, for which Owner has withheld payment, Owner shall promptly release to Design/Builder those funds withheld, but no longer applicable as liquidated damages.

(F) Liquidated Damages for Delay In Final Completion: If Design/Builder fails to achieve Final Completion within 480 calendar days from the Notice to Proceed date, Design/Builder shall pay Owner the sum of two-thousand five-hundred (\$2,500) per day for each and every calendar day of unexcused delay in achieving Final Completion beyond the date set forth herein for Final Completion of the Work. Any sums due and payable hereunder by Design/Builder shall be payable, not as a penalty, but as liquidated damages representing an estimate of delay damages likely to be sustained by Owner, estimated at the time of executing this Agreement. Such liquidated damages shall apply regardless of whether Design/Builder has been terminated by Owner prior to Final Completion so long as Design/Builder's actions or inactions contributed to the delay. Such liquidated damages shall be in addition to and not in preclusion of the recovery of actual damages resulting from other defects in Design/Builder's performance hereunder for matters other than delays in Final Completion. When Owner reasonably believes that Final Completion will be inexcusably delayed; Owner shall be entitled, but not required, to withhold from any amounts otherwise due to Design/Builder an amount then believed by Owner to be adequate to recover liquidated damages applicable to such delays. If and when Design/Builder overcomes the delay in achieving Final Completion, or any part thereof, for which Owner has withheld payment, Owner shall promptly release to Design/Builder those funds withheld, but no longer applicable as liquidated damages.

(G)

(H) Time Is of The Essence: All limitations of time set forth herein are material and time is of the essence of the Contract.

Time for Completion and Liquidated Damages:

(1) It is hereby understood and mutually agreed, by and between the Design/Builder and the County, that the date of beginning, rate of progress, and the time for completion of the Work are essential conditions of this Contract; and it is further mutually understood and agreed that the Work embraced in this Contract shall be commenced on a date to be specified in the Notice to Proceed.

(2) The Design/Builder agrees that said work shall be prosecuted regularly, diligently, and uninterrupted at such rate of progress as will insure full completion thereof within the time specified. It is expressly understood and agreed, by and between the Design/Builder and the County, that the time for the completion of the Work described herein is a reasonable time for the completion of the same, taking into consideration the average climate range and usual industrial conditions prevailing in this locality.

(3) If the said Design/Builder shall neglect, fail or refuse to complete the Work within the time herein specified, then the Design/Builder does hereby agree, as a part

consideration for the awarding of this Contract, to pay to the County, the amount specified herein, not as a penalty, but as liquidated damages.

(4) It is further agreed that time is of the essence of each and every portion of this Contract and of the Specifications wherein a definite portion and certain length of time is fixed for the performance of any act whatsoever; and where under the Contract an additional time is allowed for the completion of any work, the new time limit fixed by such extension shall be the essence of this Contract. Provided, that the Design/Builder shall not be charged with liquidated damages or any excess cost when the delay in completion of the Work due to unforeseeable causes beyond the control and without the fault or negligence of the Design/Builder, including but not restricted to, acts of God, or to the public enemy, acts of the County, acts of another contractor in the performance of the contract with the County, fires, floods, epidemics, quarantine restrictions, strikes, freight embargoes, and unusually severe weather exceeding the average climatic conditions in the area of the Work.

(5) Provided further, that the Design/Builder shall within seven (7) days from the beginning of such delay, notify the County, in writing, of the causes of the delay, who shall ascertain the facts and extent of the delay and notify the Design/Builder within a reasonable time of its decision in the matter.

(6) Where the County has beneficial occupancy of a usable facility prior to the expiration of the specified Contract Time, but where contract work items remain outstanding, the County, at its option, may, in lieu of all or a portion of liquidated damages owed by the Design/Builder, charge the Design/Builder for actual cost of administering the Contract for the period subsequent to expiration of the Contract completion date.

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ARTICLE 9
ADDITIONAL DUTIES AND RESPONSIBILITIES OF DESIGN/BUILDER

(A) Examination of Work by Design/Builder: It is understood and agreed that the Design/Builder has, by careful examination, satisfied itself as to the nature and location of the Work, the conformation of the ground, the character, quality, and quantity of the facilities needed preliminary to and during the prosecution of the Work, the general and local conditions, and all other matters which can in any way affect the Work or the cost thereof under this Contract. No verbal agreement or conversation with any officer, agent, or employee of the County, either before or after the execution of the Contract, shall affect or modify any of the terms or obligations herein contained.

(B) Materials, Services, and Facilities:

(1) The Design/Builder shall at all times employ sufficient labor and equipment for prosecuting the Work to full completion in the manner and time specified. Failure of the Design/Builder to provide adequate labor and equipment may result in default of the Contract. The labor and equipment to be used in the Work by the Design/Builder shall be sufficient to meet the requirements of the Work and shall be such as to produce a satisfactory quality of work, in accordance with accepted industry practices within the time specified in the Contract.

(2) Materials and equipment shall be so stored and handled as to insure the preservation of their quality and fitness for the Work. Stored materials and equipment to be incorporated in the Work shall be located so as to facilitate prompt inspection. No product which has in any way become unfit for the intended purpose shall be incorporated into the Work.

(3) Manufactured articles, materials, and equipment shall be applied, installed, connected, erected, cleaned, tested and commissioned as directed by the manufacturer.

(4) Materials, supplies, and equipment to be incorporated into the Work shall be new and unused unless otherwise specifically stated in the Contract Documents. The source of supply for all such products shall be submitted to the Owner, together with detailed descriptions thereof in the form of samples, Shop Drawings, tests, or other means necessary to adequately describe the items proposed. If, after trial, it is found that sources of supply, even though previously approved by the Owner, have not furnished products meeting the intent of the Contract Documents, the Design/Builder shall thereafter furnish products from other approved sources, and shall remove completed Work incorporating products which do not meet Contract requirements.

(C) Design/Builder to Perform All Work Required by the Contract: The intent of the Contract is to require complete, correct and timely execution of the design and the Work. Any and all Work that may be required, reasonably implied or reasonably inferred by the Contract, or any part of it, as necessary to produce the intended result shall be provided by Design/Builder in accordance with Article 10 of this Agreement for the Contract Price.

(D) Design/Builder's Obligations: The Design/Builder shall, in good workmanlike manner, do and perform, all work and furnish all supplies and materials, machinery, equipment, facilities, and means, except as herein otherwise expressly specified, necessary, or proper to perform and complete all the Work required by this Contract, within the time herein specified, in accordance with the provisions of this Contract and said Specifications and in accordance with the Drawings of the Work covered by this Contract and any and all supplemental drawings of the Work covered by this Contract. Design/Builder shall furnish, erect, maintain, and remove such construction, plants, and such temporary works as may be required. Design/Builder alone shall be responsible for the safety, efficiency, and adequacy of Design/Builder's plants, appliances, and methods, and for any damage which may result from their failure or their improper construction, maintenance, or operation. The Design/Builder shall observe, comply with, and be subject to all terms, conditions, requirements and limitations of the Contract and Specifications, local ordinances, and State and Federal laws; and shall do, carry on, and complete the entire Work.

(E) Strict Compliance with The Contract Documents: All Work performed by Design/Builder shall be in strict compliance with the Contract. "Substantial Compliance" is not strict compliance. Any Work not in strict compliance with the Contract is defective.

(F) Supervision of the Work: The Work shall be strictly supervised and directed using Design/Builder's best and highest skill and effort, Design/Builder bearing full responsibility for any and all acts or omissions of those engaged in the Work on behalf of Design/Builder.

(G) Warranty of Workmanship and Materials: Design/Builder warrants and guarantees to Owner that all labor furnished to progress the Work under the Contract will be competent to perform the tasks undertaken and is the best quality obtainable, that the product of such labor will yield only first-class results in strict compliance with the Contract, that materials and equipment furnished will be of high quality and new unless otherwise permitted by the Contract, and that the Work will be of high quality, free from faults and defects and in strict conformance with the Contract. Any and all Work not strictly conforming to these requirements shall be considered defective and shall constitute a breach of Design/Builder's warranty.

(H) Commencement of Guarantee and Warranty: Special or specific guarantees and warranties which are required by the Contract to run for a fixed period of time shall commence running on the date of Substantial Completion of all Work.

(I) Design/Builder's Schedule of Construction: Design/Builder, within fifteen (15) days after the Commencement Date, shall submit to Owner, for its information, and comply with, Design/Builder's Schedule of Construction for completing the Work by the Scheduled Completion Date. The Schedule of Construction shall reflect the performance of all Work on week days and non-holidays. The Schedule of Construction shall be a detailed critical path (CPM) schedule in a form acceptable to Owner. The Schedule of Construction shall be revised at least monthly and shall be revised to reflect conditions encountered from time to time and shall be related to the entire Project. Each such revision shall be furnished to Owner. Strict compliance with the requirements of this Paragraph shall be a condition precedent for payment to Design/Builder, and failure to strictly comply with said requirements shall constitute a material breach of the Contract.

(J) Record Copy of Contract Documents: Design/Builder shall continuously maintain at the site, for the benefit of Owner, an updated copy of the Contract, including one record copy of the Contract Documents marked to record on a current basis changes, selections and modifications made during construction. Additionally, Design/Builder shall maintain at the site, for the benefit of Owner, a copy of all Shop Drawings, Product Data, Samples, and other Submittals. Upon Final Completion of the Work, and/or upon Owner's request, all of the documents described in this Paragraph shall be finally updated and delivered to Owner and shall become the property of Owner.

(K) Review and Approval of Submittals: Design/Builder shall review, study, and approve, or take other necessary action upon all Shop Drawings, Product Data, Samples, and other Submittals to ensure that the Project will be constructed in a timely fashion in strict compliance with the Contract.

(L) Owner's Option to Review Submittals: Owner shall, in its discretion, have the right to review and approve Submittals, and if Owner so elects, Design/Builder shall not perform any portion of the Work as to which Owner has required Submittal and review until such Submittal has been approved by Owner's Representative. Approval by Owner, however, shall not be evidence that Work installed pursuant thereto conforms to the requirements of the Contract nor shall such approvals relieve Design/Builder of any of its responsibilities or warranties under the Contract. If Owner elects to review Submittals, Design/Builder shall maintain a Submittal log which shall include, at a minimum, the date of each Submittal, the date of any resubmittal, the date of any approval or rejection, and the reason for any approval or rejection. Design/Builder shall have the duty to carefully review, inspect and examine any and all Submittals before submission of same to Owner. Shop Drawings and other Submittals from Design/Builder do not constitute a part of the Contract.

(M) Procurement and Review of Warranties: Design/Builder shall procure from all Subcontractors and Suppliers and shall transmit in writing to the Owner, all warranties required by the Contract. Design/Builder shall review all such warranties and shall certify to Owner that the warranties are in strict compliance with the requirements of the Contract.

(N) Procurement of Operations and Maintenance Documentation: Design/Builder shall prepare or procure and shall transmit to Owner all documentation required by the Contract regarding the operation and recommended maintenance programs relating to the various elements of the Work.

(O) As-Built Drawings: Design/Builder shall prepare and provide to Owner a complete set of all as-built drawings which shall be complete and, except as specifically noted, shall reflect performance of the Work in strict compliance with the requirements of the Contract. The Design/Builder shall, upon completion of the Work, furnish a marked set of Drawings showing the field changes, as actually installed and as specified under sections of the Specifications, and deliver them to the Owner.

(P) Compliance with Labor Laws: Design/Builder shall assume all labor responsibility for all personnel assigned to or contracted for the performance of the Work and

agrees to strictly comply with all its obligations as employer with respect to said personnel under all applicable labor laws.

(Q) Inspection and Testing of Materials: Unless otherwise specifically provided for, the inspection and testing of materials and finished articles to be incorporated in the Work at the site shall be made by bureaus, laboratories, or agencies approved by the Owner. The cost of such inspection and testing shall be paid by the Design/Builder. The Design/Builder shall furnish evidence satisfactory to the Owner that the material and finished articles have passed the required tests prior to the incorporation of such materials and finished articles in the Work.

(R) Inspection of Work:

(1) The Design/Builder shall, at all times, permit and facilitate inspection of the Work by authorized representatives of the Owner and public authorities having jurisdiction in connection with the Work of this Contract. The presence or observations of the Owner or its representative at the site of the Work shall not be construed to, in any manner, relieve the Design/Builder of this responsibility for strict compliance with the provisions of the Contract Documents.

(2) If the specifications, County's instructions, laws, ordinances, or a public authority require any work to be specially tested or approved, the Design/Builder shall give the Owner timely notice of its readiness for observation or inspection. If the inspection is by another authority, then the Owner shall be advised of the date fixed for such inspection. Required certificates of inspection shall be secured by the Design/Builder. Design/Builder having secured all certificates of inspection will deliver same to the Owner upon completion. If any work should be covered up without approval or consent of the Owner, it shall, if required by the Owner, be uncovered for examination at the Design/Builder's expense.

(3) Should any disagreement or difference arise as to the estimate, quantities, or classifications or as to the meaning of the Drawings or Specifications, or any point concerning the character, acceptability, and nature of the several kinds of work, any materials and construction thereof, the decisions of the Owner shall be final and conclusive and binding upon all parties to the Contract.

(S) Owner's Regulations and Applicable Laws: Design/Builder shall, during the course of the Work, comply with any regulations or guidelines prescribed by Owner. Design/Builder warrants that it will comply with all public laws, ordinances, rules and regulations applicable to the services to be performed under the Contract, including without limitation, those relating to the terms and conditions of the employment of any person by Design/Builder in connection with the Work to be performed under the Contract.

(T) Compliance with Construction Regulations: Design/Builder shall perform the Work in accordance with all construction codes, laws, ordinances or regulations applicable to the design and execution of the Work. Any fine or penalty which may be imposed as consequence of any violation of this provision shall be paid by Design/Builder, and Design/Builder shall indemnify

and hold Owner harmless from all loss, damages, and expense, including attorney's fees, resulting from any such violation or alleged violation.

(U) Permits, Licenses and Notices: All construction and building permits, licenses and authorizations necessary for the construction of the Project shall be secured and paid for by Design/Builder. Design/Builder shall notify Owner's Representative when it has received said permits, licenses and authorizations and upon receipt shall supply Owner with copies of same. The originals of said permits, licenses and authorizations shall be delivered to Owner upon completion of the Work, and receipt of such documents by Owner shall be a condition precedent to final payment. Design/Builder shall also give and maintain any and all notices required by applicable laws pertaining to the construction of the Work. The County will not charge the Design/Builder for permits and inspections obtained from the County.

(V) Conditions to Site Access: While on Owner's property, all Design/Builder's employees and Subcontractors shall confine themselves to areas designated by Owner's Representative and will be subject to Owner's badge and pass requirements, if any, in effect at the site of the Work.

(W) Protection of Work, Property, and Persons:

(1) The Design/Builder will be responsible for initiating, maintaining, and supervising all safety precautions and programs in connection with the Work. Design/Builder will take all necessary precautions for the safety of, and will provide the necessary protection to prevent damage, injury or loss to all employees on the Work and other persons who may be affected thereby, all the Work and all materials or equipment to be incorporated therein, whether in storage on or off the site, and other property at the site or adjacent thereto, including trees, shrubs, lawns, lakes, drainage ways, walks, pavements, roadways, structures, and utilities not designated for removal, relocation or replacement in the course of construction.

(2) The Design/Builder will comply with all applicable laws, ordinances, rules, regulations, and orders of any public body having jurisdiction. Design/Builder will erect and maintain, as required by the conditions and progress of the Work, all necessary warning, safeguards for devices and safety and protection of the Work, the public, and adjoining property. Design/Builder will notify owners of adjacent utilities when prosecution of the Work may affect them. The Design/Builder will remedy all damage, injury, or loss to any property caused, directly or indirectly, in whole or in part, by the Design/Builder, any Subcontractor, or anyone directly or indirectly employed by any of them or anyone for whose acts any of them may be liable.

(3) The Design/Builder shall, prior to commencing other on-site work, accurately locate utilities within the structure and above and below ground utilities and structures which may be affected by the Work, using whatever means may be appropriate. The Design/Builder shall mark the location of existing utilities and structures, not otherwise readily visible, with flagging, stakes, barricades, or other suitable means, and shall preserve and protect all utilities and structures not designated for removal, relocation, or replacement in the course of construction. Design/Builder shall notify the Owner

promptly on discovery of any conflict between the Contract Documents and any existing facility.

(4) In emergencies affecting the safety of persons or the Work or property at the site or adjacent thereto, or unanticipated conditions where delay would substantially impact the time or cost of work, the Design/Builder, upon notification to the Owner, shall act to prevent threatened damage, injury, or loss. Any claim for compensation or extension of time by the Design/Builder due to such extra work shall be submitted to the Owner, in writing, within ten (10) days of the date of performing such work or deviations in the manner prescribed for a Change Order.

(5) All existing utilities, both public and private, including sewer, gas, water, electrical, and telephone services, etc., shall be protected and their operation shall be maintained through the course of the Work. Any temporary shutdown of an existing service shall be arranged between the Design/Builder and the responsible agency. The Design/Builder shall assume full responsibility and hold the County harmless from the result of any damage that may occur as a result of the Design/Builder's activities.

(X) Repair of Collateral Damages: Unless otherwise instructed by Owner, Design/Builder shall repair and return to original condition all buildings, streets, curbs, sidewalks, utilities or other facilities affected by Design/Builder's performance of the Work.

(Y) Cleaning Up: The Design/Builder shall at all times keep the premises free from accumulation of waste materials or rubbish caused by Design/Builder's employees or work. Upon completion of the Work, the Design/Builder shall remove all Design/Builder's plants, tools, materials, and other articles from the property of the County.

(Z) Access to Work: The County shall at all times have access to the Work wherever it is in preparation or progress and the Design/Builder shall provide proper facilities for such access without formality or other procedures.

(AA) Decisions Regarding Aesthetic Effect: Owner's decisions in matters relating to aesthetic effect shall be final if consistent with the intent of the Contract.

(BB) Design/Builder to Remain an Independent Contractor: In the performance of the Contract, Design/Builder's status as an independent contractor shall not be modified or diminished by reason of any instructions issued by Owner or Owner's Representative to Design/Builder or any of Design/Builder's employees, Subcontractors, or representatives.

(CC) Assignments: The Design/Builder shall not assign the whole or any part of this Contract or any monies due or to become due hereunder without written consent of the County.

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**ARTICLE 10
CONTRACT PRICE**

(A) Phase One Pricing: Owner shall pay, and Design/Builder shall accept, as full and complete payment for the performance of Phase One Services (Design and GMP Development Services), and the performance of all requirements of this Agreement, a fixed sum in the amount of [AMOUNT].

(B) Phase Two Pricing: Owner and Design/Builder will agree to a Guaranteed Maximum Price (GMP) for Phase Two Services (Final Design and Construction Services), with a total Not-to-Exceed Price of [AMOUNT]. During the Construction phase the Design-Build Firm will be paid the actual direct cost plus the fixed percentage for overhead and profit that was provided by the Design-Build Firm with their cost proposal. Costs shall be developed in accordance with the Cost Model Guidelines included in the RFP.

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ARTICLE 11 PAYMENT OF THE CONTRACT PRICE

(A) Payment Procedure: Owner shall pay the Contract Price to Design/Builder in accordance with the procedures set forth in this Article 11.

Partial payments to the Design/Builder shall be made monthly, based on the value of work completed as provided in the Contract Documents, plus the value of materials and equipment suitably stored, insured and protected at the construction site.

Payment to the Design/Builder shall be made within fifteen (15) days of submission by the Design/Builder of a duly certified and approved estimate of work performed during the preceding calendar month, less the amount of retainage. The estimate shall be submitted on or before the fifteenth (15th) day following the month in which the Work was performed. The amount of retainage shall be as follows:

(1) Ten (10%) percent of each progress payment shall be withheld as retainage until the value of fifty (50%) percent of the Contract Price, including change orders and other authorized additions provided in the Contract is due;

(2) When fifty (50%) percent of the contract value, as described above, becomes due and the manner of completion of the contract work and its progress are reasonably satisfactory to the County, the withholding of retainage shall be discontinued. If after discontinuing the retention, the County determines that the Work is unsatisfactory or has fallen behind schedule, retention may be resumed at the previous level.

(3) When the Work is substantially complete (operational or beneficial occupancy) and the County determines the Work to be reasonably acceptable, the Design/Builder shall submit an invoice or other documents as may be required and receive payment thereof within thirty (30) days. If there are any remaining incomplete minor items, an amount equal to two hundred (200%) percent of the value of each item, as determined by the County, shall be withheld until such items are completed.

(4) This Contract is governed by O.C.G.A. § 13-10-80, which requires that the Design/Builder, within ten (10) days of receipt of retainage from DeKalb County, pass through payments to Subcontractors and reduce each Subcontractor's retainage accordingly. The Code provision also requires Subcontractors to pass through payments to Lower Tier Subcontractors and reduce each lower tier contractor's retainage. Therefore, DeKalb County, in its discretion, may require the Design/Builder to submit satisfactory evidence that all payrolls, material bills, or other indebtedness connected with the Work have been paid before making any payment. Within sixty (60) days after the Work is fully completed and accepted by the County, the balance due hereunder shall be paid; provided, however, that final payment shall not be made until said Design/Builder shall have completed all work necessary and reasonably incidental to the Contract, including final cleanup and restoration.

(5) Owner shall be entitled to rely upon the accuracy and completeness of the information furnished by Design/Builder in connection with its request for payment.

Owner shall have the right, however, upon demand, to make a detailed examination, audit or inspection of Design/Builder's books and records for the purpose of verifying the accuracy and completeness of such information. In the event Owner determines that Design/Builder has been paid any sums not due Design/Builder, same shall be reimbursed by Design/Builder to Owner within forty-eight (48) hours of demand by Owner.

(6) Notwithstanding any other provision in the Contract Documents, final payment shall not be made to Design/Builder until Design/Builder has fully performed all of its obligations under the Contract and the Design Services and the Work are fully complete.

(B) Owner's Review of Pay Requests: Owner shall have the right to review all pay requests and the Design Services and the Work at the Project site or elsewhere to determine whether the quantity and quality of the Work and the Design Services is as represented in the pay request and as required by the Contract.

(C) Conditions Precedent To Payment: In addition to all other conditions precedent contained herein, it shall be a condition precedent to payment of any pay request that Design/Builder have submitted updated schedules for the performance of its Work and Design Services as required by this Agreement and that Design/Builder shall have furnished to Owner properly executed waivers of lien, in a form acceptable to Owner, from all Subcontractors, materialmen, Suppliers or others having lien rights, wherein they shall acknowledge receipt of all sums due pursuant to all prior pay requests and waive and relinquish any liens or lien rights relating thereto.

(D) Title Passes Upon Payment: Design/Builder warrants and represents that upon payment of any pay request submitted by Design/Builder, title to all Work covered by the pay request shall immediately pass to Owner. All equipment, materials, and work covered by progress payments shall, upon payment thereof, become the sole property of the County, but this provision shall not be construed as relieving the Design/Builder from the sole responsibility for the care and protection of materials and work upon which payments have been made, or the restoration of any damaged work.

(E) Payment Not a Waiver or Acceptance: No payment to Design/Builder, nor any use or occupancy of the Project by Owner, shall be interpreted or construed to constitute acceptance of any Work not in strict compliance with the Contract, and Design/Builder expressly accepts the risk that defective Work may not be detected (1) during any inspection by Owner, (2) prior to making of any payment to Design/Builder, or (3) before Owner's occupancy of the Project.

(F) Withholding of Payment: Owner shall have the right to refuse to make payment and, if necessary, may demand the return of a portion or all of the amount previously paid to Design/Builder in an amount then believed by Owner to be adequate to cover the penalties, damages, and potential losses resulting or likely to result from:

(1) The quality of a portion, or all, of Design/Builder's Work not being in accordance with the requirements of this Contract;

(2) The quantity of Design/Builder's Work not being as represented in Design/Builder's pay request, or otherwise;

(3) Design/Builder's rate of progress being such that, in Owner's opinion, Substantial Completion, Final Completion, or both, may be unexcusably delayed;

(4) Design/Builder's failure to use Contract funds, previously paid Design/Builder by Owner, to pay Design/Builder's Project-related obligations including, but not limited to, Subcontractors, laborers and material and equipment Suppliers;

(5) Evidence that the balance of the Work cannot be completed in accordance with the Contract for the unpaid balance of the Contract Price;

(6) Claims made, or likely to be made, against Owner or its property;

(7) Loss caused by Design/Builder; Design/Builder's failure or refusal to perform any of its obligations to Owner. In the event that Owner makes written demand upon Design/Builder for amounts previously paid by Owner as contemplated in this Paragraph 11(H), Design/Builder shall promptly comply with such demand.

(G) Unexcused Failure to Pay: If Owner, without cause or basis hereunder, fails to pay Design/Builder any amounts due and payable to Design/Builder within thirty (30) days after the date established herein for payment of such amounts, then Design/Builder may suspend its Design Services or, as applicable, the Work until payment is made, provided that Design/Builder first gives ten (10) days' written notice to Owner of its intent. Any payment due hereunder which is not made within thirty (30) days after the date due shall bear interest at the rate of 7 percent (7%) per annum.

(H) Payments to Design/Builder: Cost Breakdown - The Design/Builder shall be prepared to submit a cost breakdown immediately after the opening of Bids. Cost breakdown shall be based on values of parts of the Work as divided according to sections of the Specifications, and shall be further subdivided into labor and materials.

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ARTICLE 12 SUBSTANTIAL AND FINAL COMPLETION

(A) Substantial Completion: “Substantial Completion” means that stage in the progression of the Work, as approved by Owner in writing, when the Project is sufficiently complete in accordance with the Contract that Owner can enjoy beneficial use or occupancy of the entire Project and can utilize it for all of its intended purposes. A condition precedent to Substantial Completion is the receipt by Owner of all necessary certificates of occupancy or other authorizations for the use and occupancy of the Project required by any governmental or regulatory authority. Owner reserves the right to occupy and use any part, phase or system of the Project when such part, phase or system is substantially completed, but such partial use or occupancy of the Project shall not result in the Project being deemed substantially complete, and such partial use or occupancy shall not be evidence of Substantial Completion.

(B) Determination of Substantial Completion: When Design/Builder believes that the Work is substantially complete, Design/Builder shall notify Owner in writing and shall submit to Owner a list of items remaining to be completed or corrected. Owner will perform an inspection and if the Work is substantially complete in the opinion of Owner, Owner will prepare a Certificate of Substantial Completion which shall establish the date of Substantial Completion. The Certificate of Substantial Completion shall state the responsibilities of Owner and Design/Builder for Project security, maintenance, heat, utilities, damage to the Work, and insurance, and shall fix the date, 30 days hence, within which Design/Builder shall complete any items of incomplete or defective Work. The Certificate of Substantial Completion shall be submitted to Design/Builder for its written acceptance of the responsibilities assigned to it in such certificate.

(C) Final Completion: “Final Completion” means the completion of all Design Services and all Work required by, and in strict compliance with, the Contract, including Design/Builder’s provision to Owner of all documents and things required to be provided by the Contract.

(D) Determination of Final Completion: When Design/Builder believes that all of the Work is finally complete, and Design/Builder is ready for a final inspection, Design/Builder shall so notify Owner in writing. Owner will then make final inspection of the Work and, if the Work is complete in strict accordance with the Contract, and the Contract has been fully performed, then Owner will issue a Certificate for Final Payment, providing for payment of the remainder of the Contract Price, less any amount withheld pursuant to the Contract.

(E) Conditions Precedent to Final Payment: Prior to being entitled to receive final payment, and as a condition precedent thereto, Design/Builder shall furnish Owner, in the form and manner required by Owner, the following:

- (1) An affidavit that all of Design/Builder’s obligations to Subcontractors, laborers, equipment or material Suppliers, or other third parties in connection with the Project, have been paid or otherwise satisfied;

- (2) If required by Owner, separate releases of lien or lien waivers from each Subcontractor, lower tier subcontractor, laborer, Supplier or other person or entity who has, or might have a claim against Owner or Owner's property;
- (3) If applicable, consent(s) of Surety to final payment;
- (4) A complete set of the as-built drawings and the record set of Contract Documents;
- (5) All product warranties, operating manuals, instruction manuals and other record documents, drawings and things customarily required of a contractor, or expressly required herein, as a part of or prior to Project closeout.

(F) Acceptance of Final Payment a Waiver: Acceptance by Design/Builder of final payment shall constitute a waiver and release of all claims against Owner by Design/Builder except for those claims previously made in writing against Owner by Design/Builder, pending at the time of final payment and specifically identified on Design/Builder's pay request for final payment as unsettled at the time it submits its pay request.

(G) Final Payment:

(1) Before final payment to the Design/Builder of the percentage retained by the County, the following requirements shall be complied with:

a. Final Inspection: Upon notice from the Design/Builder that Design/Builder's work is completed, the Owner shall make a final inspection of the Work, and shall notify the Design/Builder of all instances where Design/Builder's work fails to comply with the Drawings and Specifications, as well as any defects Design/Builder may discover. The Design/Builder shall immediately make such alterations as are necessary to make the Work comply with the Drawings and Specifications. Failure of the Owner to notify the Design/Builder of any defect or deficiency in the Work and/or failure of the Work to comply with the Drawings and Specifications shall not release or discharge the Design/Builder from any of its duties or obligations under this Agreement.

b. Final Payment: When the Work under this Contract is completed, a final payment request shall be submitted representing the original Contract Price and Change Orders to the Contract. The final payment shall not be due until the Design/Builder shall have completed all work necessary and reasonably incidental to the Contract, including final clean-up.

(2) Acceptance of the Work and the making of final payment shall not constitute a waiver of any claims by the County. Payments otherwise due the Design/Builder may be withheld by the County because of defective work not remedied and unadjusted damage to others by the Design/Builder or Subcontractors, vendors, or laborers.

(3) Requests for final payment must be submitted within sixty (60) days after the Work has been completed and accepted by the County. All requests for final payment are subject to final approval and audit by the Board of Commissioners of DeKalb County.

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ARTICLE 13 OWNER’S DUTIES, OBLIGATIONS, AND RESPONSIBILITIES

In addition to payment, Owner shall undertake to perform the following:

(A) Provide Project Information: Owner shall provide Design/Builder with information regarding Owner’s requirements for the Project including any desired or required design or construction schedule.

(B) Review of Documents: Owner shall review any documents submitted by Design/Builder requiring Owner’s decision, and shall render any required decisions pertaining thereto.

(C) Provide Notice of Defects: In the event Owner knows of any material fault or defect in the Work, nonconformance with the Contract, or of any errors, omissions or inconsistencies in the Design Documents, then Owner shall give prompt notice thereof to Design/Builder.

(D) Access to the Site and the Work: Owner shall provide Design/Builder access to the site and to the Work, and shall provide Design/Builder with such information, existing and reasonably available, necessary to Design/Builder’s performance of the Contract as Design/Builder may request.

(E) Cooperation to Secure Permits, Licenses, Approvals, and Authorizations: Owner shall cooperate with Design/Builder in securing any necessary licenses, permits, approvals or other necessary authorizations for the design, construction and certification of the Project.

(F) Timely Performance: Owner shall perform the duties set forth in this Article 13 in a reasonably expeditious fashion so as to permit the orderly and timely progress of Design/Builder’s Design Services and of the Work.

(G) Owner’s Reviews, Inspections, Approvals, And Payments Not a Waiver: Owner’s review, inspection, or approval of any Work, Design Documents, Submittals, or pay requests by Design/Builder shall be solely for the purpose of determining whether such Work and such documents are generally consistent with Owner’s construction program and requirements. No review, inspection, or approval by Owner of such Work or documents shall relieve Design/Builder of its responsibility for the performance of its obligations under the Contract or the accuracy, adequacy, fitness, suitability, or coordination of its Design Services or the Work. Approval by any governmental or other regulatory agency or other governing body of any Work, Design Documents, or Contract Documents shall not relieve Design/Builder of responsibility for the strict performance of its obligations under the Contract. Payment by Owner pursuant to the Contract shall not constitute a waiver of any of Owner’s rights under the Contract or at law, and Design/Builder expressly accepts the risk that defects in its performance, if any, may not be discovered until after payment, including final payment, is made by Owner.

(H) Delay or Forbearance Not Waiver: Owner’s agreement not to exercise, or its delay or failure to exercise, any right under the Contract or to require strict compliance with any

obligation of Design/Builder under the Contract shall not be a waiver of the right to exercise such right or to insist on such compliance at any other time or on any other occasion.

(I) Documents Requested by Design/Builder: Owner shall furnish to Design/Builder, prior to the execution of this Agreement, any and all written and tangible material knowingly in its possession concerning conditions below ground at the site of the Project. Such written and tangible material is furnished to Design/Builder only in order to make complete disclosure of such material and for no other purpose. By furnishing such material, Owner does not represent, warrant, or guarantee its accuracy or completeness either in whole or in part, and shall have no liability therefor. If Design/Builder requests in writing, Owner shall also furnish surveys, legal limitations, and utility locations (if known), and a legal description of the Project site.

(J) Approvals and Easements: Owner shall obtain all easements required for construction, and shall pay for necessary assessments and charges required for use and occupancy of the Work. Design/Builder shall render such assistance as Owner may request in obtaining such easements, certificates of occupancy, and the like.

(K) Right to Stop Work: In the event Design/Builder fails or refuses to perform the Work in strict accordance with the Contract, or is otherwise in breach of this Contract in any way, Owner may, at its option, instruct Design/Builder to cease and desist from performing further Work, or any part thereof. Upon receipt of such instruction from Owner in writing, Design/Builder shall immediately cease and desist as instructed by Owner and shall not proceed further until the cause for Owner's instructions has been corrected, no longer exists, or Owner instructs that the Work may resume.

(L) Owner's Right to Perform Work: In the event Owner issues such instructions to stop Work, and in the further event that Design/Builder fails and refuses within seven (7) days of receipt of same to provide adequate assurance to Owner that the cause of such instructions will be eliminated or corrected, then Owner shall have the right to carry out the Work with its own forces, or with the forces of other contractors, and Design/Builder shall be fully responsible for the costs incurred in correcting any defective or deficient Work. The rights set forth in Paragraph 13(K) and this Paragraph 13(L) are in addition to, and without prejudice to, any other rights or remedies Owner may have against Design/Builder, including the rights to terminate or withhold payment as provided herein.

(M) Owner's Representative: "Owner's Representative" means the individual named by Owner, in writing, to act on Owner's behalf in the administration of the Contract.

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ARTICLE 14
PROJECT DOCUMENTATION

(A) Maintenance of Project-Related Records: Design/Builder shall maintain and protect all records relating in any manner whatsoever to the Project (the “Project Records”) for no less than eleven (11) years after Final Completion of the Project, and for any longer period of time as may be required by law or good management practice.

(B) Availability of Project-Related Records to Owner: All Project Records which are in the possession of Design/Builder or Design/Builder’s Subcontractors shall be made available to Owner for inspection and copying upon Owner’s request at any time. Additionally, such records shall be made available upon request by Owner to any state, federal or other regulatory authorities, and any such authority may review, inspect and copy such records. The Project Records include, without limitation, all drawings, plans, specifications, Submittals, correspondence, logs, minutes, memoranda, photographs, tape or videotape recordings, or other writings or things which document the Project, its design, or its construction. Said records include those documents reflecting the cost of design and construction to Design/Builder.

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ARTICLE 15 PERSONNEL, SUBCONTRACTORS AND SUPPLIERS

(A) Subcontractor Defined: A “Subcontractor” means an entity which has a direct contract with Design/Builder to perform a portion of the Work or the Design Services. For purposes of the Contract, Subcontractors shall also include those furnishing specially fabricated equipment and materials for the Project. The Design/Builder understands and agrees that it shall be a breach of this Contract to subcontract any portion of the Work on this Project unless the Work and the contractor proposed to perform it have been declared in the Proposal to the Contract; or the Design/Builder shall have obtained written approval from the County.

THE DESIGN/BUILDER FURTHER UNDERSTANDS AND AGREES THAT ANY WORK ON THIS PROJECT WHICH THE DESIGN/BUILDER SECURES IN VIOLATION OF THIS PROVISION SHALL BE DEEMED A GRATUITY FROM THE DESIGN/BUILDER FOR WHICH DEKALB COUNTY SHALL NOT BE OBLIGATED TO PAY.

Nothing contained in this Contract shall create any contractual relation between any Subcontractor and the County.

(B) Supplier Defined: A “Supplier” means an entity providing only equipment or materials for the performance of the Work.

(C) Objections to Subcontractors: Design/Builder shall furnish Owner, in writing, the names of persons or entities proposed by Design/Builder to act as Subcontractors on the Project. Design/Builder shall provide such information regarding such proposed Subcontractors as Owner deems necessary. Design/Builder shall not enter into a subcontract with an intended Subcontractor with reference to whom Owner objects. Any consent or failure to reject by Owner shall in no way relieve Design/Builder of any of its duties or warranties under the Contract.

(D) Terms of Subcontracts: All subcontracts and purchase orders with Subcontractors shall afford Design/Builder rights against the Subcontractor which correspond to those rights afforded to Owner against Design/Builder herein, including those rights of Contract suspension, termination, and stop Work orders as set forth herein. It is expressly agreed that no relationship of agency, employment, contract, obligation or otherwise shall be created between Owner and any Subcontractor of Design/Builder and a provision to this effect shall be inserted into all agreements between Design/Builder and its Subcontractors.

(E) Design/Builder Responsible For Acts of Its Subcontractors: Should Design/Builder subcontract all or any part of the Work, such subcontracting of the Work shall not relieve Design/Builder from any liability or obligation under the Contract or under any applicable policy, law or regulation, and Design/Builder shall be responsible for all and any acts, defaults, omissions or negligence of its Subcontractors, Suppliers, and consultants.

(F) Removal of Subcontractors and Personnel: If, at any time during the course of the Project, Owner reasonably determines that the performance of any Subcontractor or any member of Design/Builder’s staff working on the Project is unsatisfactory, Owner may require Design/Builder to remove such Subcontractor or staff member from the Project immediately and

replace the staff member at no cost or penalty to Owner for delays or inefficiencies the change may cause.

(G) Design/Builder's Personnel:

(1) The Design/Builder will supervise and direct the Work. Design/Builder will be solely responsible for the means, methods, techniques, sequences, and procedures of construction. An experienced Superintendent and necessary assistants competent to supervise the particular types of work involved shall be assigned to the Project by the Design/Builder, and shall be available at all times when work is in progress. The name of the Superintendent shall be submitted with qualifications of same prior to start of the Work and shall be approved by the Owner prior to start of the Work. The Superintendent so named by the Design/Builder shall be employed by the Design/Builder and shall have served in a supervisory capacity on at least one Project of like description and size performed by the Design/Builder during the previous twelve months. Under no circumstances shall an employee of any Subcontractor serve as Project Superintendent. The Superintendent shall represent the Design/Builder, and all directions given to the Superintendent shall be as binding as if given to the Design/Builder.

(2) Only persons skilled in the type of work which they are to perform shall be employed. The Design/Builder shall, at all times, maintain discipline and good order among Design/Builder's employees, and shall not employ on the Work any unfit person or persons or anyone unskilled in the work assigned him.

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ARTICLE 16 CHANGES AND EXTENSIONS OF TIME

(A) Definition of Change Order: “Change Order” shall mean a written order to the Design/Builder authorizing an addition, deletion, or revision in the Work within the general scope of the Contract Documents, or authorizing an adjustment in the Contract Price or Contract Time, as approved by the DeKalb County Board of Commissioners, or the Chief Executive Officer, if exempted from Governing Authority adoption and approval in accordance with the express terms of this Contract. The County’s Chief Executive Officer or his/her designee shall have authority to approve a change order in a total amount less than \$100,000.00.

Design/Builder acknowledges that Change Orders may require the approval of the DeKalb County Board of Commissioners. Design/Builder agrees that Owner may have no less than thirty-one (31) days in which to seek approval by said Board of any proposed or required Change Order. In no event, and under no circumstances, shall Design/Builder make any claim for delay, acceleration, interference, or other claim for damages, cost or expense arising out of, or relating to, the time required to secure the approval or rejection of any Change Order, so long as said approval or rejection is made by the Board within thirty-one (31) days after submission of a proposed Change Order by Design/Builder.

(B) Changes in the Work:

(1) The County may at any time, as the need arises, order changes within the scope of the Work without invalidating the Agreement. If such changes increase or decrease the amount due under the Contract Documents, or in the time required for performance of the Work, an adjustment may be authorized by Change Order.

(2) The Owner, also, may at any time, by issuing a Field Order make changes in the details of the Work. The Design/Builder shall proceed with the performance of any changes in the Work so ordered by the Owner unless the Design/Builder believes that such Field Order entitles Design/Builder to a change in Contract Price or Time, or both, in which event Design/Builder shall give the Owner written notice thereof within fifteen days after the receipt of the ordered change, and the Design/Builder shall not execute such changes pending the receipt of an executed Change Order or further instruction from the County.

(3) The Contract Price may be changed only by a Change Order. The value of any work covered by a Change Order or of any claim for increase or decrease in the Contract Price shall be determined by one or more of the following methods in the order of precedence listed below.

- a. Unit prices previously approved.
- b. An agreed sum.
- c. The actual cost for labor, direct overhead, materials, supplies, equipment, and other services necessary to complete the Work. In addition, there

shall be added an amount agreed upon but not to exceed fifteen percent of the actual cost of such work to cover the cost of general overhead and profit.

(C) **Modification:** This Agreement may be modified or amended by the County to reduce the scope of work or Project description upon seven (7) days written notice; the written notice shall be sent to the Design/Builder addressed as follows:

Attn:

All notices shall be sent via (a) registered or certified United States mail, return receipt requested, postage prepaid, (b) personal delivery, or (c) overnight courier service. All notices sent to the Design/Builder's address shall be binding upon the Design/Builder unless said address is changed by the Design/Builder in writing to the County.

(D) **Continuing Duty to Perform Work and Make Payment:** In the event the parties are unable to agree on the terms of a Change Order, then Design/Builder shall continue to diligently perform the Design Services and the Work, including any change directed by Owner by Change Order, and shall keep thorough records of the cost of performance of such Change Order.

(E) **Changes in Unit Prices:** If unit prices are provided in the Contract, and if the quantities contemplated are changed in a proposed Change Order such that an application of the unit prices to the quantities of Work proposed will cause substantial inequity to Owner or to Design/Builder, the applicable unit prices may be equitably adjusted.

(F) **Minor Changes:** Owner shall have authority to order minor changes in the Work not involving a change in the Contract Price nor extension of the Contract Time and not inconsistent with the intent of the Contract. Such minor changes shall be made by written Field Order, and Design/Builder shall promptly carry out such written Field Orders.

(G) **Effect of Executed Change Order:** The execution of a Change Order by Design/Builder shall constitute conclusive evidence of Design/Builder's agreement to the ordered changes in the Design Services or the Work and the Contract Time, if any, as thus amended.

(H) **Consent of Surety:** Design/Builder shall notify and obtain the consent and approval of Design/Builder's surety with reference to all Change Orders if such notice, consent or approvals are required by Owner, Design/Builder's surety or by law. Design/Builder's execution of the Change Order shall constitute Design/Builder's warranty to Owner that the surety has been notified of, and consents to, such Change Order and the surety shall be conclusively deemed to have been notified of such Change Order and to have expressly consented thereto.

(I) **Fiduciary Relationship:** Design/Builder recognizes and accepts a fiduciary relationship of trust and confidence hereby established between Design/Builder and Owner and

agrees that it shall at all times in good faith use its best efforts to advance Owner’s interests and agrees to perform the Design Services and the Work in the best professional manner.

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ARTICLE 17 CLAIMS BY DESIGN/BUILDER

(A) Terms and Conditions of Claims: Claims by Design/Builder against Owner are subject to the terms and conditions of this Article 17, and strict compliance herewith shall be a condition precedent to any liability of Owner therefor.

(B) Notice of Claim: All Design/Builder claims, disputes and other matters in question against Owner arising out of or related to the Contract or the breach thereof, including without limitation claims in respect of changes in the Contract Price or Contract Time, shall be initiated by a written notice of claim submitted to Owner. Such written notice of claim shall be received by Owner no later than seven (7) days after the event, or the first appearance of the circumstances, causing the claim, and same shall set forth in detail all known facts and circumstances supporting the claim including the amount claimed. Design/Builder agrees and acknowledges that its failure to provide written notice of a claim as set forth herein shall constitute a waiver of any claim for additional compensation or time extension related thereto.

(C) Documentation in Support of Claims: Upon discovering an event or condition forming the basis of a claim for an increase in the Contract Price or an extension of the Contract Time, Design/Builder shall, until the claim is resolved, commence to maintain separate records evidencing all costs and delays incurred in connection with the event or condition forming the basis for the claim. **Formal Written Claim:** No later than thirty (30) days after the date of the written notice of claim, Design/Builder shall submit a formal written claim which shall include at least the following information: (1) a concise statement of the occurrence(s) supporting the claim, dispute or other matter, and the relief sought; (2) identification of the facts giving rise to the claim dispute or other matter; (3) the date Design/Builder discovered the occurrence(s); (4) a detailed schedule identifying all costs resulting from the claim, dispute or other matter; (5) documentation supporting the schedule; (6) identification of any impact the claim, dispute or other matter has on the critical path schedule; and (7) all correspondence, internal memoranda, progress notes, and other documentation relating to the events which form the basis of the claim, dispute or other matter. The claim shall be verified as to its truthfulness and accuracy, under oath, by an officer of the Design/Builder. Other information or documents shall be submitted to Owner within ten (10) days after written request by Owner. The failure to provide a claim as set forth herein, or the failure to provide such other documents or information requested by Owner within ten (10) days after the written request shall constitute a waiver of any claim for additional compensation or time extension related thereto.

(D) Continuous Duty to Provide Documentation: Design/Builder shall provide, and continue to provide, to Owner all such documentation, including cost and time records, as and when Owner may request so that Owner may evaluate Design/Builder's claim.

(E) Duty to Continue Performance: Design/Builder and Owner shall continue their performance hereunder regardless of the existence of any claims submitted by Design/Builder.

(F) Claims for Increase in Contract Price: In the event Design/Builder seeks to make a claim for an increase in the Contract Price, as a condition precedent to any liability of Owner therefor, Design/Builder shall strictly comply with the requirements of Paragraph 17(B) above and

such notice shall be given by Design/Builder before proceeding to execute any additional or changed Work. Failure of the condition precedent to occur shall constitute a waiver by Design/Builder of any claim.

(G) Limit of Owner’s Liability for Increased Costs: In connection with any claim by Design/Builder against Owner for compensation in excess of the Contract Price, any liability of Owner shall be strictly limited to the Cost of the Work and Services as defined and allowed in Paragraph 10(A) hereinabove and shall in no event include, indirect, consequential, impact or other costs, expenses or damages of Design/Builder or its Subcontractors. Owner shall not be liable to Design/Builder for claims of third parties, including Subcontractors, for acts, omissions, events, or conditions for which Owner would not be liable to Design/Builder under the terms of the Contract. As a condition precedent to Owner’s liability to Design/Builder for any loss or damage resulting from claims of third parties, including Subcontractors, such third parties must have complied with all conditions contained in their agreements with Design/Builder and such claims must have been submitted to Owner by Design/Builder in strict compliance with all the requirements of this Article 17. Owner shall not be liable to Design/Builder for claims of third parties including Subcontractors, unless and until the liability of Design/ Builder therefor has been established in a court of competent jurisdiction.

(H) Claims for Increase in Contract Time: If Design/Builder is delayed in progressing any task which at the time of the delay is then critical or which during the delay becomes critical, as the sole result of any act or neglect to act by Owner or someone acting in Owner’s behalf, or by changes ordered in the Design Services or the Work, unusually bad weather not reasonably anticipatable, fire or other Acts of God, then the date for achieving Substantial Completion, or, as applicable, Final Completion, shall, subject to the provisions of Paragraph 17(J) below, be appropriately adjusted by Owner upon the written notice and claim of Design/Builder to Owner for such reasonable time as Owner may determine. A task is critical within the meaning of this Paragraph 17(I) if, and only if, said task is on the critical path of the Project schedule so that a delay in performing such task will delay the ultimate completion of the Project. As a condition precedent to any right to an extension of time, Design/Builder shall strictly comply with the requirements of Paragraph 17(B) above and such notice shall be given by Design/Builder before proceeding to execute any additional or changed Design Services or Work. If Design/Builder fails to give such notice, any claim for an extension of time shall be waived. In the event the delay to Design/Builder is a continuing one, only one notice and claim for additional time shall be necessary, provided the continuing nature of the delay is indicated in the notice and claim.

(I) Owner’s Right to Order Acceleration and to Deny Claimed and Appropriate Time Extensions, in Whole or in Part: Design/Builder acknowledges and agrees that Substantial Completion of the Work by or before the Scheduled Completion Date is of substantial importance to Owner.

(1) Owner shall accordingly have the right in its sole discretion to order Design/Builder to accelerate its progress in such a manner as to achieve Substantial Completion on or before such date as Owner may reasonably direct and, upon receipt, Design/Builder shall comply with such order.

(2) In the event that Design/Builder is otherwise entitled to an extension of Contract Time and has made claim therefor in accordance with Paragraph 17(I) above, Owner shall have the right in its sole discretion to deny all, or any part, of such extension of Contract Time by written notice to Design/Builder provided within seven (7) days of receipt of Design/Builder's claim. Should Owner deny Design/Builder's claim for an extension of Contract Time under this Subparagraph (2), either in whole or in part, Design/Builder shall proceed to prosecute the Work in such a manner as to achieve Substantial Completion on or before the then existing Scheduled Completion Date.

(J) Claims Resolved By Change Order: The resolution of any claim under this Article 17 shall be reflected by a Change Order executed by Owner and Design/Builder.

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ARTICLE 18 UNCOVERING AND CORRECTING WORK

(A) Design/Builder not to Cover Work Contrary to Requirements: If any of the Work is covered, concealed or obscured contrary to the written request of Owner, or contrary to any provision of the Contract, said Work shall, if required by Owner, be uncovered for inspection and shall be properly replaced at Design/Builder's expense without change in the Contract Time.

(B) Owner's Right to Order Uncovering of Any Work: If any of the Work is covered, concealed or obscured in a manner not inconsistent with Paragraph 18(A) above, it shall, if required by Owner, be uncovered for inspection. If such Work conforms strictly to the Contract, the cost of uncovering and proper replacement shall be charged to Owner. If such Work does not strictly conform to the Contract, Design/Builder shall pay the cost of uncovering and proper replacement.

(C) Guarantee and Correction of Work: The Design/Builder shall guarantee all work to have been accomplished in conformance with the Contract Documents. Neither the final certificate of payment nor any provision of the Contract Documents, nor partial or entire occupancy or use of the Work by the County, shall constitute an acceptance of any part of the Work not done in accordance with the Contract Documents, or relieve the Design/Builder of liability for incomplete or faulty materials or workmanship. The Design/Builder shall promptly remedy any omission or defect in the Work and pay for any damage to other Replacement or facilities resulting from such omission or defect which shall appear within a period of twelve (12) months from the date of final completion, unless a longer period is elsewhere specified. In the event that the Design/Builder should fail to make repairs, adjustments, or other remedy that may be made necessary by such defects, the County may do so and charge the Design/Builder the cost thereby incurred. The Performance Bond shall remain in full force and effect through all warranties contained in the Contract Documents.

(D) Duty To Correct Defective Work Discovered After Completion: In addition to its warranty obligations set forth elsewhere herein, Design/Builder shall be specifically obligated to correct at its cost and expense any and all defective or nonconforming Work for a period of twelve (12) months following Final Completion upon written direction from Owner. This obligation shall survive final payment by Owner and termination of the Contract.

(E) No Period of Limitation Established: Nothing contained in Paragraphs 18(C) and 18(D) shall establish any period of limitation with respect to other obligations which Design/Builder has under the Contract. Establishment of the twelve (12) month time period in Paragraphs 18(C) or 18(D) above relates only to the duty of Design/Builder to specifically correct the Work.

(F) Owner's Option to Accept Defective Work: Owner may, but shall in no event be required to, choose to accept defective or nonconforming Work. In such event, the Contract Price shall be reduced by the reasonable costs of removing and correcting the defective or nonconforming Work. Owner shall be entitled to such reduction in the Contract Price regardless of whether Owner has, in fact, removed and corrected such defective Work. If the unpaid balance of the Contract Price, if any, is insufficient to compensate Owner for the acceptance of defective

or nonconforming Work, Design/Builder shall, upon written demand from Owner, pay Owner such additional compensation for accepting defective or nonconforming Work.

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ARTICLE 19 SUSPENSION AND TERMINATION

(A) Suspension of Performance: Owner may for any reason whatsoever suspend performance under the Contract. Owner shall give written notice of such suspension to Design/Builder specifying when such suspension is to become effective.

(B) Ceasing Performance upon Suspension: From and upon the effective date of any Suspension ordered by Owner, Design/Builder shall incur no further expense or obligations in connection with the Contract, and Design/Builder shall cease its performance. Design/Builder shall also, at Owner's direction, either suspend or assign to Owner any of its open or outstanding subcontracts or purchase orders.

(C) Claim for Costs of Suspension: In the event Owner directs a suspension of performance under this Article 19, through no fault of Design/Builder, and provided Design/Builder submits a proper claim as provided in this Agreement, Owner shall pay Design/Builder as full compensation for such suspension Design/Builder's reasonable costs, actually incurred and paid, of:

- (1) Demobilization and remobilization, including such costs paid to Subcontractors;
- (2) Preserving and protecting Work in place;
- (3) Storage of materials or equipment purchased for the Project, including insurance thereon;
- (4) Performing in a later, or during a longer, time frame than that contemplated by this Contract.

(D) Resumption of Work after Suspension: If Owner lifts the suspension it shall do so in writing, and Design/Builder shall promptly resume performance of the Contract unless, prior to receiving the notice to resume, Design/Builder has exercised its right of termination as provided herein.

(E) County's Right to Suspend or Terminate:

- (1) If the Design/Builder is adjudged bankrupt or insolvent, or if Design/Builder makes a general assignment for the benefit of Design/Builder's creditors, or if a trustee or receiver is appointed for the Design/Builder or for any of Design/Builder's property, or if Design/Builder files a petition to take advantage of any debtor's act or to reorganize under the bankruptcy or applicable laws, or if Design/Builder repeatedly fails to supply sufficient skilled workers or suitable materials or equipment, payments to Subcontractors or for labor, materials or equipment, or if Design/Builder disregards laws, ordinances, rules, regulations or orders of any public body having jurisdiction of the Work, or if Design/Builder otherwise violates any provision of the Contract Documents, then the County may, without prejudice to any other right or remedy and after giving the Design/Builder and Design/Builder's surety a maximum of seven days from delivery of a

written notice, declare the Contract in default, take possession of the Project and of all materials, equipment, tools, construction equipment and machinery thereon owned by the Design/Builder, and call upon the surety to finish the Work by whatever method deemed expedient.

(2) Where Design/Builder's services have been so terminated by Owner, the termination will not affect any rights or remedies of Owner against Design/Builder then existing or which may therefore accrue. Any retention or payment of moneys due Design/Builder by Owner will not release Design/Builder from liability. If the Design/Builder can establish or it is otherwise determined that the Design/Builder was not in default or that the failure to perform is excusable a termination for default will be considered to have been a termination for the convenience of the Owner and the rights and obligations of the parties governed accordingly.

(3) Upon seven days' written notice to Design/Builder, Owner may, for its own convenience and at its sole option, without cause and without prejudice to any other right or remedy of Owner, elect to terminate the Contract. In such case, Design/Builder shall be paid (without duplication of any items):

- a. For completed and acceptable work executed in accordance with the Contract Documents prior to the effective date of termination, including fair and reasonable sums for overhead and profit on such work;
- b. For expenses sustained in performing services and furnishing labor, materials, or equipment as required by the Contract Documents in connection with Uncompleted Work;
- c. For amounts paid in settlement of terminated contracts with Subcontractors and Suppliers;
- d. Reasonable expenses directly attributable to termination including, but not limited to, fees and charges of engineers, architects, attorneys and other professionals, and court costs;
- e. Design/Builder shall not be paid on account of anticipatory profits or overhead or consequential damages.

(F) Termination of Agreement: The County may unilaterally terminate this Agreement, in whole or in part, for the County's convenience, or because of failure of the Design/Builder to fulfill the obligations of this Agreement in any respect. The County shall terminate by delivering to the Design/Builder, with at least thirty (30) days' notice, a Notice of Termination specifying the nature, extent, and effective date of termination. If terminated by the County, the written notice shall be sent to the Design/Builder via (a) registered or certified United States mail, return receipt requested, postage prepaid, (b) personal delivery, or (c) overnight courier service, addressed as follows:

Attn:

All notices sent to the above address shall be binding upon the Design/Builder unless said address is changed by the Design/Builder in writing to the County. If this Agreement is so terminated, the Design/Builder shall be paid as provided in this Article 19.

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**ARTICLE 20
OWNERSHIP OF DOCUMENTS**

(A) Ownership of Documents: All documents, including drawings, estimates, specifications, and data are and remain the property of the County. The Design/Builder agrees that the County may reuse any and all plans, specifications, drawings, estimates, or any other data or documents described herein in its sole discretion without first obtaining permission of the Design/Builder and without any payment of any monies to the Design/Builder therefor. However, any reuse of the documents by the County on a different site shall be at its risk and the Design/Builder shall have no liability where such documents are reused. Any documents furnished by Owner shall remain the property of Owner. Design/Builder may be permitted to retain copies of the Design Documents and Contract Documents and any documents furnished by Owner for its records with approval in writing of Owner; provided, however, that in no event shall Design/Builder use, or permit to be used, any portion or all of such documents on other Projects without Owner’s prior written authorization.

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ARTICLE 21 INDEMNITY

(A) From Personal Injury Or Damage To Tangible Property: Design/Builder shall indemnify and hold Owner and its affiliates, officers, directors and employees harmless from any and all claims, liability, damages, loss, cost and expense of every type whatsoever including, without limitation, attorneys' fees and expenses, in connection with Design/Builder's performance of this Contract, provided that such claims, liability, damage, loss, cost or expense is due to sickness, personal injury, disease or death, or to loss or destruction of tangible property (other than the Work itself), including loss of use resulting therefrom, to the extent caused by Design/Builder or anyone for whose acts Design/ Builder may be liable, regardless of whether such liability, claim, damage, loss, cost or expense is caused in part by Owner.

(B) From Violations of Laws, Environmental Requirements, Performance Guidelines, and Licensing Requirements: Design/Builder shall indemnify and hold harmless Owner and its affiliates, officers, directors, and employees from and against all claims, liabilities, damages, losses, costs, expenses (including reasonable attorney's fees and expenses, and fees and expenses of experts) for bodily injury, including death, or damage to or loss of property, or any other type or form of loss occurring or sustained or resulting from:

(1) Any violation by Design/Builder, its Subcontractors, representatives, employees, and agents of any municipal, state or federal laws, rules, or regulations applicable to the performance of its obligations under the Contract;

(2) Environmental violations or contamination from hazardous substances, hazardous wastes and emissions or other substances or chemicals regulated by any applicable environmental laws or regulations and resulting from any willful misconduct, negligent act or omission, or legal violation by Design/Builder, its Subcontractors, Suppliers, representatives, employees, or agents;

(3) The failure of any of Design/Builder's employees, agents, representatives, Suppliers, or Subcontractors to obtain and maintain the required skills, licenses, certificates and permits mandated by applicable federal, state or local governing authorities with jurisdiction over construction, fabrication, environmental, health and safety matters on the Project.

(C) Hazardous Materials: In the event Design/Builder discovers hazardous or contaminated materials, including but not limited to asbestos, PCBs, petroleum, hazardous waste, or radioactive material, Design/Builder shall stop all Work in connection with such hazardous condition and in any area affected thereby, and notify Owner of the discovery of said condition. Design/Builder shall strictly comply with all applicable laws, regulations, rules or other promulgations by governing bodies, agencies, authorities or organizations having jurisdiction over the Project or the discovery of said hazardous or contaminated material. Design/Builder shall secure the Work site to prevent access by unauthorized personnel. If Design/Builder fails to comply with this Paragraph 21(C) or contaminated, hazardous or suspected contaminated or hazardous material is transported (either on or off site) without notice to Owner, such materials

shall become the property of Design/Builder and Design/Builder shall be solely responsible for all costs and fines associated therewith.

(D) Indemnification Agreement: The Design/Builder shall be responsible from the time of signing the Contract, or from the time of the beginning of the first work, whichever shall be the earlier, for all injury or damage of any kind resulting from this work to persons or property, including employees and property of the County. To the fullest extent permitted by law, the Design/Builder shall exonerate, indemnify, and save harmless the County and its affiliates, officers, directors and employees from and against all claims or actions, and all expenses incidental to the defense of any such claims, litigation, and actions, based upon or arising out of damage or injury (including death) to persons or property caused by or sustained in connection with the performance of this Contract or by conditions created thereby or arising out of or any way connected with work performed under this Contract and shall assume and pay for, without cost to the County, the defense of any and all claims, litigations, and actions suffered through any act or omission of the Design/Builder, or any Subcontractor, or anyone directly or indirectly employed by or under the supervision of any of them. The Design/Builder expressly agrees to defend, through legal counsel acceptable to the County, against any claims brought or actions filed against the County, where such claim or action involves, in whole or in part, the subject of the indemnity contained herein, whether such claims or actions are rightfully or wrongfully brought or filed. Notwithstanding any language or provision in this Contract,

(1) With respect to any construction, alteration, repair, or maintenance services performed under this contract, Design/Builder shall not be required to indemnify any County Indemnitee against claims, actions, or expenses based upon or arising out of the County Indemnity's sole negligence; and

(2) With respect to any engineering, architectural, or land surveying services performed under this contract, Contractor's indemnity obligation extends only to claims, actions, or expenses based upon or arising out of the Contractor's negligence, recklessness, or intentionally wrongful conduct.

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ARTICLE 22 INSURANCE

(A) OCIP Insurance Coverage: In connection with the Work, and for the Contractor/Design/Builder and those subcontractors deemed eligible by the County for participation, the County will implement an Owner Controlled Insurance Program (“OCIP”), providing certain insurance coverages as detailed herein. The insurance coverages provided by the OCIP apply only to the Work performed on the Project site. The Design/Builder and its Subcontractors shall provide their own insurance for all off-site activities. The Builder’s Risk/All Risk Property Insurance component of the OCIP will expressly exclude coverage on Design/Builder’s and Subcontractors’ machinery, tools, and equipment not destined to become a part of the Project Work.

(B) OCIP Manual of Insurance Procedures: The OCIP coverage provided by the County shall be further detailed in, and the Design/Builder requirements with respect to the OCIP shall be described in, the General Liability Wrap-Up Manual (“the Manual”) to be incorporated into the Contract Documents and to be issued via an Exhibit to the Contract. This Manual includes information on the following OCIP coverages: Commercial General Liability, Excess Liability, and Builder’s Risk/All Risk Property Insurance.

Exclusion of Contractor/Design/Builder Insurance Costs

Because the County is providing specific limits of General Liability, Excess Liability and Property Coverage (for eligible workers), following enrollment in the OCIP the Design/Builder shall exclude all General Liability, Excess Liability, and Property Coverage (Builder’s Risk) costs from the Contract Price and its proposal/bid for itself and for all included Subcontractors. The Design/Builder warrants, for itself and all included Subcontractors, that all such on-site insurance costs for the coverages listed above shall be excluded in their entirety from the Contract Price, and no such coverage is duplicated by the Design/Builder or any Subcontractor of any tier. The Design/Builder and its Subcontractors shall make available to the County all documentation deemed necessary by the County in order to verify this cost exclusion. If requested by the County, the Design/Builder shall provide complete copies of its and its Subcontractors’ current insurance policies in order to assist in the verification of the accuracy of the exclusion of insurance costs and to verify the accuracy of the information provided by the Design/Builder and its Subcontractors.

Builders’ Risk Insurance Coverage

DeKalb County shall procure and maintain Builders Risk Insurance on the entire work which provides “All-risk” form that shall at least include insurance for physical loss or damage to the Work, temporary buildings, false work, and materials and equipment in transit, and shall insure against at least the following perils or causes of loss: fire, lightning, extended coverage, theft, vandalism, malicious mischief, earthquake, collapse, debris removal, demolition occasioned by enforcement of Laws and Regulations, water damage (other than caused by flood), and such other perils or causes of loss as may be specifically required by Supplementary Conditions) until Final Completion and Acceptance of the Project. Such policy of insurance shall contain at least the following sub-limits of insurance and deductibles:

Sub-limits:

| | |
|---|------------------------------|
| Property in Transit | \$1,000,000 |
| Property in Offsite Storage | \$1,000,000 |
| Plans & Blueprints | \$25,000 |
| Debris Removal | 25% of Insured Physical Loss |
| Delay in Completion / Soft Cost | TBD |
| Ordinance of Law (Increased Cost of Construction) | \$1,000,000 |
| Flood and Earthquake | TBD – Full Contract Value |
| Deductibles: | |
| Flood and Earthquake | \$25,000 |
| Water Damage other than Flood | \$100,000 |
| All other Perils | \$10,000 |

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ARTICLE 23 SURETY BONDS

Contract Security: The Design/Builder shall furnish a Contract Performance Bond and a Payment Bond, each equal to one hundred percent (100%) of the Contract Price. Bonds given shall meet the requirements of the law of the State of Georgia including, but not limited to, O.C.G.A. §§ 13-10-1 and 36-91-21 et seq. The surety on each Bond shall be a surety company satisfactory to the County and listed in the Federal Register and licensed to write surety insurance in the State of Georgia.

Within ten (10) days from the date of Notice of Award of this Contract, the Design/Builder, as Principal, and [INSERT NAME OF SURETY], a surety company listed in the Federal Register and licensed to write surety insurance in the State of Georgia, as surety, shall give a Contract Performance Bond and a Payment Bond, each in the amount of [INSERT AMOUNT] for the use of all persons doing work or furnishing skills, tools, machinery, or materials under or for the purpose of this Contract, in accordance with the provisions of the law of the State of Georgia including, but not limited to, O.C.G.A. §§ 13-10-1 and 36-91-21 et seq. The life of these bonds shall extend through the life of this Contract including a sixty (60) day maintenance period (where applicable) and a twelve month guarantee period after the completion of work performed under this Contract. The Performance and Payment Bonds are attached hereto as Attachment [INSERT NUMBER OR LETTER].

It is further agreed between the parties hereto that if at any time after the execution of this Agreement and the surety bonds for its faithful performance, the County shall deem the surety or sureties upon such bonds to be unsatisfactory, or if, for any reason, such bonds cease to be adequate to cover the performance of the Work, the Design/Builder shall, at its expense within five days after the receipt of notice from the County to do so, furnish additional bond or bonds in such form and amount and with such surety or sureties as shall be satisfactory to the County.

In such event no further payment to the Design/Builder shall be deemed to be due under this agreement until such new or additional security for the faithful performance of the Work shall be furnished in manner and form satisfactory to the County.

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ARTICLE 24 MISCELLANEOUS PROVISIONS

(A) Georgia Laws Govern: This Agreement shall be governed by and construed and enforced in accordance with the laws of Georgia.

(B) Venue: This Agreement shall be deemed to have been made and performed in DeKalb County, Georgia. For the purposes of venue, all suits or causes of action arising out of this Agreement shall be brought in the Superior Court of DeKalb County, Georgia and the Superior Court of DeKalb County, Georgia shall have sole and exclusive jurisdiction.

(C) Taxes:

(1) Contractor shall pay all sales, consumer, withholding, use and other similar taxes required to be paid by Design/Builder in accordance with the Laws and Regulations of the place of the Project which are applicable during the performance of the Work.

(2) The Design/Builder shall provide a written tabulation, plus other documentation as may be required, of all taxes, including sales tax, paid by the Design/Builder to assist the County in obtaining sales and/or use tax refunds for eligible machinery and equipment used for the primary purpose of reducing or eliminating air or water pollution as provided for in Chapter 48-8-3 (36) and (37) of the Official Code of Georgia. Such written tabulation shall be included with each partial payment request. The tabulation shall include the major equipment items, as defined in the Major Equipment Schedule, plus any qualifying equipment purchases with a value greater than \$5,000. Additionally, the tabulation shall be documented with copies of invoices indicating the amount of tax paid, with all blanks completed on the invoice, and with a description of the function of the item included in the tabulation. All taxes shall be paid by the Contractor. All refunds will accrue to the County.

(D) Accuracy of Work: The Design/Builder shall be responsible for the accuracy of the work and any error and/or omission made by the Design/Builder in any phase of the work under this Agreement.

(E) Additional Work: If the Design/Builder is asked by the County to perform work beyond the scope of this Agreement for which payment is desired, Design/Builder shall notify the County in writing, state that the work is considered outside the basic scope of work of this Agreement, give a proposed cost for the additional work, and obtain the approval in writing from the County prior to performing the additional work for which Design/Builder is to be paid. The County shall in no way be held liable for any work performed under this section which has not first been approved in writing by the County. Any claim for additional work must be made in strict accordance with Article 17.

(F) Successors and Assigns: The County and the Design/Builder each binds himself and Design/Builder's partners, successors, executors, administrators, and assigns to the other party of this Agreement and to the partners, successors, executors, administrators, and assigns of such other party, in respect to all covenants of this Agreement; except as above, the Design/Builder shall not assign, sublet, or transfer Design/Builder's interest in this Agreement without the written

consent of the County. Nothing herein shall be construed as creating any personal liability on the part of any officers or agent of the County, nor shall it be construed as giving any rights or benefits hereunder to anyone other than the parties to this Agreement.

(G) Notices: Any notice required to be given herein shall be deemed to have been given to the other party if sent via (a) registered or certified United States mail, return receipt requested, postage prepaid, (b) personal delivery, or (c) overnight courier service to the following addresses:

TO OWNER:

DeKalb County Watershed Management
180 Sams Street, Suite B3200
ATTN: Project Manager

TO DESIGN/BUILDER:

ATTN: _____

All notices shall be effective upon receipt.

(H) Reviews and Acceptance: Work performed by the Design/Builder shall be subject to review and acceptance in stages as required by the County. Acceptance shall not relieve the Design/Builder of Design/Builder's professional obligation to correct, at Design/Builder's own expense, any errors in the Work.

(I) County Representative: The County may designate a representative through whom the Design/Builder will contact the County. In the event of such designation, said representative shall be consulted and his written recommendation obtained before any request for extra work is presented to the DeKalb County Board of Commissioners. Payments to the Design/Builder shall be made only upon itemized bill submitted to and approved by said representative.

(J) Sole Agreement: This Agreement constitutes the sole agreement between the parties. No representations oral or written not incorporated herein shall be binding on the parties. No amendment or modifications of this Agreement shall be enforceable unless approved in writing by the County.

(K) No Third Party Beneficiary: Except as expressly and specifically set forth herein, this Contract shall not be deemed to provide any third-party with any remedy, claim, right of action, or other right.

(L) Controlling Provisions: In the event of a conflict between the County's RFP No. RFP No. 23-500650 Design/Build Services For Snapfinger Advanced Wastewater Treatment Facility Expansion – Phase 3b Project (UV Disinfection System) and the Design/Builder's

response thereto, the provisions of the County’s RFP shall govern. The provisions of this Agreement shall control over any conflicting provisions contained in the County’s RFP No. RFP No. 23-500650 Design/Build Services For Snapfinger Advanced Wastewater Treatment Facility Expansion – Phase 3b Project (UV Disinfection System) or the Design/Builder’s response.

(M) Publicity: No information relative to the existence or the details of the Design Services or the Work shall be released by Design/Builder, either before or after completion of the Project, for publication, advertising or any commercial purposes without Owner’s prior written consent.

(N) Severability: In the event that any portion or any portions of this Contract are held to be unenforceable by a court of competent jurisdiction, then the remainder of this Contract shall be enforced as though such portions had not been included, unless to do so would cause this Contract to fail of its essential purposes.

(O) Attorneys’ Fees: In the event that Design/Builder breaches any provision of this Contract, and in the event Owner retains any attorney to seek enforcement of said Contract, or in the event Owner institutes litigation against Design/Builder arising out of or relating to the Contract, Owner shall be entitled to recover from Design/Builder its reasonable attorneys’ fees, court costs, expert witness fees, and other related expenses.

(P) Prohibited Interests: No official of the County who is authorized in such capacity and on behalf of the County to negotiate, make, accept, or approve, or to take part in negotiating, making, accepting, or approving any architectural, engineering, inspection, construction, or material supply contract, or any subcontract in connection with the construction of the Project, shall become directly or indirectly interested personally in this Contract or in any part hereof. No officer, employee, architect, attorney, engineer, or inspector of or for the County who is authorized in such capacity and on behalf of the County to exercise any legislative, executive, supervisory, or other similar functions in connection with the construction of the Project, shall become directly or indirectly interested personally in this Contract or in any part thereof, any material supply contract, subcontract, insurance contract, or any other contract pertaining to the Project.

(Q) Weather Conditions: The Design/Builder will be required to protect all work and materials against damage or injury from the weather. If, in the opinion of the Owner, any work or materials shall have been damaged or injured by reason of failure to protect such, all such materials or work shall be removed and replaced at the expense of the Design/Builder.

(R) Royalties and Patents: The Design/Builder shall hold and save the County and its officers, agents, servants, and employees, harmless from liability of any nature or kind, including cost and expenses for, or on account of, any patented or unpatented invention, process, article, or appliance manufactured or used in the performance of the Contract, including its use by the County, unless otherwise specifically stipulated in the Contract Documents.

(S) Submittal Period for Products and Substitutions: Substitutions: Where items of equipment or materials are specifically identified herein by a manufacturer’s name, model, or catalog number, only such specific item may be used in the Base Bid. If the Design/Builder wishes to use items of equipment or materials other than those named in Design/Builder’s Base Bid, the

Design/Builder shall apply in writing for the Owner's approval of substitution at least ten (10) days prior to opening of bids, submitting with Design/Builder's request for approval complete descriptive and technical data on the items or item Design/Builder proposes to furnish. Approved substitutions will be listed in the Addendum issued to all Design/Builders prior to opening of bids.

(T) Measurements and Dimensions: Before ordering material or doing work which is dependent for proper size or installation upon coordination with conditions, the Design/Builder shall verify all dimensions by taking measurements at the building and shall be responsible for the correctness of same. No consideration will be given any claim based on the differences between the actual dimensions and those indicated on the Drawings.

(U) Storage Facilities and Work Areas: The Design/Builder shall cooperate with the County in any required use of its property and arrange for storage of materials on job site in such areas as are mutually agreed upon. The Design/Builder shall allot suitable and proper space to Design/Builder's Subcontractors for the storing of their materials and for the erection of their sheds and tool houses. Should it be necessary at any time to move materials, sheds, or storage platforms, the Design/Builder shall move same as and when directed, at Design/Builder's own expense.

(V) Replacement on City or Public Property: Design/Builder shall pay for cost of any pavement or sidewalk repairs necessitated by work under this Contract, and any inspection fees required by local authorities.

(W) Manufacturers' Certifications: The Owner may require, and the Design/Builder shall furnish if required to do so, certificates from manufacturers to the effect that the products or materials furnished by them for use in the Work comply with the applicable specified requirements for the materials or products being furnished.

(X) Samples: The Design/Builder shall furnish with reasonable promptness all samples as directed by the Owner for approval for conformance with the design concept of the Project and for compliance with the information stated in the Contract Documents. The Work shall be in accordance with approved samples.

(Y) Maintenance Manual: Design/Builder shall, prior to completion of Contract, deliver to the Owner two copies of a manual, assembled and bound, presenting for the County's guidance full details for care and maintenance of visible surfaces and of equipment included in Contract. Design/Builder shall, for this manual, obtain from Subcontractors literature of manufacturers relating to equipment, including motors; also furnish cuts, wiring diagrams, instruction sheets and other information pertaining to same that will be useful to the County in over-all operation and maintenance. Where the above-described manuals and data are called for under separate sections of the Specifications, they are to be included in the manual described in this Article.

(Z) Definitions of Terms: Wherever used in the Contract Documents, the following terms shall have the meanings indicated which shall be applicable to both the singular and plural thereof:

“**Addenda**” shall mean written or graphic instruments issued prior to the execution of the agreement which modify or interpret the Contract Documents by additions, deletions, clarifications, or corrections.

“**Bid**” or “**Proposal**” shall mean the offer or Proposal of the Design/Builder submitted on the prescribed form setting forth the price(s) for the Work to be performed.

“**Bidder**” or “**Proposer**” shall mean any person, firm, or corporation submitting a Bid or Proposal for the Work.

“**Bonds**” shall mean Bid, Performance, and Payment Bonds and other instruments of security, furnished by the Design/Builder and Design/Builder’s surety in accordance with the Contract Documents.

“**Contract Time**” shall mean the number of calendar days stated in the Contract Documents for the completion of the Work.

“**County**” shall mean DeKalb County, Georgia.

“**Design/Builder**” shall mean one firm to both design and construct the Project.

“**Drawings**” shall mean the part of the Contract Documents which show largely through graphical presentation the characteristics and scope of the Work to be performed and which have been prepared by Design/Builder and approved by the Owner.

“**Field Order**” shall mean a written order effecting a change in the Work not involving an adjustment in the Contract Price or an extension of the Contract Time, issued by the Owner to the Design/Builder during construction.

“**Notice of Award**” shall mean the written notice of the acceptance of the Bid or Proposal from the County to the successful Design/Builder as evidenced by return receipts of registered or certified letters.

“**Notice to Proceed**” shall mean written communication issued by the County to the Design/Builder authorizing Design/Builder to proceed with the Work and establishing the date of commencement of the Work as evidenced by official receipt of certified mail or acknowledgment of personal delivery.

“**Owner**” shall mean DeKalb County, Georgia.

“**Project**” shall mean the undertaking to be performed as provided in the Contract Documents.

“**Shall**” is mandatory; “**may**” is permissive.

“**Shop Drawings**” shall mean all drawings, diagrams, illustrations, brochures, schedules, and other data which are prepared by the Design/Builder, a Subcontractor,

manufacturer, Supplier, or distributor, which illustrate how specific portions of the Work shall be fabricated or installed.

“**Specifications**” shall mean a part of the Contract Documents consisting of written descriptions of a technical nature of materials, equipment, construction systems, standards, and workmanship specified for this Project.

“**Supplementary Conditions**” shall mean a part of the Contract Documents consisting of terms and conditions as may be required by the Owner.

“**Superintendent**” shall mean the Design/Builder’s authorized on-job representative designated in writing by the Design/Builder prior to commencement of any work.

“**Suppliers**” shall mean any person, supplier, or organization who furnishes materials or equipment for the Work, including that fabricated to a special design, but who does not perform labor at the site.

“**Work**” of the Design/Builder or Subcontractor shall include all labor, material, equipment, transportation, skill, tools, machinery and other equipment, and things useful or necessary in order to complete the Contract.

(AA) Specifications:

(1) The Specifications, the Drawings accompanying them, and the other Contract Documents shall be supplementary to each other, and any material, workmanship, and/or service which may be in one, but not called for in the others, shall be as binding as if indicated, called for, or implied by all.

(2) The Design/Builder will be held responsible to furnish all labor and materials necessary to complete the Work as indicated by the Drawings and Specifications.

(3) Unless otherwise stipulated, the Design/Builder shall provide and pay for all materials, labor, water, tools, equipment, light, power, transportation, and other facilities necessary for the execution and completion of the Work. The Design/Builder shall be responsible for entire Work and every part thereof.

(4) Each section or type of work is described separately in the Specifications; however, should any item of material, equipment, work, or combinations of such be required in one section, and not be described in that section and a similar item described in another section, that description shall apply regardless of the section under which it is described.

(5) Any discrepancies found between the Drawings and Specifications and site conditions or any inconsistencies or ambiguities in the Drawings or Specifications shall be immediately reported to the Owner, in writing, who shall promptly correct such inconsistencies or ambiguities in writing. Work done by the Design/Builder after

Design/Builder’s discovery of such discrepancies, inconsistencies, or ambiguities shall be done at the Design/Builder’s risk.

(6) Upon award of the Contract, the Design/Builder shall furnish such Contract Drawings and Specifications as may be required for completion of the Project. Any prints and Specifications in excess of these shall be furnished at cost at the Design/Builder’s expense.

(BB) Drawings and Specifications:

(1) The intent of the Drawings and Specifications is that the Design/Builder shall furnish all labor, materials, tools, equipment, and transportation necessary for the proper execution of the Work in accordance with the Contract Documents and all incidental work necessary to complete the Project in an acceptable manner, ready for use, occupancy, or operation by the County.

(2) In case of conflict between the Drawings and Specifications, the Specifications shall govern. Figure dimensions on Drawings shall govern over scale dimensions, and detailed drawings shall govern over general drawings.

(3) The Owner may (without changing the scope of the Work) furnish the Design/Builder additional instructions and detail drawings, as necessary to carry out the Work required by the Contract Documents. The additional drawings and instructions thus supplied will become a part of the Contract Documents. The Design/Builder shall carry out the Work in accordance with the additional detail drawings and instructions.

(4) Abridging: Attention is directed to the fact that the detailed Specifications and separate sections may be written in short or abridged form. In regard to every section of the Specifications and all parts thereof, mention therein, or indications on the Drawings of articles, materials, operations, or methods requires that the Design/Builder:

- a. Provide each item mentioned and indicated, of quality or subject to qualifications noted.
- b. Perform according to conditions stated, each operation prescribed.
- c. Provide therefor all necessary labor, equipment, and incidentals.

(5) Wording: Whenever in these Specifications or on the Drawings the words “directed,” “required,” “permitted,” “ordered,” or words of like import are used, it shall be understood that the direction, requirement, permission, or order of the County is intended, and similar words, “approved,” “acceptable,” “satisfactory,” or words of like import shall mean approved by, acceptable to, or satisfactory to the County.

(6) Specification Sections: For convenience of reference and to facilitate the letting of contracts and subcontracts, these Specifications are separated into titled sections. Such separation shall not, however, operate to make the County an arbiter to establish

limits to the contracts between the Design/Builder and Subcontractors, nor shall such separation be interpreted as superseding normal union jurisdictions.

(7) Language: Notwithstanding the appearance of such language in the various sections of the Specifications as, “The Paving Contractor,” “The Grading Contractor,” etc., the Design/Builder is responsible to the County for the entire Contract and the execution of all work referred to in the Contract Documents.

(CC) Present Documents Govern: The Design/Builder shall in no case claim a waiver of any specification requirements on the basis of previous approval of material or workmanship on other jobs of like nature or on the basis of what might be considered “standard” for material or workmanship in any particular location. The Contract Documents for this job shall govern the Work.

(DD) Design/Builder’s Shop Drawings:

(1) The approved Drawings will be supplemented by such Shop Drawings as are needed to adequately control the Work. It is mutually agreed that all authorized alterations affecting the requirements and information given on the approved Drawings shall be in writing.

(2) Shop Drawings to be furnished by the Design/Builder for any structure shall consist of such detailed drawings as may be required for the prosecution of the Work.

(3) Shop Drawings must be approved by the Owner before the work in question is performed. Drawings for false work, centering, and form work may also be required, and in such cases shall be likewise subjected to approval unless approval be waived. It is expressly understood, however, that approval of the Design/Builder’s Shop Drawings does not relieve the Design/Builder of any responsibility for accuracy of dimensions and details. It is mutually agreed that the Design/Builder shall be responsible for agreement and conformity of Design/Builder’s Shop Drawings with the approved Drawings and Specifications.

(4) It is the responsibility of the Design/Builder to check all Shop Drawings before same are submitted to the Owner for approval. Shop Drawings which have not been checked and approved by the Design/Builder will not be approved.

(5) Shop Drawings shall be submitted only by the Design/Builder who shall indicate by a signed stamp on the drawings that Design/Builder has checked the Shop Drawings and that the work shown on them is in accordance with Contract requirements and has been checked for dimensions and relationship with work of all other trades involved. Under no conditions shall Shop Drawings be accepted from anyone other than the Design/Builder.

(6) The Design/Builder shall furnish the Owner with at least six copies of all Shop Drawings for approval. Two finally approved copies will be returned to the Design/Builder for Design/Builder’s use.

(7) The Contract Price shall include the cost of furnishing all Shop Drawings and the Design/Builder will be allowed no extra compensation for such drawings.

(8) The approval of such Shop Drawings shall not relieve the Design/Builder from responsibility for deviations from Drawings or the Specifications unless Design/Builder has in writing called attention to such deviations, and the Owner has approved the changes or deviations in writing at the time of submission, nor shall it relieve Design/Builder from the responsibility for errors of any kind in Shop Drawings. When the Design/Builder does call such deviations to the attention of the Owner, Design/Builder shall state in Design/Builder's letter whether or not such deviations involve any extra cost. If this is not mentioned, it will be assumed that no extra cost is involved for making the change.

(EE) Instructions, Changes, Etc.:

(1) All changes, alterations, or instructions in regard to any feature of the Work that differ from the Drawings and Specifications must be approved in writing by Change Order in all cases, and no verbal orders will be regarded as a basis for claims for extra work.

(2) If the Design/Builder claims that any instruction by Drawings or otherwise involves extra cost or an extension of time, Design/Builder shall notify the Owner in writing within ten (10) days after the receipt of such instructions and in any event before proceeding to execute the Work. Thereafter, the procedure shall be the same as that described for changes in the Work. No such claim shall be valid unless made in accordance with the terms of this section.

(3) No claims for extra cost will be considered based on an escalation of material prices throughout the period of the Contract.

(4) No extra work is to be performed or any changes made that involves any extra cost or extension of time unless approved by the Owner and authorized by Change Order.

(FF) Requests for Substitutions: Requests for substitutions of proprietary products or of a particular manufacturer or vendor must be accompanied by documentary proof of equality, and difference in price and deliveries, if any, in form of certified quotations from Suppliers of both specified and proposed equipment. The item proposed for substitution shall be equal to or superior to the specified item or items, in construction, efficiency, and utility in the opinion of the Owner. The opinion of the Owner shall be final and no substitute material or article shall be purchased or installed without such written approval.

In case of a difference in price, the County shall receive all benefits of the difference in cost involved in any substitution, when lower, and the Contract altered by Change Order to credit the County with any savings to be obtained. However, the County shall not be charged for any additional cost in case of a price difference.

(GG) Authority of the Design/Builder:

(1) The Design/Builder shall perform all of the Work herein specified under the general direction, and to the entire satisfaction, approval, and acceptance of the Owner. The Owner shall decide all questions relating to measurements of quantities, the character of the Work performed, and as to whether the rate of progress is such that the Work will be completed within the time limit of the Contract. All questions as to the meaning of these Specifications will be decided by the Owner.

(2) The approval of the Owner of any materials, plants, equipment, Drawings, or of any other items executed, or proposed by the Design/Builder, shall be construed only to constitute an approval of general design. Such approval shall not relieve the Design/Builder from the performance of the Work in accordance with the Contract Documents, or from any duty, obligations, performance guarantee, or other liability imposed upon Design/Builder by the provisions of the Contract.

(HH) Rejections of Work and Materials:

(1) All materials and equipment furnished and all work done that is not in accordance with the Drawings or Specifications or that is defective will be rejected. All rejected materials, equipment, or work shall be removed immediately. If rejected materials, equipment, or work is not removed within forty-eight hours from the date of letter of notification, the Owner shall have the right and authority to stop the Design/Builder and Design/Builder's work immediately, and/or shall have the right to arrange for the removal of said rejected materials, equipment, or work at the cost and expense of the Design/Builder. All rejected materials, equipment, or work shall be replaced with other material, equipment, or work which conforms with the Drawings and Specifications at no additional cost to the County.

(2) Inspection of the Work shall not relieve the Design/Builder of any of Design/Builder's obligations to fulfill Design/Builder's Contract and defective work shall be made good regardless of whether such work, material, or equipment has been previously inspected by the Owner and accepted or estimated for payment. The failure of the Owner to condemn improper materials or workmanship shall not be considered as a waiver of any defect which may be discovered later, or for work actually defective. All work, material, and/or equipment shall be guaranteed against defects for a period of one year from date of Project acceptance as established by the County. The warranty requirement set forth herein shall be in addition to any and all other warranty requirements set forth in the Contract Documents.

(II) Lines, Grades, and Measurements:

(1) Such stakes and markings as the Owner may set for either its or the Design/Builder's guidance shall be preserved by the Design/Builder. Failure to protect such stakes or markings, or gross negligence on the Design/Builder's part resulting in loss of same, may result in the Design/Builder being charged for their replacement.

(2) The Design/Builder must exercise proper care and caution to verify the grades and figures given Design/Builder before proceeding with the Work, and shall be

responsible for any damage or defective work caused by Design/Builder's failure of such care and caution. Design/Builder shall promptly notify the Owner of any errors or discrepancies Design/Builder may discover in order that the proper corrections may be made.

(JJ) Land and Rights-of-Way:

(1) Prior to entering on any land or right-of-way, the Design/Builder shall ascertain the requirements of applicable permits or easements obtained by the County, and shall conduct Design/Builder's work in accordance with requirements thereof including the giving of notice. The Design/Builder shall be fully responsible for performing work to the requirements of any permit or easement granting entity even though such requirements may exceed or be more stringent than that otherwise required by the Contract Documents, and shall compensate the County fully for any loss or expense arising from failure of the Design/Builder to perform as required by such entity.

(2) The Design/Builder shall provide at Design/Builder's own expense and without liability to the County any additional land and access thereto that the Design/Builder may desire for temporary construction facilities, or for storage of materials.

(KK) Prior Use by County: Prior to completion of the Work, the County may take over operation and/or use of the uncompleted Project or portions thereof. Such prior use of facilities by the County shall not be deemed as acceptance of any work or relieve the Design/Builder from any of the requirements of the Contract Documents.

(LL) Barricades:

(1) Lanterns: Design/Builder shall provide continuously burning lanterns at all barricades and at protective barriers around excavations so that the public is adequately warned of such hazards. Lanterns shall remain lighted from sundown to sunrise and at all other times when the labor forces are not on the job site.

(2) Access to Site: Delivery of construction materials and equipment shall be only from locations approved by the County.

(MM) Schedules, Reports, and Records:

(1) The Design/Builder shall submit to the Owner such schedule of quantities and costs, progress schedules, payrolls, reports, estimates, records, and other data as the Owner may request concerning work performed or to be performed.

(2) Prior to the first partial payment estimate, the Design/Builder shall submit schedules showing the order in which Design/Builder proposes to carry on the Work, including dates at which Design/Builder will start the various parts of the Work, estimated date of completion of each part; and, as applicable, the dates at which special detail drawings will be required, and respective dates for submission of Shop Drawings, the beginning of manufacture, the testing and the installation of materials, supplies and equipment.

(3) The Design/Builder shall also submit a schedule of payments that Design/Builder anticipates Design/Builder will earn during the course of the Work.

(NN) Supplementary Conditions:

(1) Scope: Furnish, erect, and maintain temporary facilities and perform temporary work required in the performance of this Contract, including those shown and specified.

(2) Use of Temporary Facilities: Design/Builder may be required by the County to provide and maintain a suitable office on the site for Design/Builder's own use and for the use of representatives of the County.

(3) Maintenance and Removal:

a. Utilities and Furnishings: Design/Builder shall furnish sufficient heat, artificial light, ventilation and janitor's service, and shall also provide a table or desk, plan rack and chairs, all for the use of those visiting the job, in addition to such furnishings as Design/Builder provides for Design/Builder's own use.

b. Location and Removal: Temporary offices and other structures shall be located where approved by the County, and shall be removed from the premises upon completion of the Contract or earlier if so directed by the County. They shall remain the property of the Design/Builder.

(4) Field Offices:

a. Design/Builder and Design/Builder's Subcontractors shall provide such additional offices, storage shanties, tool sheds and other temporary buildings as required for their own use and those employed on the Work.

(5) Toilet and Washing Facilities:

a. Toilet Building: Design/Builder may be required by the County, at the beginning of work, to provide on premises suitable and adequate temporary toilets and enclosure for use of workers on the job; maintain same in sanitary condition; remove same at completion of building operations and/or when directed.

b. Sanitary Regulations: Do not allow any sanitary nuisances to be committed in or about work; enforce sanitary regulations of local and State Health Authorities.

(6) Utilities During Construction:

a. Utilities: Design/Builder shall furnish all utilities and pay for all utility bills used during construction. Utilities shall include electric power or fuel of any sort used for heating, etc., and water.

(7) Connections to Utilities:

a. Design/Builder shall provide all temporary connections to utilities when not provided by the utility company or others.

(8) Telephone:

a. Design/Builder shall, if required by the County, install and maintain at Design/Builder's own expense, a job telephone for duration of the Contract.

(9) Temporary Heat:

a. The Design/Builder shall provide at Design/Builder's own expense temporary heat as necessary to protect all work and materials against injury from dampness and cold. Fuel, equipment and method of heating shall not present a fire hazard and shall be satisfactory to the County. See requirements in detail Specifications for temperatures to be maintained for application of work under the various trades.

(10) Interruption of Facility Operations:

a. The Design/Builder shall schedule the Work such that the Design/Builder minimizes interruptions to the operation of any existing facility, including water mains and sewers. Shut downs must be scheduled with the County and mitigation steps taken to prevent upsets or impacts to plant compliance.

b. The Design/Builder shall conduct operations in a manner and sequence which will provide for the continued transportation of wastewater flows during construction of this Project. The Design/Builder shall take all actions required to prevent discharge of sewer flow from the system to the ground or stream. Any construction actions that impede or interrupt flow shall be carefully executed and monitored to prevent surcharging and overflow.

c. Any damages resulting from surcharging, overflow or back-up caused by the Contractor's operations shall be the Contractor's responsibility. Fines charged the County for overflows caused by the Design/Builder shall be paid for by the Contractor.

(11) DeKalb County Work Hours: Except in connection with the safety or protection of persons or the Work or property at the site or adjacent thereto, and except as otherwise indicated in the Contract Documents, all Work at the site shall be performed during DeKalb County Construction Activities work hours (DeKalb County Code of Ordinances Chapter 16, Article VII, Division 2, Section 16-306 (d)). DeKalb County work hours are generally Monday through Friday from 7:00 a.m. through 7:00 p.m. DeKalb County observes the following holidays; **New Year's Day, Martin Luther King, Jr. Day, Presidents Day, Memorial Day, Juneteenth, Independence Day, Labor Day, Veterans Day, Thanksgiving Day, day after Thanksgiving, and Christmas.** The Design/Builder will not perform Work outside of DeKalb County work hours without the County's written

consent given after prior written notice to the Construction Manager, which shall be submitted at least seven (7) days in advance.

(OO) Notice to Design/Builder and Subcontractors: The Georgia Legislature has enacted a new Code provision, designated O.C.G.A. § 13- 10-80, governing progress payments and retainage for public works contracts. It is applicable to contracts which, when awarded exceed \$150,000.00 in value or forty-five (45) days in duration, and establishes mandatory guidelines by which payments received from DeKalb County in this contract must be passed through the subcontractors. For your information, its provisions are set out below:

13-10-80. Periodic Progress Payments; Retainage; Exceptions; Minimal Standard of this Code Section.

(1) As used in this Code section, the term:

a. “Contractor” means a person having a direct contract with the Owner.

b. “Lower tier subcontractor” means a person other than the Design/Builder having a direct contract with a subcontractor.

c. “Owner” means the state, any county, municipal corporation, authority, board of education, or other public board, public body, department, agency, instrumentality, or political subdivision of the state.

d. “Owner’s authorized contract representative” means the architect or engineer in charge of the Project for the owner or such other contract representative or officer as designated in the contract documents as the party representing the Owner’s interest regarding administration and oversight of the Project.

e. “Subcontractor” means a person other than an Owner having a direct contract with the contractor.

(2) In any contract for the performance of any construction Project entered into on or after July 1, 1985, with an owner, as defined in paragraph (3) of subsection (a) of this Code section, such contract shall provide for the following:

a. After work has commenced at the construction site, progress payments to be made on some periodic basis, and at least monthly, based on the value of work completed as may be provided in the contract documents plus the value of materials and equipment suitably stored, insured, and protected at the construction site, and at the owner’s discretion such materials and equipment suitably stored, insured, and protected off site at a location approved by the owner’s authorized contract representative when allowed by the contract documents, less retainage; and

b. Retainage to a maximum of ten (10%) percent of each progress payment; provided, however, that, when fifty (50%) percent of the contract value

including change orders and other additions to the contract value provided for by the contract documents is due and the manner of completion of the contract work and its progress are reasonably satisfactory to the Owner's authorized contract representative, the Owner shall withhold no more retainage. At the discretion of the Owner and with the approval of the Design/Builder, the retainage of each subcontractor may be released separately as the subcontractor completes his work.

(3) If, after discontinuing the retention, the Owner's authorized contract representative determines that the work is unsatisfactory or has fallen behind schedule, retention may be resumed at the previous level. If retention is resumed by an owner, the Design/Builder and subcontractors shall be entitled to resume withholding retainage accordingly.

(4) At substantial completion of the work or such other standard of completion as may be provided in the contract documents and as the Owner's authorized contract representative determines the work to be reasonably satisfactory, the owner shall within thirty (30) days after invoice and other appropriate documentation as may be required by the contract documents are provided pay the retainage to the Design/Builder. If at that time there are any remaining incomplete minor items, an amount equal to two hundred (200%) percent of the value of each item as determined by the Owner's authorized contract representative shall be withheld until such item or items are completed. The reduced retainage shall be shared by the Design/Builder and subcontractors as their interests may appear. The Design/Builder shall, within ten (10) days from the Design/Builder's receipt of retainage from the Owner, pass through payments to subcontractors and shall reduce each subcontractor's retainage in the same manner as the Design/Builder's retainage is reduced by the Owner, provided that the value of each subcontractor's work complete and in place equals fifty (50%) percent of his subcontract value, including approved change orders and other additions to the subcontract value and provided, further, that the work of the subcontractor is proceeding satisfactorily and the subcontractor has provided or provides such satisfactory reasonable assurances of continued performance and financial responsibility to complete his work including any warranty work as the Design/Builder in his reasonable discretion may require, including, but not limited to, a payment and performance bond.

(5) The subcontractor shall, within ten (10) days from the subcontractor's receipt of retainage from the Design/Builder, pass through payments to lower tier subcontractors and shall reduce each lower tier subcontractor's retainage in the same manner as the subcontractor's retainage is reduced by the Design/Builder, provided that the value of each lower tier subcontractor's work complete and in place equals fifty (50%) percent of his subcontract value, including approved change orders and other additions to the subcontract value and provided, further, that the work of the lower tier subcontractor is proceeding satisfactorily and the lower tier subcontractor has provided or provides such satisfactory reasonable assurances of continued performance and financial responsibility to complete his work including any warranty work as the subcontractor in his reasonable discretion may require, including, but not limited to, a payment and performance bond.

(6) This Code section shall not apply to:

a. Any contracts let by the Department of Transportation of this State for the construction, improvement, or maintenance of roads or highways in this State or purposes incidental thereto; or

b. Any contracts whose value or duration at the time of the award does not exceed \$150,000.00 or forty-five (45) days in duration.

(7) Contract and subcontract provisions inconsistent with the benefits extended to Design/Builders, subcontractors, and lower tier subcontractors by this Code section shall be unenforceable; provided, however, that nothing in this Code section shall render unenforceable any contracts or subcontract provisions allowing greater benefits to be extended to such Design/Builders, subcontractors, or lower tier subcontractors, the provisions and benefits of this Code section being minimal only. Nothing shall preclude a payor under this Code section, prior to making a payment, from requiring the payee to submit satisfactory evidence that all payrolls, material bills, and other indebtedness connected with the work have been paid. (Code 1981, ‘13-10-2, enacted by Ga. L. 1985, p. 1043, ‘1.)

(PP) Georgia Open Records Act: Without regard to any designation made by the person or entity entering this Agreement, DeKalb County considers all information submitted in response to the Agreement to be a public record that will be disclosed upon request pursuant to the Georgia Open Records Act O.C.G.A. § 50-18-70 et seq., after contacting the person or entity making the submission, unless a court order is presented with the Agreement.

(QQ) First Source Jobs Ordinance: The DeKalb County First Source Jobs Ordinance, attached as an Exhibit and incorporated into this Agreement, requires contractors or beneficiaries entering into any type of agreement with the County, including purchase orders, regardless of what they may be called, for the procurement or disposal of supplies, services, construction Projects, professional or consultant services, which is funded in whole or part with County funds or County administered funds in which the contractor is to receive \$50,000 or more in County expenditures or committed expenditures and recipient of urban redevelopment action grants or community development block funds administered in the amount of \$50,000 or more make a good faith effort to hire DeKalb County residents for at least 50% of jobs using the First Source Registry (candidate database). The work to be performed under this contract is subject to the provisions of the DeKalb County First Source Jobs Ordinance. For more information on this Ordinance requirement, please contact DeKalb Workforce Development at 404.687.3400.

(RR) Contractor and Subcontractor Evidence of Compliance:

(1) County contracts for the physical performance of services within the state of Georgia shall include the following provisions in accordance with O.C.G.A. § 13-10-91, as amended:

a. the contractor has registered with and is authorized to use the federal work authorization program to verify information on all newly hired employees or subcontractors;

- b. by affidavit, the contractor must attest to the contractor's name, address, user identification number, date of authorization, and verification of the continual participation throughout the contract period, and
- c. the affidavit shall become a part of the covered contract and must be attached.

(2) No contractor or subcontractor who enters into a contract with the County or a contractor of the County shall enter into such a contract or subcontract in connection with the physical performance of services within Georgia unless the contractor or subcontractor registers and participates in the federal work authorization program to verify information of all newly hired employees. Any employee, contractor, or subcontractor of such contractor or subcontractor shall also be required to satisfy the requirements of O.C.G.A. § 13-10-91, as amended.

(3) Upon contracting with a new subcontractor, a contractor or subcontractor shall, as a condition of any contract or subcontract entered into pursuant to O.C.G.A. § 13-10-91, as amended, agree to provide the County with notice of the identity of any and all subsequent subcontractors hired or contracted by the contractor or subcontractor. Such notice shall be provided within five (5) business days of entering into a contract or agreement for hire with any subcontractor. Such notice shall include an affidavit from each subsequent contractor attesting to the subcontractor's name, address, user identification number, and date of authorization to use the federal work authorization program.

(4) An affidavit shall be considered an open public record; provided, however, that any information protected from public disclosure by federal law or by Article 4 of Chapter 18 of Title 50 shall be redacted. Affidavits shall be maintained by the County for five years from the date of receipt.

(5) To verify compliance, the contractor agrees to participate in random audits conducted by the Commissioner of the Georgia Department of Labor. The results of the audits shall be published on the www.open.georgia.gov website, and on the Department of Labor's website no later than December 31 of each year.

(6) Any person who knowingly and willfully makes a false, fictitious, or fraudulent statement in an affidavit submitted pursuant to O.C.G.A. § 13-10-91 shall be guilty of a violation of Code § 16-10-20 and, upon conviction, shall be punished as provided in such section. Contractors and subcontractors convicted for false statements based on a violation of such section shall be prohibited from bidding on or entering into any public contract for twelve (12) months following such conviction.

[SIGNATURES CONTINUED ON NEXT PAGE]

IN WITNESS WHEREOF, the parties hereto have caused this Agreement to be executed in by their authorized representatives, as of this _____ day of _____, 20__ (hereinafter called the “execution date”)

PROPOSER’S NAME

DEKALB COUNTY, GEORGIA

By: _____
CORPORATE (SEAL)
Signature

By: _____ by Dir. (SEAL)
MICHAEL L. THURMOND
Chief Executive Officer
DeKalb County, Georgia

Federal Tax I.D. Number

ATTEST:

ATTEST:

Signature

BARBARA H. SANDERS, CCC
Clerk of the Chief Executive Officer and
Board of Commissioners of
DeKalb County, Georgia

APPROVED AS TO SUBSTANCE:

David E. Hayes, Interim Director
Department of Watershed Management

APPROVED AS TO FORM:

Assistant County Attorney
DeKalb County, Georgia Law Department

ATTACHMENTS

This Contract includes the following Attachments all of which are incorporated herein by reference:

Attachment A, Scope of Work

Attachment B, Cost Proposal Form

Attachment C, Performance Bond and Accompanying Power of Attorney

Attachment D, Payment Bond and Accompanying Power of Attorney

Attachment E, Responder Affidavit of Compliance with O.C.G.A. § 13-10-91

Attachment F, Sub-Contractor Affidavit of Compliance with O.C.G.A. § 13-10-91

Attachment G, Sub-Subcontractor Affidavit of Compliance

Attachment H, Certificate of Corporate Authority or Joint Venture Certificate

Attachment I, Business and Professional Licenses and Certifications

Attachment J, Certificate of Insurance, Declaration and Endorsements

Attachment K, Executive Order No. 2014-4 New Ethics Policy

Attachment L, Department of Watershed's Badging Policies & Procedures

Attachment M, General Liability "OCIP" Manual

Appendix I, County's RFP

Appendix II, Contractor's Response

In addition to the foregoing, the Proposal Document Package dated October 2, 2023, the original of which is maintained in the County's Department of Purchasing and Contracting, forms an essential part of this Contract as if fully set out herein.

ATTACHMENT A

SCOPE OF WORK

SAMPLE

ATTACHMENT B
COST PROPOSAL FORM

SAMPLE

ATTACHMENT C

PERFORMANCE BOND AND ACCOMPANYING POWER OF ATTORNEY

SAMPLE

**ATTACHMENT C
(consisting of 3 pages)**

PERFORMANCE BOND

KNOW ALL MEN BY THESE PRESENTS that _____ [Insert name of contractor] (hereinafter called the “Principal”) and _____ [Insert name of surety] (hereinafter called the “Surety”), are held and firmly bound unto _____ County (hereinafter called the “County”) and their successors and assigns, in the penal sum of _____ Dollars (\$ _____), lawful money of the United States of America, for the payment of which the Principal and the Surety bind themselves, their administrators, executors, successors, and assigns, jointly and severally, firmly by these presents.

WHEREAS, the Principal has entered, or is about to enter, into a certain written contract with the County, awarded by the DeKalb County Governing Authority on _____, which is incorporated herein by reference in its entirety (hereinafter called the “Contract”), for the **Design/Build Services For Snapfinger Advanced Wastewater Treatment Facility Expansion – Phase 3b Project (UV Disinfection System)** more particularly described in the Contract (hereinafter called the “Project”); and

NOW, THEREFORE, the conditions of this obligation are as follows, that if the Principal shall fully and completely perform all the undertakings, covenants, terms, conditions, warranties, and guarantees contained in the Contract, including all change orders, modifications, amendments, changes, deletions, additions, and alterations thereto that may hereafter be made, then this obligation shall be void; otherwise, it shall remain in full force and effect.

Whenever the Principal shall be, and declared by the County to be, in default under the Contract, the Surety shall promptly remedy the default as follows:

1. Complete the Contract in accordance with the terms and conditions; or
2. Obtain a Bid or Bids for completing the Contract in accordance with its terms and conditions, and upon determination by the Surety and the County of the lowest responsible bidder, arrange for a contract between such bidder and County and make available as the work progresses (even though there should be a default or succession of defaults under the Contract or contracts of completion arranged under this paragraph) sufficient funds to pay the cost of completion less the balance of the contract price; but not exceeding, including other costs and damages for which the Surety may be liable hereunder, the penal sum set forth in the first paragraph hereof, as may be adjusted, and the Surety shall make available and pay to the County the funds required by this Paragraph prior to the payment of the County of the balance of the contract price, or any portion thereof. The term “balance of the contract price,” as used in this paragraph, shall mean the total amount payable by the County to the Contractor under the Contract, and any amendments thereto, less the amount paid by the County to the Contractor; or, at the option of the County; or

3. Allow County to complete the work and reimburse the County for all reasonable costs incurred in completing the work.

In addition to performing as required in the above paragraphs, the Surety shall indemnify and hold harmless the County from any and all losses, liability and damages, claims, judgments, liens, costs, and fees of every description, including reasonable attorney's fees, litigation costs and expert witness fees, which the County may incur, sustain, or suffer by reason of the failure or default on the part of the Principal in the performance of any or all of the terms, provisions, and requirements of the Contract, including any and all amendments and modifications thereto, or incurred by the County in making good any such failure to performance on the part of the Principal.

The Surety shall commence performance of its obligations and undertakings under this Bond promptly and without delay, after written notice from the County to the Surety.

The Surety hereby waives notice of any and all modifications, omissions, additions, changes, alterations, extensions of time, changes in payment terms, and any other amendments in or about the Contract, and agrees that the obligations undertaken by this bond shall not be impaired in any manner by reason of any such modifications, omissions, additions, changes, alterations, extensions of time, change in payment terms, and amendments.

The Surety hereby agrees that this Bond shall be deemed amended automatically and immediately, without formal or separate amendments hereto, upon any amendment to the Contract, so as to bind the Principal and Surety to the full and faithful performance of the Contract as so amended or modified, and so as to increase the penal sum to the adjusted Contract Price of the Contract.

The Surety's obligations under this bond include all of the Contractor's duties under the Contract, including without limitation its maintenance and guarantee obligations.

No right of action shall accrue on this bond to or for the use of any person, entity, or corporation other than the County and any other obligee named herein, or their executors, administrators, successors or assigns.

This Bond is intended to comply with O.C.G.A. §36-91-70, and shall be interpreted so as to comply with the minimum requirements thereof. However, in the event the express language of this Bond extends protection to the County beyond that contemplated by O.C.G.A. §36-91-70, or any other statutory law applicable to this Project, then the additional protection shall be enforced in favor of the County, whether or not such protection is found in the applicable statutes.

If any one or more of the provisions of this Bond are determined to be illegal or unenforceable by a court of competent jurisdiction, all other provisions shall remain effective.

IN WITNESS WHEREOF the undersigned have caused this instrument to be executed and their respective corporate seals to be affixed and attested by their duly authorized representatives this ____ day of _____, 20__.

PRINCIPAL

By: _____ (SEAL)
Signature of Principal

Print Name and Title of Authorized Signer

Print Name of Principal Business

ATTEST:

Corporate Secretary

SURETY

By: _____ (SEAL)
Signature of Surety (by Power of Attorney)

Print Name and Title of Authorized Signer

Print Name of Surety Business

WITNESS:

[Attach Original Power of Attorney]

ATTACHMENT D

PAYMENT BOND AND ACCOMPANYING POWER OF ATTORNEY

SAMPLE

**ATTACHMENT D
(consisting of 2 pages)**

PAYMENT BOND

KNOW ALL MEN BY THESE PRESENTS that _____ [*Insert name of contractor*] (hereinafter called the “Principal”) and _____ [*Insert name of surety*] (hereinafter called the “Surety”), are held and firmly bound unto _____ County, (hereinafter called the “County”), its successors and assigns as obligee, in the penal sum of [*Insert contract amount*], lawful money of the United States of America, for the payment of which the Principal and the Surety bind themselves, their administrators, executors, successors, and assigns, jointly and severally, firmly by these presents.

WHEREAS, the Principal has entered, or is about to enter, into a certain written contract with the County, awarded by the DeKalb County Governing Authority on _____ [*insert date of award*] which is incorporated herein by reference in its entirety (hereinafter called the “Contract”), for the construction of a Project known as RFP No. 23-500650 DBS Snapfinger AWTF Expansion – Phase 3B Project (UV Disinfection System), as more particularly described in the Contract (hereinafter called the “Project”);

NOW, THEREFORE, the condition of this obligation is such that if the Principal shall promptly make payment to all persons working on or supplying labor or materials under the Contract, and any amendments thereto, with regard to labor or materials furnished and used in the Project, and with regard to labor or materials furnished but not so used, then this obligation shall be void; but otherwise it shall remain in full force and effect.

1. A “Claimant” shall be defined herein as any Subcontractor, person, party, partnership, corporation or other entity furnishing labor, services, or materials used, or reasonably required for use, in the performance of the Contract, without regard to whether such labor, services, or materials were sold, leased, or rented, and without regard to whether such Claimant is or is not in privity of contract with the Principal or any Subcontractor performing work on the Project, including, but not limited to, the following labor, services, or materials: water, gas, power, light, heat, oil, gasoline, telephone service, or rental of equipment directly applicable to the Contract.
2. In the event a Claimant files a claim against the County, or the property of the County, and the Principal fails or refuses to satisfy or discharge it promptly, the Surety shall satisfy or discharge the claim promptly upon written notice from the County, either by bond or as otherwise provided in the Contract.
3. The Surety hereby waives notice of any and all modifications, omissions, additions, changes, alterations, extensions of time, changes in payment terms, and any other amendments in or about the Contract and agrees that the obligations undertaken by this bond shall not be impaired in any manner by reason of any such modifications, omissions, additions, changes, alternations, extensions of time, changes in payment terms, and amendments.

4. The Surety hereby agrees that this Bond shall be deemed amended automatically and immediately, without formal or separate amendments hereto, upon any amendment or modification to the Contract, so as to bind the Principal and Surety, jointly and severally, to the full payment of any Claimant under the Contract, as amended or modified, provided only that the Surety shall not be liable for more than the penal sum of the Bond, as specified in the first paragraph hereof.
5. This Bond is made for the use and benefit of all persons, firms, and corporations who or which may furnish any materials or perform any labor for or on account of the construction to be performed or supplied under the Contract, and any amendments thereto, and they and each of them may sue hereon.
6. No action may be maintained on this Bond after one (1) year from the date the last services, labor, or materials were provided under the Contract by the Claimant prosecuting said action.
7. This Bond is intended to comply with O.C.G.A. §36-91-90, and shall be interpreted so as to comply with the minimum requirements thereof. However, in the event the express language of this Bond extends protection to the County beyond that contemplated by O.C.G.A. §36-91-90, or any other statutory law applicable to this Project, then the additional protection shall be enforced in favor of the County, whether or not such protection is found in the applicable statutes.

IN WITNESS WHEREOF, the Principal and Surety have hereunto affixed their corporate seals and caused this obligation to be signed by their duly authorized representatives this ____ day of _____, 20__.

PRINCIPAL

By: _____ (SEAL)
Signature of Principal

Print Name and Title of Authorized Signer

Print Name of Principal Business

ATTEST:

Corporate Secretary

SURETY

By: _____ (SEAL)
Signature of Surety (by Power of Attorney)

Print Name and Title of Authorized Signer

Print Name of Surety Business

WITNESS:

[Attach Original Power of Attorney]

SAMPLE

ATTACHMENT E

RESPONDER AFFIDAVIT OF COMPLIANCE WITH O.C.G.A. § 13-10-91

SAMPLE

ATTACHMENT E

RESPONDER AFFIDAVIT OF COMPLIANCE WITH O.C.G.A. § 13-10-91

By executing this affidavit, the undersigned Contractor verifies its compliance with O.C.G.A. §13-10-91, stating affirmatively that the individual, firm or corporation which is engaged in the physical performance of services on behalf of DEKALB COUNTY has registered with, is authorized to use and uses the federal work authorization program commonly known as E-Verify, or any subsequent replacement program, in accordance with the applicable provisions and deadlines established in O.C.G.A. §13-10-91. Furthermore, the undersigned Contractor will continue to use the federal work authorization program throughout the Contract Term and the undersigned Contractor will contract for the physical performance of services in satisfaction of such contract only with Subcontractors who present an affidavit to the Contractor with the information required by O.C.G.A. §13-10-91. Contractor hereby attests that its federal work authorization user identification number and date of authorization are as follows:

Federal Work Authorization User Identification Number

Date of Authorization

Name of Contractor

Design/Build Services For Snapfinger Advanced Wastewater Treatment Facility Expansion – Phase 3B Project (UV Disinfection System)

Name of Project

Name of Public Employer

I hereby declare under penalty of perjury that the foregoing is true and correct.

Executed on _____, 20__ in _____(city), _____(state).

By: _____
Signature of Authorized Officer or Agent

Printed Name and Title of Authorized Officer or Agent

Subscribed and Sworn before me on this the _____ day of _____, 20 ____.

NOTARY PUBLIC

My Commission Expires: _____

ATTACHMENT F

SUB-CONTRACTOR AFFIDAVIT OF COMPLIANCE WITH O.C.G.A. § 13-10-91

SAMPLE

ATTACHMENT F

SUB-CONTRACTOR AFFIDAVIT OF COMPLIANCE WITH O.C.G.A. § 13-10-91

By executing this affidavit, the undersigned Subcontractor verifies its compliance with O.C.G.A. § 13-10-91, stating affirmatively that the individual, firm or corporation which is engaged in the physical performance of services under a contract with _____ (insert name of Contractor) on behalf of DEKALB COUNTY, GEORGIA has registered with, is authorized to use and uses the federal work authorization program commonly known as E-Verify, or any subsequent replacement program, in accordance with the applicable provisions and deadlines established in O.C.G.A. § 13-10-91. Furthermore, the undersigned Subcontractor will continue to use the federal work authorization program throughout the contract period and the undersigned subcontractor will contract for the physical performance of services in satisfaction of such contract only with sub-subcontractors who present an affidavit to the Subcontractor with the information required by O.C.G.A. § 13-10-91. Additionally, the undersigned Subcontractor will forward notice of the receipt of an affidavit from a sub-subcontractor to the Contractor within five business days of receipt. If the undersigned Subcontractor receives notice that a sub-subcontractor has received an affidavit from any other contracted sub-subcontractor, the undersigned Subcontractor must forward, within five business days of receipt, a copy of the notice to the Contractor. Subcontractor hereby attests that its federal work authorization user identification number and date of authorization are as follows:

Federal Work Authorization User Identification Number

Date of Authorization

Name of Subcontractor

Design/Build Services For Snapfinger Advanced Wastewater Treatment Facility Expansion – Phase 3B Project (UV Disinfection System)

Name of Project

Name of Public Employer

I hereby declare under penalty of perjury that the foregoing is true and correct.

Executed on _____, 20__ in _____(city), _____(state).

By: _____
Signature of Authorized Officer or Agent

Printed Name and Title of Authorized Officer or Agent

Subscribed and Sworn before me on this the _____ day of _____, 20 ____.

NOTARY PUBLIC

My Commission Expires: _____

SAMPLE

ATTACHMENT G

SUB-CONTRACTOR AFFIDAVIT OF COMPLIANCE WITH O.C.G.A. § 13-10-91

SAMPLE

ATTACHMENT G

SUB-SUBCONTRACTOR AFFIDAVIT OF COMPLIANCE WITH O.C.G.A. § 13-10-91

By executing this affidavit, the undersigned sub-subcontractor verifies its compliance with O.C.G.A. § 13-10-91, stating affirmatively that the individual, firm or corporation which is engaged in the physical performance of services under a contract for _____ (name of subcontractor or sub-subcontractor with whom such sub-subcontractor has privity of contract) and _____ (name of Contractor) on behalf of DEKALB COUNTY, GEORGIA has registered with, is authorized to use and uses the federal work authorization program commonly known as E-Verify, or any subsequent replacement program, in accordance with the applicable provisions and deadlines established in O.C.G.A. § 13-10-91. Furthermore, the undersigned sub-subcontractor will continue to use the federal work authorization program throughout the contract period and the undersigned sub-subcontractor will contract for the physical performance of services in satisfaction of such contract only with sub-subcontractors who present an affidavit to the sub-subcontractor with the information required by O.C.G.A. § 13-10-91(b). The undersigned sub-subcontractor shall submit, at the time of such contract, this affidavit to _____ (name of Subcontractor or sub-subcontractor with whom such sub-subcontractor has privity of contract). Additionally, the undersigned sub-subcontractor will forward notice of the receipt of any affidavit from a sub-subcontractor to _____ (name of Subcontractor or sub-subcontractor with whom such sub-subcontractor has privity of contract). Sub-subcontractor hereby attests that its federal work authorization user identification number and date of authorization are as follows:

Federal Work Authorization User Identification Number

Date of Authorization

Name of Sub-subcontractor

Design/Build Services For Snapfinger Advanced Wastewater Treatment Facility Expansion – Phase 3B Project (UV Disinfection System)

Name of Project

Name of Public Employer

I hereby declare under penalty of perjury that the foregoing is true and correct.

Executed on _____, 20__ in _____ (city), _____ (state).

By: _____
Signature of Authorized Officer or Agent

Printed Name and Title of Authorized Officer or Agent

Subscribed and Sworn before me on this the _____ day of _____, 20 ____

NOTARY PUBLIC

My Commission Expires: _____

SAMPLE

ATTACHMENT H

CERTIFICATE OF CORPORATE AUTHORITY OR JOINT VENTURE CERTIFICATE

SAMPLE

ATTACHMENT H

DEKALB COUNTY, GEORGIA
CERTIFICATE OF CORPORATE AUTHORITY
(Choose Applicable Certificate)

I, _____, certify that I am Secretary of the corporation named as Contractor herein, same being organized and incorporated to do business under the laws of the State of _____; that _____, who executed this Contract on behalf of the Contractor was, then and there, _____; and that said Contract was duly signed by said officer for and in behalf of said corporation, pursuant to the authority of its governing body and within the scope of its corporate powers.

I further certify that the names and addresses of the owners of all the outstanding stock of said corporation as of this date are as follows:

This _____ day of _____, 20____.

Secretary (Corporate Seal)

ATTACHMENT H

DEKALB COUNTY, GEORGIA
CERTIFICATE OF AUTHORITY – JOINT VENTURE

(Separate Certificate to be submitted by each joint venture partner)

I, _____, ⁽¹⁾ certify that

1. I am the _____ ⁽²⁾ of _____, ⁽³⁾ (hereinafter “Venturer”);
2. Venturer is a partner and participant in the joint venture named as Contractor in that certain Contract for Construction dated _____ with DeKalb County, issued pursuant to Invitation to Bid or Request for Proposal No. _____;
3. Venturer is organized and incorporated to do business under the laws of the State of _____;
4. _____, who executed this Contract on behalf of the Contractor was, then and there, _____; and
5. Said Contract was duly signed by said officer for and in behalf of said Venturer and the Contractor pursuant to the authority of the governing body of each and within the scope of its corporate powers.
6. I further certify that the names and addresses of the owners of all the outstanding stock or ownership interest in Venturer as of this date are as follows:

This _____ day of _____, 20____.

INSTRUCTIONS:

1. Name of secretary (if Venturer is a corporation), or Manager or CEO (if Venturer is a LLP) of Venturer.
2. Title of person executing Certification.
3. Name of joint venture partner.

MAKE COPIES OF THIS FORM AND SUBMIT A SEPARATE FORM FOR EACH JOINT VENTURE PARTNER.

ATTACHMENT I

BUSINESS AND PROFESSIONAL LICENSES AND CERTIFICATIONS

SAMPLE

ATTACHMENT J

CERTIFICATE OF INSURANCE, DECLARATIONS, AND ENDORSEMENTS

SAMPLE

ATTACHMENT K

EXECUTIVE ORDER NO. 2014-4 NEW ETHICS POLICY

SAMPLE

ATTACHMENT L

DEKALB COUNTY DEPARTMENT OF WATERSHED MANAGEMENT (DWM)

BADGING POLICIES AND PROCEDURE

SAMPLE

ATTACHMENT M

GENERAL LIABILITY “OCIP” MANUAL

SAMPLE

APPENDIX I

COUNTY'S RFP

SAMPLE

APPENDIX II

CONTRACTOR'S RESPONSE

SAMPLE

EXHIBIT 1

REFERENCE DOCUMENTS

FOR

DESIGN BUILD SERVICES FOR

**SNAPFINGER ADVANCED WASTEWATER TREATMENT FACILITY EXPANSION –
PHASE 3B PROJECT (UV DISINFECTION SYSTEM)**

**DeKalb County, Georgia
Department of Watershed Management
180 Sams Street, Suite B3200
Decatur, Georgia 30030**

Reference Documents are included on the DeKalb County Website
<https://sftp.dekalbcountyga.gov/f/d9dca1bd86c3b51f>
as an attached separate document

EXHIBIT 2

TECHNICAL GUIDE SPECIFICATIONS

FOR

DESIGN BUILD SERVICES FOR

**SNAPFINGER ADVANCED WASTEWATER TREATMENT FACILITY EXPANSION –
PHASE 3B PROJECT (UV DISINFECTION SYSTEM)**

DeKalb County, Georgia
Department of Watershed Management
180 Sams Street, Suite B3200
Decatur, Georgia 30030

Technical Guide Specifications are included on the DeKalb County Website
<https://sftp.dekalbcountyga.gov/f/825a5fa6d7946de4>
as an attached separate document