



Yolanda Lockett, CIA, CISA
Interim Chief Audit
Executive

August 2021

DeKalb County Government
An Enterprise-wide (County-wide) Audit

CONFIDENTIAL REPORT

**AUDIT OF THE MANAGEMENT OF
PERSONALLY IDENTIFIABLE
INFORMATION (PII)**

FINAL REPORT

The DeKalb Office of Independent Internal Audit (OIIA) recently completed an Audit of the Management of Personally Identifiable Information (PII) to review and recommend improvements to the management by DeKalb County Departments of such data, including personal information from citizens, taxpayers and vendors, such as Social Security numbers and banking information. Questions have been raised as to why the Audit report was posted on the OIIA website with substantial redactions. In making the final report confidential, the OIIA balanced its competing obligations under the **Georgia Open Records Act, O.C.G.A. § 50-18-70 et seq.**, to make public records available to the public for inspection, with its specific obligation to its citizens, taxpayers, vendors and others to keep confidential the personal identifying information (PII) they entrust to County government, and also to fulfill the mandate of the **DeKalb County Organizational Act § 10A (j)(1)** to comply with recognized government auditing standards which are, in this instance, **Government Auditing Standards 2018 (GAO-18-568G)**, specific sections of which authorize the issuance of a separate, classified, or limited use report excluding certain confidential or sensitive information from a publicly available or widely distributed report. The report itself will not be published in its entirety in order to protect information pertaining to vulnerabilities which might exist within County departments, possibly resulting in the hacking and theft of personal information. The decision to publish only in redacted form was determined after review of the material and by reference to the Georgia Open Records Act, which authorizes withholding information “which if made public could compromise security against sabotage, criminal or terroristic acts.” **O.C.G.A. § 50-18-72(25)(A)**.